

The Forests Dialogue (TFD) Dialogue on REDD Readiness - Ghana

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TFD REDD Readiness

Ghana Field Dialogue

16-19 Nov, 2009

Busua, Ghana



Founding

- Civil Society and Private Sector Leaders

Mission

- MSD platform for leaders to pursue SFM, build trust, share learning and seek collaboration

Structure

- Steering Committee
- Secretariat



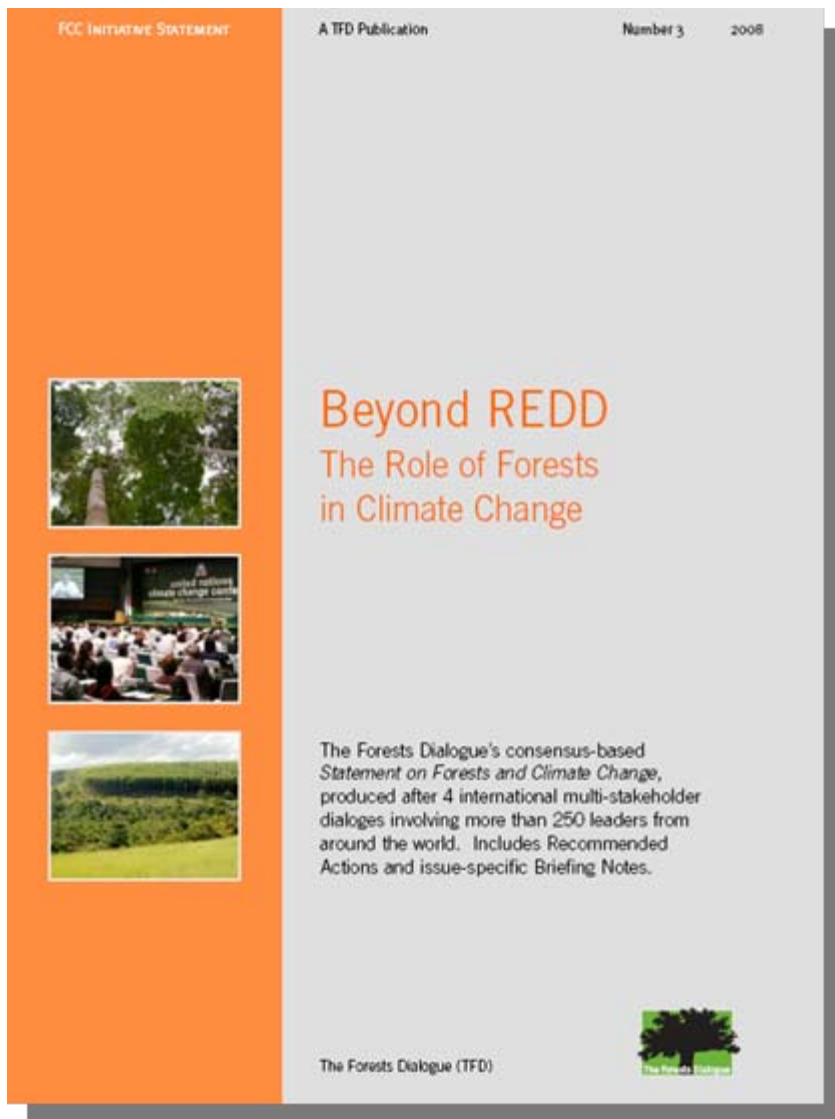
TFD's M.O.

(modus operandi)

TFD operates under the
Chatham House Rule

“Participants are free to use the information received, but neither the identity nor the affiliation of the speaker(s), nor that of any other participant, may be revealed”.





TFD Statement

“Consensus on forests is rare. When it is achieved, the world should listen. When it offers a solution to climate change, the world *must* listen.”

- 275 leaders engaged
- Launched October 2008
- Contents
 - Executive Summary
 - Guiding Principles
 - Indicative Actions
 - Briefing Notes



Five Guiding Principles

1. Ensure that forest-related climate change options support sustainable development in both forest-rich and forest-poor countries.
2. Tackle the drivers of deforestation that lie outside the forests sector.



Five Guiding Principles

3. Support transparent, inclusive, and accountable forest governance.
4. Encourage local processes to clarify and strengthen tenure, property, and carbon rights.
5. Provide substantial additional funding to build the capacity to put the above principles into practice.



REDD-plus Finance and Implementation

- 100 Leaders
- Consensus Recommendations
- Launched 10.09
- Fed into IWG-IFR and UNFCCC processes

Investing in REDD-plus

Launched October 1st, 2009 during UNFCCC Climate Change talks in Bangkok:

Twenty-six recommendations and an executive summary & matrix

“TFD’s findings have bearing on both an eventual REDD finance mechanism within the UNFCCC and any interim finance arrangements that might complement such a mechanism.”



The Forests Dialogue

THE AFFORDABLE COMMITTEE 2009

George Auld
Laird Toppo Forest Trust -
New Zealand

Wanda Colchester
Forest Peoples Programme

Walter Onglyan
International Alliance of
Indigenous and Tribal Peoples
of the Tropical Forests

Colin Campbell
The World Bank

John Swilling
Mondi

James LeBlond, TFC co-ordinator
World Business Council for
Sustainable Development

Jack Reed
The Nature Conservancy

John Eastwood
Australian National University

Walli Kuyala
Stora Enso

Michael Sussman
Ecobusiness Conservation Center -
Rozas

Lars Lundqvist
World Resources Institute

Stefan Wiegand, TFC co-ordinator
World Conservation Union (IUCN)

John Mayne
International Institute for
Environment and Development
(IIED)

Colin Munn
Global Forest Partners

David Phillips
Neyerhaeuser Company

Bill Mathay
Building and Woodwork
International

Carlos Mesa
Araucaria Celulosa

Andi Hill
Confederation of European
Private Forest Owners

Lib Swisher
American Forest Foundation

John de Wit
Angica de Tere - Amazonia
Ecológica

Kim Swanson
Kimberly-Clark

Paul Taylor
WWF International

John Ward
Conservation International

Christopher Muma
International Tropical
Timber Organization

Ray Swilling
Executive Director
The Forests Dialogue

Investing in REDD-plus

Executive summary of The Forests Dialogue consensus—September 2009

Between April and September 2009 The Forests Dialogue* held four international dialogues that engaged nearly 100 leaders from forest stakeholder groups across the business, environmental, scientific, indigenous Peoples’ and forest-based communities.

These dialogues produced a consensus on financing for REDD-plus, including 26 recommendations which, if taken up, will ensure the integrity of REDD-plus as a mechanism for combating climate change through reducing emissions from deforestation and forest degradation and the conservation and sustainable management of forests. The 26 recommendations are presented in full in a separate document. The key points of the consensus are summarized here.

UNDERPINNING PRINCIPLES

- REDD-plus finance mechanisms should be sufficiently robust to deal with in-country and international leakage, use credible baselines, must achieve verifiable additionality, and result in value for money.
- REDD-plus should lead to real reductions in CO₂, enhance forest ecosystem functions and the supply of critical ecosystem services, protect and respect the rights of Indigenous Peoples and local communities, and ensure equitable benefit sharing.
- In addition to reducing emissions from deforestation and forest degradation REDD-plus finance mechanisms should also create incentives for additional actions in forest conservation, sustainable forest management, and the enhancement of carbon stocks.
- REDD-plus processes should allow the broad participation of all stakeholders, on equitable terms, at the national and international levels.

PHASED APPROACH

- A phased approach will allow REDD-plus to address the drivers of deforestation according to country-specific circumstances.
- In phase one, REDD-plus strategies will be developed at the national level.
- In phase two, enabling policies and measures will be put in place to allow the implementation of REDD-plus and encourage scaled-up investments.
- In phase three, market and fund-based mechanisms will deliver performance-based payments for emission reductions and carbon stock enhancements that are subject to third-party verification.

FINANCIAL ARCHITECTURE

- The finance portfolio for REDD-plus should make optimal and coordinated use of both markets and funds, as well as other sources of finance.

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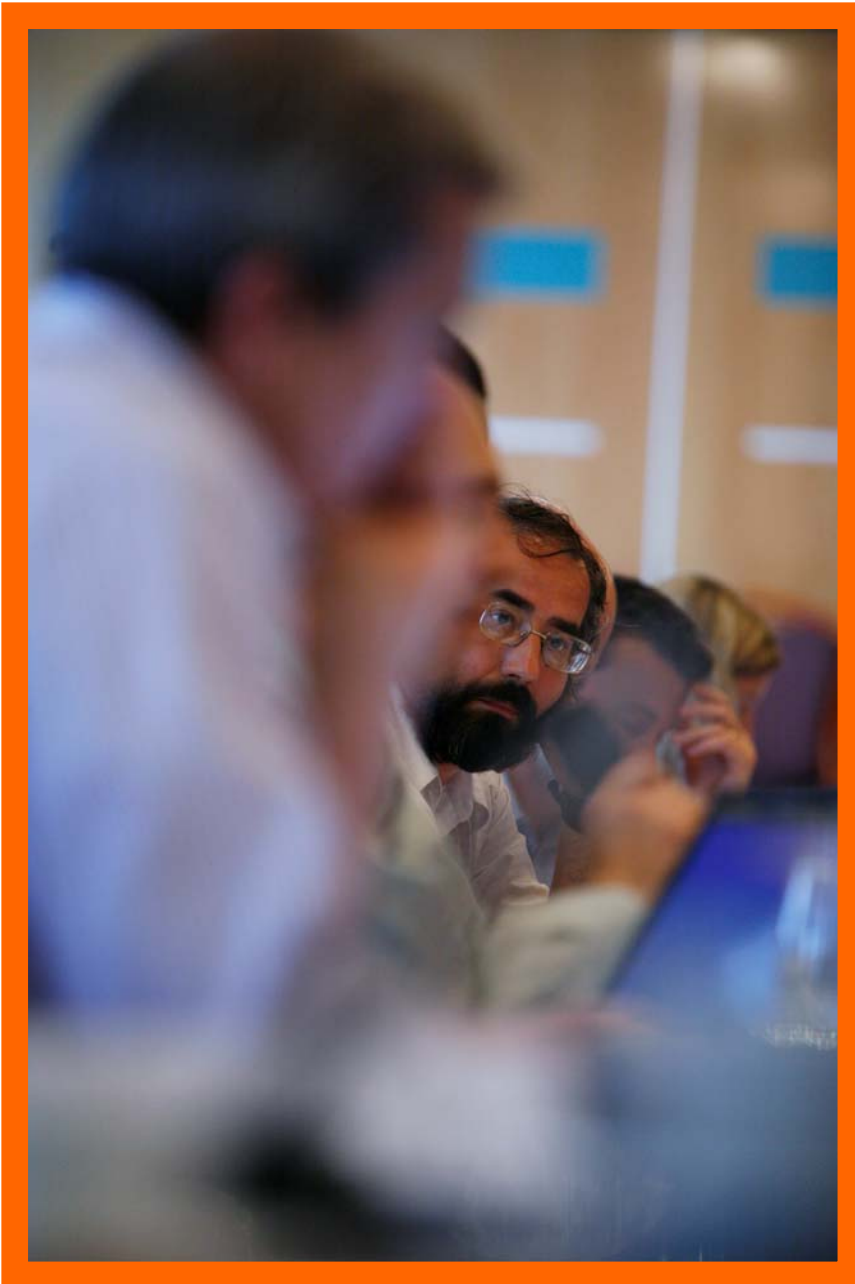
The Forests Dialogue



Foundations

Base REDD-plus firmly on sustainability principles

- a) Environmental/Social/Atmospheric Integrity
- b) Effective/Efficient/Equitable



Financial Architecture

- Financial Stability through public and private funding and country commitments
- A portfolio of finance mechanisms
- Additionality of funds: additional to regular ODA funding

MRV and Financial Assurance



- Importance of robust MRV
- Performance-based delivery and safeguards (including benefit sharing)
- Social, environmental and financial audit to complement carbon monitoring (either part of or separate from MRV)
- Free prior and informed consent as a rights issue and as a procedure

Institutional Arrangements

- Build governance through adaptive management, piloting and learning
- REDD-plus oversight body with mandate from & under auspices of COP
- Standards for accounting and tax codes
- Formal grievance structures
- Strong partnerships & active participation through multi-stakeholder platform



Matrix map

Three phases

1. Preparation & readiness
2. Policies & measures
3. Performance payments

Based on

- Outcomes
- Safeguards – environmental, social & financial
- Finance Mechanisms
- Triggers – eligibility criteria



	PHASE 1 Preparation and readiness	PHASE 2 Policies and measures	PHASE 3 Performance-based payments
Outcomes	<ul style="list-style-type: none"> - REDD-plus strategy - Issue identification - Preparation of protocols - Capacity building assessment - Institutional development - Demonstration activities - Co-relate national and regional development policies, including landscape land-use plans and forest plans 	<ul style="list-style-type: none"> - Capacity building - Development of national REDD-plus portfolios - Benefit sharing and equitable distribution - Pilot projects - Inclusion of small-scale projects - Carbon registry 	<ul style="list-style-type: none"> - CO₂ reduction - Verification - Social and environmental impact assessment
Safeguards	<ul style="list-style-type: none"> - Transparency - Participation and representation 	<ul style="list-style-type: none"> - Stakeholder platforms - Social and environmental audits - Governance and legality audits - Free prior and informed consent of Indigenous Peoples - MRV system - Transparency 	<ul style="list-style-type: none"> - MRV system - Free prior and informed consent of Indigenous Peoples - Social and environmental audit - Financial audit - Financial accounting guidance from the Financial Accounting Standards Board and the International Accounting Standards Board
Finance mechanisms	<ul style="list-style-type: none"> - Multilateral and bilateral grants - Proposed windows within the UNFCCC - Private funding - Public-sector funding 	<ul style="list-style-type: none"> - A portfolio of finance tools - Underwriting financial, livelihood and political risks - Rules for performance-based payments - Implementation of equitable distribution mechanisms 	<ul style="list-style-type: none"> - Compliance market - Non-market compliance fund - Underwriting risk - Equitable distribution mechanisms
Triggers/ eligibility criteria	<ul style="list-style-type: none"> - Multi-stakeholder endorsement - Plan for overcoming governance and policy gaps 	<ul style="list-style-type: none"> - Adequate legal rights and tenure systems - Endorsement of benefit distributions - National capacity to implement and audit - Carbon registry - Free prior and informed consent of Indigenous Peoples - MRV system - Proxy indicators 	<ul style="list-style-type: none"> - Forest carbon-pool buffer and forest carbon project insurance

REDD Readiness Dialogues

Main Objectives:

- Raise awareness and promote exploration of REDD readiness challenges among a wide spectrum of local and international stakeholders
- Provide well-targeted recommendations to overcome challenges experienced in the REDD readiness phase at the local, national and international levels



REDD Readiness Dialogues

Overall purposes:

- Catalyze stakeholder engagement processes and create & support on-going local mechanisms
- Build locally-rooted well-connected REDD protagonists
- Establish a feedback loop between international and local REDD related processes



Expected Outcomes

- A multi-stakeholder community of practice
- REDD Readiness Dialogue Country Reports including specific recommended actions
- Co-chair summaries
- TFD review on REDD Readiness Field Dialogues

Key issues: Brazil Dialogue

1. Adequate multistakeholder participation process in face of historical social power structure?
2. Implementation and MRV of good policies on the books?
3. Governance and clear/secure property rights?
4. Land-use trade-offs?





Thank You!

TFD Documents and Publications

Available electronically in English, Spanish, French and Portuguese at:

www.theforestsdialogue.org

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