

Benjamin Cashore

Curriculum Vitae as of March 6, 2018 (long version)

- Professor, Environmental Governance and Political Science, School of Forestry and Environmental Studies, Yale University¹
- Joseph C. Fox Director, Yale Fox International Fellows Program
<http://www.yale.edu/macmillan/fif/index.html>
- Director, Governance, Environment and Markets Initiative at Yale (GEM)
<http://environment.yale.edu/gem>
- Lead Faculty, Earth Systems Governance Project, International Human Dimensions Programme on Global Environmental Change
- Faculty Member, Center for Business and Environment at Yale
- Professor (courtesy) Department of Political Science, Yale University
- Senior Research Fellow, International and Area Studies, Yale MacMillan Center
- Member, Committee on Canadian Studies, Yale Macmillan Center
<http://canada.macmillan.yale.edu/faculty-canadian-interests>
- Smart Prosperity Institute Global Fellow, University of Ottawa
- Member, Scholars Strategy Network;
<http://www.scholarsstrategynetwork.org/scholar/ben-cashore>
- Contact information: 195 Prospect Street (Kroon Hall), Room 225, New Haven, CT; re-mail: benjamin.cashore@yale.edu; (work) 203 432-3009; (FAX) 203 432-9150

EDUCATION

- University of Toronto. Ph.D 1997 (Political Science)
- Université d'Aix-Marseille III. Certificate. 1989 (French Studies)
- Carleton University. MA 1988 (Political Science)
- Carleton University. BA. 1986 (Highest Honours in Political Science)

SELECT DISTINCTIONS

- **Winner** of the **best lecturer** award, Yale School of Forestry and Environmental Studies. Selected by graduate student body, 2013.²
- **Selected** for inclusion in Haas, Peter (ed.) The Library of Essays in International Relations (Ashgate, Aldershot, England, 2008): Benjamin Cashore, “**Legitimacy and the Privatization of Environmental Governance**: How Non-State Market-Driven (NSMD) Governance Systems Gain Rule Making Authority”, Governance Journal (2002) for being

deemed one of the most “*important*”, “*influential*” and “*significant*” articles in the **field of international relations**.

- **Winner** (with Graeme Auld and Deanna Newsom) of the International Studies Association’s 2005 Harold and Margaret Sprout Prize, for the **best book** in the field of **international environmental policy and politics** for Governing Through Markets: Forest Certification and the Emergence of Non-State Authority (New York and London: Yale University Press, 2004).
- **Winner** (with Steven Bernstein) of the 2001 John McMenemy Prize for the **best article** in the 2000 volume of the Canadian Journal of Political Science for “Globalization, Four Paths of Internationalization, and Domestic Policy Change: The Case of EcoForestry in British Columbia, Canada.”
- **Winner** of the **scientific achievement** award for my **research** on global/comparative **forest policy and governance** from the International Union of Forest Research Organizations (IUFRO). The committee evaluated my scholarship as making “**distinguished scientific**” contributions. Presented at the opening Ceremony, XXIV IUFRO World Forest Congress, Salt Lake City, Utah, October, 2014. (<http://environment.yale.edu/news/article/cashore-receives-scientific-achievement-award-from-iufro/>).

RESEARCH INTERESTS

Thematic

- **Private governance**
 - *Non-state Market Driven (NSMD) Governance*
 - *Transnational Business Regulation*
 - *Public/private interactions*
- **Environmental policy and governance**
 - *International*
 - *Multilevel*
 - *National regulatory approaches*
- **Transnational pathways of influence**
 - The processes through which sustainability standards and behaviours might ‘ratchet up’ along side increased market integration
 - Disentangles the causal role of global norms (e.g. ethical motivations) from market incentives, international rules and ‘capacity building’ (direct access) influences.
- **Climate change as a “super wicked” problem**
 - Applies path dependency analysis to a class of problems in which: time is running out, no central authority exists, those attempting to solve the problem are also causing it, and the future is discounted irrationally

Theoretical interests

- **Policy triggers:** *When, and under what conditions, do some policies or technological interventions, unleash long term focused path dependent trajectories?*
- **Legitimacy & authority:** *how do NSMD governance institutions emerge, achieve, and maintain authority? How do they interact with traditional governance arenas?*
- **Backward and forward scholarship:** *How can we draw on, but not be constrained by, empirical backward looking empirical research? How do we identify multiple step trajectories as they unfold?*

Empirical focus

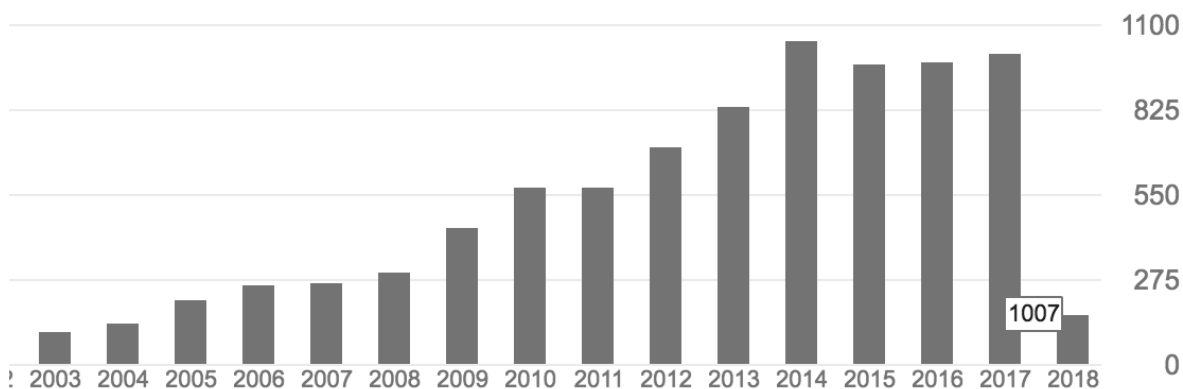
- *Forest policy (Deforestation, forest degradation, sustainability)*
- *Climate policy*
- *Environmental and Natural Resources Management*
- *Land use change/cross sectoral interactions*
- *Business and Sustainability*

Geographic scale (with collaborators)

- *Global/international*
- *Canada/US*
- *Europe*
- *Southeast Asia (Indonesia, Malaysia, China)*
- *Latin America (Brazil, Peru, Mexico)*

CITATIONS as of Feb 28, 2018³:

- **Google Scholar:** Total: **8811** (H-index of 41 and i10-index of 81); Since 2013: **50001** (H-index of 34 and i10-index of 57):



MEMBERSHIP/PARTICIPATION IN PROFESSIONAL SOCIETIES (PRESENT AND HISTORICAL)⁴

- International Studies Association (ISA), including membership in the Environmental Studies Section (ESS)
- Association for Public Policy Analysis and Management (APPAM)
- American Political Science Association (APSA)
- Canadian Political Science Association (CPSA)
- Association for Environmental Studies and Sciences (AESS)
- International Union of Forest Research Organizations (IUFRO)⁵
- Academy of Management, including division membership in Organizations and the Natural Environment (ONE), Organization Management and Theory (OMT), and Business Policy and Strategy (PBS)⁶

PUBLICATIONS

PEER REVIEWED JOURNAL ARTICLES & BOOK CHAPTERS

Under review

- 2018 Leehi Yona, Benjamin Cashore, Robert B. Jackson, Jean Ometto, Mark A. Bradford, Refining National Greenhouse Gas Inventories, under review, Science
- 2018 Devin Judge-Lord, **Benjamin Cashore** and Constance McDermott, 'How Do Non-State Market Driven Governance Standards Change? An Analytical Framework for Assessing Forest Certification Standards' revised and resubmitted version under review, Organization and Natural Environment (ONE)
- 2018 Hamish van der Ven, Catharine Rothacker, **Benjamin Cashore**, "More Eco-Labels but Fewer Forests: Lessons from Non-State Market Driven Governance in the Soy, Palm Oil, and Cocoa Sectors" *revise and resubmit version is under review* from Global Environmental Change
- 2018 Gary Q. Bull Agni Klintuni Boedhihartono, Gabriela Bueno, Benjamin Cashore, Christopher Elliott, James Douglas Langston, Rebecca Anne Riggs, Jeffrey Sayer", Global forest discourses are disconnected from local forest realities *under review*, International Forestry Review
- 2018 Hamish van der Ven and **Benjamin Cashore**, "Forest Certification: Present Practice, Future Prospects, and Problems with Uncovering Outcomes" for inclusion in Arun Agrawal, Laura Rasmussen, Cristy Watkins (eds) Forest governance interventions for sustainability: Information, Incentives, and Institutions. Received *revise and resubmit*, Current Opinion in Environmental Sustainability
- 2018 Sina Leipold, Sofia Suarez, **Benjamin Cashore**, "How to Move to Sustainable Land Use? – A Review Connecting Environmental to Governance Debates, under review, Sustainability

In development/to be submitted in 2018

- 2018 Sina Leipold, Benno Pokorny, **Benjamin Cashore**, Daniela Kleinschmit, Metodi Sotirov, Cerutti, Paolo (CIFOR) Pablo Pacheco, Luca Tacconi Jianbang Gan, "What Does Legality Verification Contribute to Forest Sustainability, Journal TBD
- 2018 **Benjamin** Cashore with Sarah Lupberger and others, "Learning about Pathway Mixes: Improving Policy Implementation Through A Multi-stakeholder Forward Looking Problem Oriented Protocol" to be submitted to special issue, edited by Capano, Howlett and Ramesh, Policy and Society
- 2018 Leehi Yona*, **Benjamin Cashore**, Oswald J. Schmitz, "Treating policy and ecology as a single system to achieve path-dependent solutions for carbon mitigation" To be submitted in March

- 2018 **Benjamin Cashore**, “Where is the Evidence for Evidence Based? Where is the Data for Data Driven? Towards a Forward Looking, Process Tracing Inspired, Protocol for Undertaking a Problems Focused Environmental Research Agenda” In progress journal TBD
- 2018 **Benjamin Cashore**, “Ch 10 Problems of Bottom-up Collaboration: Evolutionary Pathways and Capacity Challenges of NSMD governance institutions”, to be submitted to Anka Kekez, Michael Howlett, M Ramesh (eds), Collaboration and Public Service Delivery: Promise and Pitfalls Edward Elgar
- 2018 **Benjamin Cashore** and Iben Nathan with Michael Stone “Does Private Governance Make Weak States Stronger? Lessons from Governing Through Supply Chains in Southeast Asia” Potential journals: Governance or Review of International Political Economy or Forest Policy and Economics.
- 2018 **Benjamin Cashore**, Michael Howlett, Sebastian Sewerin*, “Governing Feedback Processes: Understanding Stasis Traps and Unintended Consequences.” Intended journal: Governance
- 2018 **Benjamin Cashore**, Audrey Denvir, Michaela Foster, Reem Hajaar, Chelsea Judy and Sarah Lupberger: “Institutional Logics as Explanations of Community Forestry Uptake in Peru, Costa Rica and Mexico”. Intended journal: Society and Natural Resources
- 2018 Yitian Huang and **Benjamin Cashore**, “Two Pathways of Interaction: Exploring the International Sources of China’s Carbon Trading Policy (2007-2011)”, part of a China focused special issue edited by Josh Gellers: Target Journal: Governance
- 2018 **Benjamin Cashore**, “Bringing Bio-environmentalists and Social Greens Back in: Reflections on Fostering Transformative Change within US-Based Professional Environmental Schools.” Target journal: Journal of Environmental Studies and Sciences.
- 2018 Carolina Gueiros (coordinating author), Michaela Foster, Chelsea Judy, Audrey Denvir, Kathryn McConnell and **Benjamin Cashore**, “How Do Global Environmental Market Mechanisms Influence Domestic Policies? Tracing Four Pathways of Influence in Peru, Indonesia, Brazil and Ghana” Target journal: Global Environmental Politics
- 2018 **Benjamin Cashore**, Yimin Fu, Devin Judge-Lord, Catharine Rothacker, Constance McDermott, Comparing Environmental Forestry Practices Regulations in China and the United States. Target Journal: Forest Policy and Economics
- 2018 **Benjamin Cashore**, Jeremy Moon Jette Knudsen (eds), Private Authority and Public Policy in Global Context: Competition, Collaboration or Coexistence. We plan on submitting select papers from two New Haven and Copenhagen workshops organized by Cashore, Van der ven, Moon and Knudsen to a special issue of a journal, such as Regulation and Governance.
- 2018 **Benjamin Cashore**, Iben Nathan and Visseren-Hamakers (eds) proposal for a special issue on Policy Learning accepted by Forest Policy and Economics following panel on policy learning at IUFRO Frieburg conference in September 2017.
- 2018 **Benjamin Cashore**, Michael Howlett, Sebastian Sewerin*, “Assessing Complex Policy

Dynamics: Identifying the Mechanism(s) of Policy Change?" Target journal: Journal of Public Administration Research and Theory

- 2018 **Benjamin Cashore**, Michael Howlett, Sebastian Sewerin*, "'Can Policy Durability be Steered? Lessons for Practitioners from the Interactions of Policy, Institutions and Technology" Target Journal: Policy Design and Practice
- 2018 **Benjamin Cashore** with others, "Legality Frontier" for inclusion in special issue edited by Georg Winkel, Metodi Sotirov, Cassandra Mosely "The Global Forest Environmental Frontier - What has changed, what has remained unchanged, how will the future look like?" Target journal: Global Environmental Change
- 2018 **Benjamin Cashore**, Agni Kalfagianni and others, "Non-state Actors and Transnational Networks" chapter for volume edited by Frank Bierman and Rak Kim) as part of the Earth Systems Governance Project's "Harvesting Initiative" designed to assess achievements. Target publication: MIT press. (<http://www.earthsystemgovernance.org/research-findings>).
- 2019 Benjamin Cashore, MIT has accepted a preliminary proposal for a practice oriented book on global forest policy and governance. I am currently developing a detailed outline
- 2019 Graeme Auld, Steven Bernstein, Benjamin Cashore Kelly Levin. We are considering a proposal to Cambridge Press on Path Dependency and climate change

Completed/forthcoming

- 2018 Sophia Carodenuto and **Benjamin Cashore**, "Interactive Pathways of Influence: Managing Interactions to Enhance Non-State Regulatory Authority and Improve Forest Sustainability in Cameroon" *Forthcoming, undergoing final revisions* for inclusion in Stepan Wood, Rebecca Schmidt, Kenneth W Abbott, Burkard Eberlein and Errol Meidinger (eds) Transnational Business Governance Interactions: Enhancing Regulatory Capacity, Ratcheting up Standards and Empowering Marginalized Actors Edward Elgar Publishing, forthcoming
- 2018 G. Winkel, S. Leipold, K. Buhmann **B. Cashore**, W. de Jong, I. Nathan, M. Sotirov, M. Stone "Narrating illegal logging across the globe. Between Green Protectionism and Sustainable Resource Use", forthcoming International Forestry Review
- 2017 Humphreys, **Benjamin Cashore**, Ingrid J Visseren-Hamakers, Wil de Jong, Kathleen McGinley, Audrey Denvir, Paloma Caro Torres and Sarah Lupberger, "Towards durable multistakeholder-generated solutions: The pilot application of a problem-oriented policy learning protocol to legality verification and community rights in Peru" International Forestry Review
- 2016 **Benjamin Cashore**, "Cross-Sector Partnerships for NSMD Global Governance: Change Pathways & Strategic Implications, Annual Review of Social Partnerships 2016, Issue 11, pp 84-88. (Peer reviewed by editors)
- 2015 Benjamin Cashore, Chris Elliott, Erica Pohnan, Michael Stone, and Sébastien Jodoin, "Achieving sustainability Through Market Mechanism" in Kozak, Panwar and Hansen.

Forests, Business and Sustainability. Routledge pp 45-69

- 2014 Iben Nathan, Christian Hansen, **Benjamin Cashore**, "Timber Legality Verification in Practice: Prospects for Support and Institutionalization." Forest Policy and Economics 48: 1-5. (Preface to Special issue⁷).
- 2014 Stefan Renckens, **Benjamin Cashore** and Graeme Auld, "Transnational Private Regulation between the Logics of Empowerment and Control", Regulation and Governance
- 2014 **Benjamin Cashore** and Michael Stone, "Does California Need Delaware? Explaining Indonesian, Chinese, and United States Support for Legality Compliance of Internationally Traded Products", Regulation and Governance
- 2014 Devin Judge-Lord and Irene Scher (Convening lead authors), **Benjamin Cashore** (Lead author) "Chapter 9, Non-Domestic Sources of the Canadian Boreal Forest Policy: Integrating Theories of Internationalization and Pathways of Forest Policy Change", Katila, P., Galloway, G., de Jong, W., Pacheco, P. and Mery, G. (eds.). 2014. Forests under pressure - Local responses to global issues. IUFRO World Series Volume 32. ISBN 978-3-902762-30-6
- 2014 Erica Pohnan, Michael W. Stone, and **Benjamin Cashore**, "Global Forest Governance to Address Illegal Logging: The Rise of Timber Legality Verification to Rescue Indonesia's Forests", in Katila, P., Galloway, G., de Jong, W., Pacheco, P. and Mery, G. (eds.). 2014. Forests under pressure - Local responses to global issues. IUFRO World Series Volume 32. ISBN 978-3-902762-30-6
- 2014 Michael Howlett and **Benjamin Cashore**, "Chapter 2: Conceptualizing public policy" Prepared for inclusion in Isabelle Engeli and Christine Rothmayr (eds). Comparative Policy Studies: Conceptual and Methodological Challenges Palgrave: ECPR Research Methods Series
- 2013 Jianbang Gan, **Benjamin Cashore**, and Michael W. Stone: "Impacts of the Lacey Act Amendment and the Voluntary Partnership Agreements on illegal logging: implications for global forest governance", forthcoming Journal of Natural Resources Policy Research
- 2013 Kate O'Neill, Erika Weinthal, Kimberly R. Marion Suiseeya, Steven Bernstein, Avery Cohn, Michael W. Stone, **Benjamin Cashore** "Methods and Global Environmental Governance" Annual Review of Environment and Resources
- 2013 Jianbang Gan and **Benjamin Cashore**, "Opportunities and Challenges for Integrating Bioenergy into Sustainable Forest Management Certification Programs", Journal of Forestry, Volume 111 Issue 1 11-16
- 2013 Norichika Kanie, Peter M. Haas, Steinar Andresen, Graeme Auld, **Benjamin Cashore**, Pamela S. Chasek, Jose A. Puppim de Oliverira, Stefan Renckens, Olav Schram Stokke, Casey Stevens, Stacy D. VanDeveer, and Masahiko Iguchi "Green Pluralism: Lessons for Improved Environmental Governance in the 21st Century", Environment Magazine, Volume 55, Number 5, pp 14-30 (www.environmentmagazine.org)
- 2013 Graeme Auld and **Benjamin Cashore**, Mixed Signals: NGO Campaigns and Non-State

- Market Driven (NSMD) Governance in an Export-Oriented Country, Canadian Public Policy
- 2012 Kelly Levin, **Benjamin Cashore**, Steven Bernstein and Graeme Auld, “Overcoming the Tragedy of Super Wicked Problems: Constraining our Future Selves to Ameliorate Global Climate Change”, Policy Sciences Volume 45, Issue 2, June 2012 pp 123-152 (Author order is reverse alphabetic, contribution is equal)
- 2012 Ingrid Visseren-Hamakers Constance McDermott, Marjanneke Vijge and **Benjamin Cashore**, Trade-offs, co-benefits and safeguards: Current debates on the breadth of REDD+ Current Opinion in Environmental Sustainability, Current Opinion in Environmental Sustainability.
- 2012 Lead author: Frank Biermann; Contributing authors: Kenneth Abbott, Steinar Andresen, Karin Bäckstrand, Steven Bernstein, Michele M. Betsill, Harriet Bulkeley, **Benjamin Cashore**, Jennifer Clapp, Carl Folke, Aarti Gupta, Joyeeta Gupta, Peter M. Haas, Andrew Jordan, Norichika Kanie, Tatiana Kluvánková-Oravská, Louis Lebel, Diana Liverman, James Meadowcroft, Ronald B. Mitchell, Peter Newell, Sebastian Oberthür, Lennart Olsson, Philipp Pattberg, Roberto Sánchez-Rodríguez, Heike Schroeder, Arild Underdal, Susana Camargo Vieira, Coleen Vogel and Oran R. Young, “Navigating the Anthropocene: Towards Effective Earth System Governance—A Call for Transformative Change”, Science
- 2012 Lead author: Frank Biermann; Contributing authors: Kenneth Abbott, Steinar Andresen, Karin Bäckstrand, Steven Bernstein, Michele M. Betsill, Harriet Bulkeley, **Benjamin Cashore**, Jennifer Clapp, Carl Folke, Aarti Gupta, Joyeeta Gupta, Peter M. Haas, Andrew Jordan, Norichika Kanie, Tatiana Kluvánková-Oravská, Louis Lebel, Diana Liverman, James Meadowcroft, Ronald B. Mitchell, Peter Newell, Sebastian Oberthür, Lennart Olsson, Philipp Pattberg, Roberto Sánchez-Rodríguez, Heike Schroeder, Arild Underdal, Susana Camargo Vieira, Coleen Vogel, Oran R. Young, Andrea Brock and Ruben Zondervan, “Transforming Governance and Institutions for Global Sustainability: Key Insights from the Earth System Governance Project.” Current Opinion in Environmental Sustainability 4: 51-60.
- 2012 Steven Bernstein and **Benjamin Cashore**, “Re-Thinking Environmental ‘Effectiveness’: Complex Global Governance and Influence on Domestic Policies” International Affairs
- 2012 **Benjamin Cashore** and Michael Stone, “Can Legality Verification Rescue Global Forest Governance: Assessing the Intersection of Public and Private Authority in Forest Governance in Southeast Asia”, journal of Forest Policy and Economics
- 2013 **Benjamin Cashore**, Michael Stone, Erica Pohnan, “Chapter 2: The Impact of Globalization on Forest Users: Trends and Opportunities” in Eric Hansen (ed) The Global Forest Sector: Changes, Practices, and Prospects forthcoming Taylor & Francis Group.
- 2012 Laura Bozzi, **Benjamin Cashore**, Kelly Levin and Constance McDermott, “The Role of Private Voluntary Climate Programs Affecting Forests: Assessing their Direct and Intersecting Effects” Karsten Ronit (ed) Business and Climate Policy: The Potentials and Pitfalls of Private Voluntary Programs (United Nations University Press)
- 2012 Graeme Auld and **Benjamin Cashore**, “The Forest Stewardship Council”, for inclusion in

Reed, A.M., D. Reed, and P. Utting (eds.) Non-State Regulation and Development

- 2011 **Benjamin Cashore** with Graeme Auld, Laura Bozzi, Kelly Levin and Stefan Renckens, "Understanding the Interaction of Transnational Civil Society Regulation with Official Regulation," forthcoming Nielsen and Parker (eds) Explaining Compliance: Business Responses To Regulation (Edward Elgar, US/UK) (Peer reviewed by editors)
- 2011 Steven Bernstein and **Benjamin Cashore** (Convening Lead Authors), Richard *Eba'a Atyi*, Ahmad Maryudi and Kathleen McGinley (Lead Authors) Contributing authors: Tim Cadman, Lars Gulbrandsen, Daniela Goehler, Karl Hogl, David Humphreys, Shashi Kant, Robert Kozak, Kelly Levin, Constance McDermott, Mark Purdon, Irene Scher, Michael W. Stone, Luca Tacconi and Yurdi Yasmi "Examination of the influences of the international forest regime at the domestic level", Chapter Seven of Collaborative Partnership on Forests (CPF) Global Forest Expert Panel's (GFEP) initiative. The report was released through the International Union of Forest Research Organizations (IUFRO) Rayner, Katila and Buck (eds) Global Scientific Assessment on the 'International Forest Regime'.
- 2011 Kelly Levin, Constance McDermott and **Benjamin Cashore** (reverse alphabetical order), "In with the Old Boss Same as the New Boss? Explaining Determinants of, and Prospects for, Bandwagoning of Forests to the Climate Regime" August, special issue, on Climate Bandwagoning Global Environmental Politics.
- 2011 Peter Kanowski, Constance McDermott and **Benjamin Cashore** "Implementing REDD–Lessons from Analysis of Forest Governance" special issue, Esteve Corbera, Heike Schroeder, Oliver Springate-Baginski (eds), "Governing and Implementing REDD+" Environmental Science and Policy Vo. 14; pp 111-117
- 2010 **Benjamin Cashore**, Glenn Galloway (Convening Lead Authors), *Lead authors: Frederick Cabbage, David Humphreys, Pia Katila, Kelly Levin, Constance McDermott, Ahmad Maryudi and Kathleen McGinley* Contributing authors: *Sebastião Kengen, Moacir José Sales Medrado, María Cristina Puente, August B Temu and Ederson Augusto Zanetti* "The Ability of institutions to address new challenges", in Gerardo Mery, (ed) World Forests, Society and Environment, International Union of Forest Research Organizations (IUFRO).
- 2010 Graeme Auld, **Benjamin Cashore** (equal lead authors), Cristina Balboa, Laura Bozzi, and Stefan Renckens, "Can Technological Innovations Foster Non-state Global Governance?", Business and Society, vol 12, Issue 3, special issue on "Private Regulation in the Global Economy" edited by Tim Buthe.
- 2009 Kelly Levin, **Benjamin Cashore** and Jonathan Koppell, "Can-Non State Certification Systems Bolster State-Centered Efforts to Promote Sustainable Development through the Clean Development Mechanism?", Wake Forest Law Review Vol 44 pp. 777-798⁸
- 2009 Constance McDermott, **Benjamin Cashore** and Peter Kanowski, "Setting the Bar: An International Comparison Of Public and Private Forest Policy Specifications and Implications For Explaining Policy Trends", special issue on "Forest Science, Forest Knowledge and Forest Policy" Journal of Integrative Environmental Sciences Vol. 6, No. 3, September, pp. 217–237

- 2009 Michael Howlett and **Benjamin Cashore**, “The Dependent Variable Problem in the Study of Policy Change”, Special Issue on Policy Dynamics, Journal of Comparative Policy Analysis Vol. 11, No. 1, March, pp. 29–42
- 2009 Graeme Auld, Cristina Balboa, Steven Bernstein and **Benjamin Cashore**, “The Emergence of Non-state Market Driven (NSMD) Global Environmental Governance: A Cross Sectoral Assessment”, in Delmas and Young, Governance for the Environment: New Perspectives (Cambridge University Press).
- 2009 **Benjamin Cashore**, Graeme Auld, Deanna Newsom and Beth Egan, “The Emergence of Non-State Environmental Governance in European and North American Forest Sectors” in Schreurs, VanDeveer, and Selin, (eds) Transatlantic Environment and Energy Politics: Comparative and International Perspectives (Ashgate, Aldershot, England).
- 2008 Graeme Auld, Steven Bernstein and **Benjamin Cashore**, “The New Corporate Social Responsibility”, Annual Review of Environment and Resources Vol. 33, pp. 413–35.
- 2008 Kelly Levin, Constance McDermott and **Benjamin Cashore**, “The Climate Regime as Global Forest Governance: Can Reduced Emissions from Deforestation and Degradation (REDD) Initiatives Pass a ‘Dual Effectiveness’ Test?”, International Forestry Review Vol. **10**(3), pp. 538-549.
- 2008 Constance McDermott, Emily Noah and **Benjamin Cashore**, “Differences that Matter?: A Framework for Comparing Environmental Certification Standards and Government Policies” Journal of Environmental Policy and Planning. Vol. 10, No. 1 (March), pp: 47-70.
- 2008 **Benjamin Cashore**, Graeme Auld, James Lawson and Deanna Newsom, “The Post-state Staples Economy: The Impact of Forest Certification as a Non-state Market Driven Governance system”, in Howlett and Brownsey (eds) The Post-Staples State (Emond Montgomery: Toronto).
- 2008 Steven Bernstein and **Benjamin Cashore**, “Chapter Seven: The Two-level logic of Non-State Market Driven Governance”. Chapter in Volker Rittberger, Martin Nettesheim and Carmen Huckel (eds) volume, Changing Patterns of Authority in the Global Political Economy: Volume II: New Actors and Forms of Regulation (Palgrave Macmillan).
- 2007 **Benjamin Cashore** and Michael Howlett, “Punctuating Which Equilibrium? Understanding Thermostatic Policy Dynamics In Pacific Northwest Forestry”, American Journal of Political Science Volume 51, No. 3, July, pp. 532-551.
- 2007 Steven Bernstein and **Benjamin Cashore**, “Can Non-State Global Governance be Legitimate?: A Theoretical Framework”, Regulation and Governance 1, pp.1-25
- 2007 **Benjamin Cashore**, Beth Egan, Graeme Auld and Deanna Newsom, “Revising Theories of Non-state Market Driven (NSMD) Governance: Lessons from the Finnish Forest Certification Experience” Global Environmental Politics Volume 7, Issue 1 (February), pp. 1-44.
- 2007 **Benjamin Cashore**, Graeme Auld, Steven Bernstein and Constance McDermott, “Can Non-state Governance ‘Ratchet Up’ Global Environmental Standards? Lessons from the

- Forest Sector”, Review of European Community and International Environmental Law, vol 16, issue 2, pp. 158-172 special edition on private sector implementation of multilateral environmental agreements [reviewed by managing editor].
- 2007 Michael Howlett and **Benjamin Cashore**, "Re-Visiting the New Orthodoxy of Policy Dynamics: The Dependent Variable and Re-Aggregation Problems in the Study of Policy Change”, Canadian Political Science Review, Vol 1 (2), September, pp. 1-14.
- 2007 **Benjamin Cashore**, Graeme Auld, James Lawson and Deanna Newsom, “The Future of Non-State Authority on Canadian Staples Industries: Assessing the Emergence of Forest Certification Volume” Policy and Society. 26, Issue 1, Pages 71-91
- 2007 **Benjamin Cashore**, Graeme Auld and Deanna Newsom “Legitimizing Political Consumption: The Case of Forest Certification in North America and Europe” special issue of the Russian Journal of Sociology and Social Anthropology. (This is a *reprint* of our 2003 chapter in Politics, Products, and Markets. Exploring Political Consumerism Past and Present).
- 2006 Erika Sasser, Aseem Prakash, **Benjamin Cashore** and Graeme Auld, “Direct Targeting as an NGO Political Strategy: Examining Private Authority Regimes in the Forestry Sector Business and Politics (December) 8(3), pp. 1-34.
- 2006 Deanna Newsom, Volker Bahn and **Benjamin Cashore**, “Does Forest Certification Matter? An Analysis of Operation-Level Changes Required During the SmartWood Certification Process in the US. Journal of Forest Policy and Economics. Vol 9, Issue 3 December, pp. 197-208.
- 2006 **Benjamin Cashore** and Michael Howlett, “Behavioural Thresholds and Institutional Rigidities As Explanations of Punctuated Equilibrium Processes in Pacific Northwest Forest Policy Dynamics” (with Michael Howlett), Chapter in Robert Repetto (ed.) By Fits and Starts: Punctuated Equilibrium in US Environmental Policy (Yale University Press, London and New Haven).
- 2005 Glenn T. Howe, Bruce Schindler, **Benjamin Cashore**, Eric Hansen, Denise Lach, and Ward Armstrong, “Public Influences on Plantation Forestry”, March/April, Journal of Forestry. 102 (2) March, pp. 90-94.
- 2005 **Benjamin Cashore**, Kees van Kooten, Ilan Vertinsky, Graeme Auld and Julia Affolderbach, “Private or Self-Regulation? A Comparative Study of Forest Certification Choices in Canada, the United States and Germany” Journal of Forest Policy and Economics (Volume 7, Issue 1, January), pp. 53-69.
- 2005 Peter Glück, Jeremy Rayner and **Benjamin Cashore**; (*Convening lead authors*), “Change in the Governance of Forest Resources” In Gerardo Mery, Rene Alfaro, Markku Kanninen and Maxim Labovikov (eds.), Forests in the Global Balance – Changing Paradigms. IUFRO World Series, volume 17. Helsinki: IUFRO, 2005, pp. 51-74
- 2005 Brad Stennes, Sen Wang, Concepción Luján Alvarez, Constance McDermott, Bill Wilson, and **Benjamin Cashore** (*Convening lead authors*). “North American Chapter”, part of IUFRO-WFSE book Forests in the Global Balance - Changing Paradigms: In Gerardo Mery, Rene Alfaro, Markku Kanninen and Maxim Labovikov (eds.), Forests in

- the Global Balance – Changing Paradigms. IUFRO World Series, volume 17. Helsinki: IUFRO, 2005.
- 2004 Steven Bernstein and **Benjamin Cashore**, “Non-State Global Governance: Is Forest Certification a Legitimate Alternative to a Global Forest Convention?” in John Kirton and Michael Trebilcock (eds.) Hard Choices, Soft Law: Voluntary Standards in Global Trade, Environment and Social Governance (Aldershot: Ashgate Press)
- 2004 **Benjamin Cashore**, Graeme Auld and Deanna Newsom, “The United States’ Race to Certify Sustainable Forestry: Non-State Environmental Governance and the Competition for Policy-Making Authority”, Business and Politics Volume 5, Issue 3 (November, 2003) [Produced in April, 2004], pp. 219-259.
- 2003 **Benjamin Cashore** and Graeme Auld, “British Columbia’s Environmental Forest Policy in Perspective”, December Journal of Forestry 101(8), pp. 42-47.
- 2003 **Benjamin Cashore** and James Lawson, “Private Policy Networks and Sustainable Forestry Policy: Comparing Forest Certification Experiences in the US Northeast and the Canadian Maritimes”, Canadian-American Public Policy Vol 53, March, pp. 1-44.
- 2003 **Benjamin Cashore**, Graeme Auld and Deanna Newsom, “Forest Certification (Eco-labeling) Programs and their Policy-Making Authority: Explaining Divergence Among North American and European Case Studies” Journal of Forest Policy and Economics (Volume 5, issue 3), pp. 225-247
- 2002 **Benjamin Cashore**, “Legitimacy and the Privatization of Environmental Governance: How-Non State Market-Driven (NSMD) Governance Systems Gain Rule Making Authority”. Governance: An International Journal of Policy and Administration, vol. 15, no. 4 (October), pp. 503-529
- 2002 Graeme Auld, **Benjamin Cashore** and Deanna Newsom, “Perspectives on Forest Certification: A Survey Examining Differences Among the US Forest Sectors’ Views of Their Forest Certification Alternatives” pp 271-282 in Teeter, Cashore and Zhang, (eds) in Forest Policy for Private Forestry. Accepted through a blind peer review process established by editors. CAB International.⁹
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- 2001 **Benjamin Cashore**, Ilan Vertinsky and Rachana Raizada, "Chapter Three: Firm Responses to External Pressures for Sustainable Forest Management in British Columbia and the US Pacific Northwest", pp. 80-119 in Alper and Salazar (eds.) Sustaining the Pacific Coast Forests: Forging Truces in the War in the Woods (Vancouver: UBC Press).
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- 1998 **Benjamin Cashore**, "Flights of the Phoenix: Explaining the Durability of the Canada-US Softwood Lumber Dispute", in Canadian-American Public Policy, Number 32, December 1997 (Published Spring, 1998), pp. 1-58.

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- 2010 Constance McDermott, **Benjamin Cashore** and Peter Kanowski, Global Environmental Forest Policies: An International Comparison Earthscan, UK
- 2004 **Benjamin Cashore**, Graeme Auld and Deanna Newsom, Governing Through Markets: Forest Certification and the Emergence of Non-State Authority Yale University Press, London and New Haven. Total pp. 327
- 2001 **Benjamin Cashore**, George Hoberg, Michael Howlett, Jeremy Rayner and Jeremy Wilson, In Search of Sustainability: British Columbia Forest Policy in the 1990s University of British Columbia Press, Vancouver. Total pp. 329

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- 2006 **Benjamin Cashore**, Fred Gale, Errol Meidinger and Deanna Newsom. Confronting Sustainability: Forest Certification in Developing and Transitioning Societies, (with Gale, Meidinger and Newsom). Yale School of Forestry and Environmental Studies Publication Series, New Haven. Total pp. 617.
- 2002 Lawrence Teeter, **Benjamin Cashore** and Daowei Zhang, Forest Policy for Private Forestry: Global and Regional Challenges CAB International, Oxon, UK. Total pp. 307.

OTHER PROFESSIONAL PUBLICATIONS

- 2016 **Benjamin Cashore** Sina Leipold and Paolo Omar Cerutti (Lead Authors); Gabriela Bueno, Sophia Carodenuto, Xiaoqian Chen, Wil de Jong, Audrey Denvir, Christian Hansen, David Humphreys, Kathleen McGinley, Iben Nathan, Christine Overdeest, Rafael Jacques Rodrigues, Metodi Sotirov, Michael W. Stone, Yitagesu Tekle Tegegne, Ingrid Visseren-Hamakers, Georg Winkel, Valentin Yemelin and Jonathan Zeitlin (Contributing authors), Chapter 7 “Global Governance Approaches to Addressing Illegal Logging: Uptake and Lessons Learnt” in Illegal Logging and Related Timber Trade – Dimensions, Drivers, Impacts and Responses A Global Scientific Rapid Response Assessment Report, International Union of Forest Research Organizations (IUFRO) (Editors: Daniela Kleinschmit, Stephanie Mansourian, Christoph Wildburger, Andre Purret).
- 2016 Daniela Kleinschmit, Stephanie Mansourian, Christoph Wildburger, Andre Purret, **Benjamin Cashore** [VERIFY TO SEE IF THERE WERE OTHER AUTHORS] “Conclusions” in Illegal Logging and Related Timber Trade – Dimensions, Drivers, Impacts and Responses A Global Scientific Rapid Response Assessment Report (Editors: Daniela Kleinschmit, Stephanie Mansourian, Christoph Wildburger, Andre Purret)
- 2015 **Benjamin Cashore**, “How to Design Effective Non-State Market Driven Certification Systems to Foster Social and Environmental Stewardship”, Policy brief, Scholars Strategy Network, November
- 2015 **Benjamin Cashore**, Chris Elliott, Erica Pohnan, Michael Stone and Sebsten Jodoin, “The Role of Market Forces Across Multiple Pathways” for inclusion in Kozak, Panway and Hansen (eds). Forests, Business and Sustainability.
- 2013 Auld, G., Cashore, B. and Renckens, S. (2014). “Governance Components in Private Regulation: Implications for Legitimacy, Authority and Effectiveness.” In Kanie, Norichika, Steinar Andresen and Peter M. Haas (eds.), *Improving Global Environmental Governance: Best Practices for Architecture and Agency*. London/New York, NY: Routledge, 152-174.
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- 2013 Wang, M., Visseren-Hamakers, I., de Jong, W., and Cashore, B. (2013). “Can REDD+

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- 2013 Graeme Auld, Benjamin Cashore and **Stefan Renckens** "Partnerships and their resilience", forthcoming in Peter Haas, Steinar Andersen, and Norichika Kanie (eds), Best and Worst Practices for Improving International Climate Change Governance.
- 2013 Daniela Göhler, **Benjamin Cashore** and **Benjamin Blom**, "Forest Governance", forthcoming, in Dietrich Darr & J. Pretzch (ed) Forests and Rural Development, Springer Verlag.
- 2012 Member of Steering Committee¹³ of the State-of-Knowledge Assessment of Standards and Certification Toward Sustainability: The Roles and Limitations of Certification (Washington, DC: Resolve)
- 2012 **Benjamin Cashore**, Kira Matas ,and Ruth Norris, lead contributors, as part of Steering Committee of the State-of-Knowledge Assessment of Standards and Certification, Chapter Four, "Pathways to Impact: Synergies with Other Approaches" Toward Sustainability: The Roles and Limitations of Certification (Washington, DC: Resolve)¹⁴
- 2012 Graeme Auld and **Benjamin Cashore**, "Appendix F, Forestry Review" in Steering Committee of the State-of-Knowledge Assessment of Standards and Certification Toward Sustainability: The Roles and Limitations of Certification (Washington, DC: Resolve)
- 2011 Lead author: Frank Biermann; Contributing authors: Kenneth Abbott, Steinar Andresen, Karin Bäckstrand, Steven Bernstein, Michele M. Betsill, Harriet Bulkeley, **Benjamin Cashore**, Jennifer Clapp, Carl Folke, Aarti Gupta, Joyeeta Gupta, Peter M. Haas, Andrew Jordan, Norichika Kanie, Tatiana Kluvánková-Oravská, Louis Lebel, Diana Liverman, James Meadowcroft, Ronald B. Mitchell, Peter Newell, Sebastian Oberthür, Lennart Olsson, Philipp Pattberg, Roberto Sánchez-Rodríguez, Heike Schroeder, Arild Underdal, Susana Camargo Vieira, Coleen Vogel and Oran R. Young, "Transforming governance and institutions for a planet under pressure" Rio+20 policy brief #3 available at <http://www.earthsystemgovernance.org/> "One of nine policy briefs produced by the scientific community to inform the United Nations Conference on Sustainable Development (Rio+20). These briefs were commissioned by the international conference *Planet Under Pressure: New Knowledge Towards Solutions* (www.planetunderpressure2012.net). These were produced under the theme "Revitalizing the institutional framework for global sustainability: Key Insights from social science research". A revised version was produced in "Current Issues in Sustainability" above. <http://www.earthsystemgovernance.org/news/2011-09-26-policy-brief-institutional-framework-sustainable-development>
- 2011 Jeremy Rayner, Pia Katila, Alexander Buck, Pia Katila, **Benjamin Cashore**, Hans Hoogeveen, Patrick Verkooijen and Peter WoodPolicy, "Policy Brief, Embracing Complexity in international Forest Governance: a Way Forward", accompanying document prepared for release of the Global Scientific Assessment on the 'International Forest Regime'.

- 2009 **Benjamin Cashore**, “Key Components of Good Forest Governance Part I: Overarching Principles and Criteria”, Exlibris produced by the ASEAN-German ReFOP project, “the analysis and making of regional public policy” www.aseanforest-chm.org. Discussion paper No. 6, July
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- 2009 Graeme Auld, Steven Bernstein and **Benjamin Cashore**, “The New Corporate Social Responsibility”, in Neil Gunningham (ed) Corporate Environmental Responsibility Ashgate, Surrey, UK (reprint of Graeme Auld, Steven Bernstein and **Benjamin Cashore**, “The New Corporate Social Responsibility”, above).
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- 2007 **Benjamin Cashore**, “Towards a Better World?: A Proposal to Enhance Market Support for Global Certification Systems” [updated October 2008]. Personal paper on line at www.yale.edu/environment/cashore
- 2007 Stéphane Guéneau & **Benjamin Cashore**, “Des initiatives volontaires privées pour conserver la biodiversité des forêts tropicales? Le cas de la certification forestière” Article pour: Rapport sur la Terre Editions *Les Presses de Sciences-Po*
- 2007 **Benjamin Cashore**, Fred Gale, Errol Meidinger and Deanna Newsom, “Forest Certification in Developing and Transitioning Countries: Part of a Sustainable Future?”, Environment Volume 48, Number 9 (November) pp. 6-25 <http://www.heldref.org/env.php>
- 2007 Constance McDermott, **Benjamin Cashore** and Peter Kanowski , “A Global Comparison of Forest Practice Policies Using Tasmania as a Constant Case”, Research Paper 010, Global Institute of Sustainable Forestry, Yale Program on Forest Policy and Governance Yale University School of Forestry and Environmental Studies Global Institute of Sustainable Forestry 360 Prospect Street, New Haven, Connecticut 06511 USA www.yale.edu/gisf
- 2006 Eric Hansen, Rick Fletcher, **Benjamin Cashore** and Constance McDermott, “Forest Certification in North America” Oregon State University Extension Service EC 1518 January
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- 2006 **Benjamin Cashore**, Fred Gale, Errol Meidinger and Deanna Newsom , Conclusion, in Cashore, Gale, Meidinger and Newsom (eds), Confronting Sustainability: Forest Certification in Developing and Transitioning Societies, Yale School of Forestry and Environmental Studies Publication Series, New Haven
- 2005 **Benjamin Cashore**, *Book review* of Hatch, Chris, ed. 2005. Environmental Policymaking: Assessing the Use of Alternative Policy Instruments. Albany, (NY: SUNY Press), in, *Global Environmental Politics*, 5:4, pp. 126-127.
- 2003 **Benjamin Cashore**, Graeme Auld and Deanna Newsom, "Legitimizing Political Consumption: The Case of Forest Certification in North America and Europe", Politics, Products, and Markets. Exploring Political Consumerism Past and Present edited by Micheletti, Andreas FØllesdal, and Dietlind Stolle. Transaction Press, at Rutgers University, New Brunswick, New Jersey. [NOTE: this chapter was reviewed by editors and then was reviewed by Transaction Press].
- 2002 **Benjamin Cashore**, "Perspectives on Forest Certification as a Policy Process: Reflections on Elliott and Schlaepfer's Use of the Advocacy Coalition Framework: in, Christopher Elliott, Errol Meidinger, and Gerhard Oesten (editors), Social and Political Dimensions of Forest Certification. Remagen-Oberwinter, Germany: Forstbuch. 2002.
- 2001 **Benjamin Cashore**, "What Should Canada Do When the Softwood Lumber Agreement Expires?" Policy issue of the week for policy.ca, and on line policy web site. February 7.
- 2000 Steven Bernstein and **Benjamin Cashore** "Globalization and Internationalization as Influences on Domestic Policy Change," in Ted Cohn, Stephen McBride and John Wiseman (eds), Grounding Globalization: Relations and Levels of Power in the Global Era, (London and New York: Macmillan and St. Martin's Press)
- 1999 **Benjamin Cashore**, "Chapter 3: The US Pacific Northwest", in Wilson et al. 1999, Forest Policy: International Case Studies (Oxon, UK: CAB International)
- 1999 Steven Bernstein and **Benjamin Cashore**, "World Trends and Canadian Forest Policy: Exploring the Influence of Consumers, Environmental Group Activity, International Trade Rules and World Forestry Negotiations", Forestry Chronicle, vol 57 no. 1 (January/February), pp. 34-38.
- 1999 **Benjamin Cashore** and Ilan Vertinsky, "Policy Networks, Firms, and Sustainable Forest Management" (with Ilan Vertinsky), in Published Proceedings of The Sustainable Forest Management Network Conference, Science and Practice: Sustaining the Boreal Forest, Edmonton, Alberta, Canada, February 14-17.

- 1999 **Benjamin Cashore** and Ilan Vertinsky, "Governance Systems and Firm-Level Sustainable Forest Management", proceedings of The Southern Forest Economics Workgroup, Biloxi, MS, April 18-20.
- 1998 **Benjamin Cashore**, "An Examination of Why a Long-term Resolution to the Canada-US Softwood Lumber Dispute Eludes Policy Makers" Working Paper 98.02 Canadian Forest Service Pacific Forestry Centre, Victoria, BC (slightly revised version of "Flights of the Phoenix: Explaining the Durability of the Canada-US Softwood Lumber Dispute", in Canadian-American Public Policy, Number 32, December 1997)
- 1995 **Benjamin Cashore**, "The Future of BC Forests: One Crisis, Multiple Solutions," Review of Touch Wood: B.C. Forests at the Crossroads (edited by Ken Drushka, Bob Nixon, and Ray Travers) in Alternatives 21 (1 1995): 37-3

POPULAR MEDIA

- 2015 Cashore, Auld, Bernstein and Levin, "Paris Could Be Different: But it Requires Policy Makers Apply Path Dependency Analysis to the "Super Wicked Problem" of Climate Change, Yale Macmillan Center. <http://macmillan.yale.edu/news/paris-could-be-different-it-requires-policy-makers-apply-path-dependency-analysis-super-wicked>
- 2015 Levin, Cashore, Auld and Bernstein (2012), "Overcoming the Tragedy of Super wicked Problems", cited in Andrew C. Revkin, December 12, 2015, "The Climate Path Ahead" <http://www.nytimes.com/2015/12/13/opinion/sunday/the-climate-path-ahead.html>; and "The Environmental Protection Agency is trying to stress the word pollution in explaining its rules for cutting power plant emissions of carbon dioxide. Rhetoric and Realities Around Obama's 'Carbon Pollution' Power Plant Rules", NYTimes.com.¹⁵
- 2015 Levin, Cashore, Auld and Bernstein (2012), "Overcoming the Tragedy of Super wicked Problems" cited in the BBC¹⁶, and the Guardian¹⁷.
- 2007 Letter to editor response to "FSC's 'Green' Label for Wood Products Gets Growing Pains", Wall Street Journal, November 9, 2007; Page A17 (published under heading "The Problems With 'Eco-Friendly' Labels").
- 2004 "An Accidental American?", The Globe and Mail, July 24, F7
- 1997 "Why Greenpeace Faltered: Last Summer Activists Expected a Campaign Against Clearcut Logging in BC Would Lead to Bloodshed and Mass Arrests. They Didn't Happen" (with Steven Bernstein) in The Globe and Mail, Thursday, October 2, A23
- 1997 "Why Greenpeace Faltered" (with Steven Bernstein), in Forestry Chronicle, 73: (6) 657-657 Nov-Dec (reprint of Globe and Mail article above).
- 1996 "Why Lumber Trade Disputes Grow Faster than Trees" in The Vancouver Sun, Thursday, May 9, p. A15.

BOOKS IN PROGRESS

- 2018 Graeme Auld, Benjamin Cashore, Aseem Prakash and Erika Sasser, Environmental Activism, Firm Responses, and the Emergence of Private Authority manuscript in preparation. Result of research on case studies of firm certification choices. (This project is on hold, but we do plan on returning to it, focusing on whether firm level sustainability decisions are durable or temporary)

REPORTS

- 2016 **Benjamin Cashore** and Sarah Lupberger “Protocol for Policy Learning Through the Pathways of Influence Framework: A Tool Kit”, GEM Initiative, Yale University
- 2016 Lead Authors: **Benjamin Cashore** and Ingrid Visseren-Hamakers Lead Authors: Paloma Caro Torres, Wil de Jong, Audrey Denvir, David Humphreys, Kathleen McGinley; Contributing Authors: Graeme Auld, Sarah Lupberger, Constance McDermott, Sarah Sax, Daphne Yin” Can Legality Verification Enhance Local Rights to Forest Resources? Piloting the policy learning Protocol in the Peruvian Forest Context GEM Initiative, Yale University, International Union of Forest Research Organizations
- 2015 Matto Mildenerger, Heidi Binko and Benjamin Cashore, “Summary of an Academic-Practitioner Policy Workshop: Designing Durable and Ambitious Climate Policy in the United States” November 2015. White Paper was prepared by the Governance, Environment and Markets Initiative at Yale University’s School of Forestry and Environmental Studies.
- 2015 Benjamin Cashore, Gabriela Bueno, Celine Lim, Alice Thuault, Laurent Micol “Might Legality Verification in Brazil Help Improve Forest Practices?: Co-generating Strategic Insights for Mato Grosso, Brazil Strategy Paper, May 1st for the Climate and Land Use Alliance
- 2008 McDermott, C., Lloyd Irland, and Benjamin Cashore. China-USA Supply Chain Report. New Haven, Yale Program on Forest Policy and Governance.

INVITED PRESENTATIONS

- 2017 Benjamin Cashore, “Promoting Responsible Forest Practices in the Global Era: Reflecting on the Past to Promote Strategic Pathways”, keynote presentation to conference “Looking back – looking forward: 30 years of Tasmania’s forest practices system”, Tasmania Australia, November 20
- 2017 Benjamin Cashore, “Bringing the State Back in: Reflections on the Role of Private Governance in the 21st Century, “ Westoby Lecture, Fenner School of the Environment, Australian National University, November 21
- 2017 Benjamin Cashore, “The future of climate, environment and global governance”. Presentation to Fulbright Youth Institute, Canadian Foreign Service Institute, September 27th, Ottawa, Ontario

- 2017 Keynote presentation, Private Governance and Politics, Workshop on Private Governance, Social Movements, and Information Erb Institute for Global Sustainable Enterprise May 4th
- 2016 Panelist “the role that Corporate Social Responsibility plays in American companies today”, Silliman College Yale University, Thursday, January 19th
- 2016 Keynote speaker, research panel, IDDRI, ESG conference in Nairobi (6-9 December). As co-host of the conference, IDDRI semi-plenary session assessed the “power asymmetries and access inequalities of participation in global environmental governance.” The semi-plenary session intends to explore: “participation in global environmental governance: power asymmetries and access inequalities”
- 2016 Benjamin Cashore, Keynote presentation Can ‘Non-State Market Driven’ Governance Spark Systemic Change? Lessons from Forestry and Beyond, Copenhagen Business School, August, Workshop hosted by the VELUX Endowed Chair in Corporate Sustainability and The ICM World Class Research Environment (WCRE) ‘Governing Responsible Business Copenhagen Business School, PorcelænsHAVEN 18B, Room S.023
- 2016 Benjamin Cashore, keynote address to Cross Sector Partnerships for Systemic Change, <http://www.cssi2016.com/keynotes/>, April 17-20th, Toronto, ON
- 2016 Benjamin Cashore, presentation to Breakout Session 3, “Corporate Social Responsibility” Social Impact Conference, Hosted by Yale Undergraduate Net Impact Saturday April 2, New Haven
- 2016 Benjamin Cashore, “Predictive Proxy Indicators for Forestry Governance”, seminar, Department of Natural Resources and Environmental Sciences (NRES), co- sponsored by the Social Dimensions of Environmental Policy (SDEP) initiative, University of Illinois, Urbana-Champaign, April 6th-8th, Champaign, IL
- 2016 Benjamin Cashore, “Can Legality Verification Initiatives Help Promote Domestic “Good (Forest) Governance”? Presentation to UBC Faculty of Forestry, March 24, Vancouver
- 2015 Panelist, “Reflections on the Canadian Election Results”, with David Cameron and Richard Albert, Macmillan Center, October 28th, Yale University, New Haven, CT
- 2015 Moderator, “How Technology is Saving the World's Forests”, Net Impact Conference (<https://netimpact.org/conference>), November 5-7, Washington State Convention Center, Seattle, WA
- 2015 Keynote, “Can Transnational Business Governance Improve Domestic State Capacity? Lessons from “Good Governance” Challenges in Southeast Asia” to Governing Sustainable Business: Resources, Institutions and Capital, A Governing Responsible Business (GRB) and Velux Project Copenhagen Business School (CBS) Workshop 09.00 3rd – 14.00 4th September 2015 PorcelænsHAVEN, 18B, S.023¹⁸
- 2015 Benjamin Cashore, “Forest Governance and the Role of the Private Sector” Thursday, April 2, 12-1:30pm MC 9-100, The World Bank, Washington, DC

- 2015 Benjamin Cashore Sebastien Jodoin, Vivienne Caballero, Sarah Lupberger, Michael Stone, Chelsea Judy, with Celine Lim, Shaadee Ahmadnia, Ruth Metzler, Akiva Fishman, Jessica Webb and Jose Pons, "Championing the Diffusion of Community Forestry Through the Pathways of Influence Framework: Strategic Implications for CLUA" Presentation to CLUA Board, March 9th, 2015 March 9, New York, NY
- 2015 Benjamin Cashore, panelist, with Chris Beeko, Ghana, Forestry Commission; Nora Bowier, Liberia, Sustainable Development Institute; Roberto Waack, Brazil, Amata; Faith Doherty, Environmental Investigation Agency; Ruth Nogueron, World Resource Institute; Thematic seminar, "Governance: The Role of FLEGT in Improving Governance in the Future", EU FLEG T Week, Brussels, Belgium, March 17 1430-1800 www.flegtweek.org.
- 2015 Benjamin Cashore, roundtable participant, "The Global Context", "Future forestry sustainable solutions", Public Policy Forum, Ottawa, Canada, March 27, 2015 Moderated by Glenn Mason, Assistant Deputy Minister Canadian Forestry Service, Natural Resources Canada with Janette Bulkan, University of British Columbia, Aran O'Carroll, Executive Director, Canada Boreal Forest Agreement Secretariat
- 2015 Benjamin Cashore Sebastien Jodoin, Vivienne Caballero, Sarah Lupberger, Michael Stone, Chelsea Judy, with Celine Lim, Shaadee Ahmadnia, Ruth Metzler, Akiva Fishman, Jessica Webb and Jose Pons, "Championing the Diffusion of Community Forestry Through the Pathways of Influence Framework: Strategic Implications for CLUA" Presentation to CLUA Board, March 9th, 2015 March 9, New York, NY
- 2014 Benjamin Cashore (presenter) with insights from Sebastien Jodoin, Michael Stone, Vivienne Caballero and Sarah Lupberger, "Championing the Diffusion of Community Forestry Through Pathways of Influence: Towards the Co-generation of Strategic Insights" Presentation to Global Landscape Forum sub-plenary on community forestry, Lima Peru, Dec. 6th, 2014, sponsored by the World Resources Institute, Rights and Resources Initiative (RRI), Governance Environment and Markets Initiative at Yale University's School of Forestry and Environmental Studies (GEM)
- 2014 Benjamin Cashore (coordinating convening lead author), with Steven Bernstein and Jeremy Rayner and several others "*Constructing a Problem-Focused Global Forest Governance Architecture: Towards A Policy Learning Protocol For Traveling (Multi-level) Pathways of Influence*", paper and presentation for delivery to the Collaborative Partnership on Forests Meeting Lima Peru, December 8, 2014, Prepared in collaboration with the IUFRO special project on a Forest Policy Learning Architecture & the Governance, Environment and Markets Initiative at Yale University
- 2014 Benjamin Cashore (with Iben Nathan) "How Do Weak States Respond to Market-Driven Governance?: Lessons from Global Forest Legality Verification Efforts in Southeast Asia" Seminar hosted by the Environmental Politics Research Group (EPRG) and the interdisciplinary strategic research area "Biodiversity and Ecosystem services in a Changing Climate" (BECC), Department of Political Science, Lund University, Lund, Sweden, November 12
- 2014 "Plenary opening panel", 3rd UNITAR-Yale Conference on Environmental Governance and Democracy, Human Rights, Environmental Sustainability, Post-2015 Development, and the Future Climate Regime, Yale University, 5-7 September

- 2014 “How Can Policy Learning Advance CPF Goals?” (with Buck, Rayner, Gluck and Yang Lim), presentation to Collaborative Partnership on Forests retreat, Feb 5th, New York, New York
- 2013 Expert presenter, Workshop on Rule of Law, Climate Change and Sustainable Development, sponsored by the International Development Law Organization (IDLO) in collaboration with the University of Warsaw’s Faculty of Law and Administration: “An experts discussion on how the rule of law, good governance, equity and citizen empowerment are essential in a Post-2015 Development Agenda and for responsible climate change action.” Room 209 Collegium Iuridicum I Saturday, November 16, 2013
- 2013 “Building a Problem Focused Architecture for Landscapes” Keynote address Governance and legal frameworks for sustainable landscapes Sponsored by IUFRO; IDLO; CIFOR, Global Landscapes Day, Warsaw Poland Sunday November 17, 2013
- 2013 “Overcoming the Tragedy of Super Wicked Problems: The Role of Policy “lock-in” in transitioning to a Sustainable Future”, Presentation to Dr. Richard Kool’s class, Environmental Education and Communication Program, School of Environment and Sustainability | Royal Roads University, October 2.
- 2013 Presenter “FLEGT’s influence on markets, trade and economic development” and rapporteur, European Forest Institute, “Shaping forest policy: Global initiatives and the European arena” 26 September 2013, with Mr. Heiko Liedeker, EFI’s EU FLEGT Facility Alexander Hinrichs, Ms. Melissa Othman, Mr. Christophe van Orshoven, Nancy, France, 26 September
- 2013 “Can market forces rescue global forest governance?” Sustainability Lecture, Sustainability Science Centre, University of Copenhagen, Department of Geosciences and Natural Resource Management, Copenhagen, Denmark, August 26th www.sustainability.ku.dk/sustainability-lectures/signup
- 2013 “Overcoming the Tragedy of Super Wicked Problems: The Role of Policy “lock-in” in transitioning to a Sustainable Future”, European Environmental Agency, Wednesday, August 28th Copenhagen, Denmark
- 2013 “*Comparing Environmental Forestry Regulations*” Lecture to SUFONAMA students at the Forestry College, University of Copenhagen Aug 14th
- 2013 “The Economic and Social Contributions of Forests: Implications for the Private Sector Role in Forest Financing” (with Lloyd Irland) Presentation to UNFF Meeting on forest finance, Vienna, Austria, January
- 2012 “Non-state Forest Governance: The Role of eNGO’s”, presentation to Environmental Policy Group and Forest and Nature Conservation Policy Group Symposium “Transnational Forest Governance: Assessment and New Approaches” December 12, Wageningen University, Wageningen, Holland
- 2012 “Legality Verification and Policy Baskets: Forward Looking Policy Analysis for an Unpredictable” World Keynote presentation to International Conference, Illegal logging and legality verification – the FLEGT/VPA as new modes of governance Copenhagen, 6th and 7th December 2012

- 2012 “Where is Sustainable Palm Oil Certification Headed? Learning from Past Changes to Understand the Future?”, presentation to Plenary Session 2, Sustainability – the Next Leve, with Darrel Webber (Seretary General RSPO), Arbind Prasad, Dorjee Sun, Jan Kees (President RSPO), 10th annual roundtable meeting on Sustainable palm oil, Resorts world Sentosa Singapore Oct 30 – Nov 1 <http://rt10.rspo.org/c/rt10-plenary-sessions/> (<http://rt10.rspo.org/c/rt10-overview/>)
- 2012 “Can legality verification rescue global forest governance? Presentation to CENSE seminar series, University of Copenhagen, Denmark, Copenhagen, May 16th, 2012
- 2011 “Can the Intersection of Biodiversity and Climate Policy Rescue Global Forest Governance? Assessing lessons from REDD and Legality Verification” Presentation to Forest Biodiversity in a Changing Climate: Understanding Conservation Strategies and Policies, An international conference in Freiburg, Germany 22-23 September 2011 (by adobe connect)
- 2011 Starker lecture, “Politics Impacting World Forests and Forestry”, Starker lecture series, Oregon’s place in World Forests and Forestry, Faculty of Forestry, Oregon State University Oregon State, May 3rd
- 2011 Speaker, “Panel 3: Forest Governance and REDD”, ELTI and PRORENA annual conference, **Technical, Socioeconomic and Political Dimensions**” Smithsonian Tropical Research Institute, April 7-8th
- 2011 “Public and Private Authority: The Rise and Intersecting Potential of Legality Verification in Southeast Asian Forestry for Nurturing Global Forest Governance Presentation to School of Public Policy and Administration, Carleton University, Ottawa March 15 2011
- 2010 Keynote speaker, “Can legality verification rescue global forest governance?” presentation to conference, new forms of governance and law in Multi-Level Governance: The role of the state between international, transnational, national and sub-national governance of sustainable forestry. 15 December 2010, Faculty of Life Sciences, University of Copenhagen.
- 2010 “Can Legality Verification Rescue Global Forest Governance Assessing the Interacting Effects of Economic Mechanisms on Forest Policy and Governance with lessons learnt from Southeast Asia.” Presentation to Research seminar, Department of Forest Sciences, University of Helsinki, 5th floor, seminar room sh14, Helsinki, Finland, October 8, 2010
- 2010 Lead **Session 4: Non-State Business Governance: Self-Regulation and the role of Government?** , Workshop on Business, Regulation, and Climate Change, Schulich School of Business, York University, Ontario, **Monday, October 25th**.
- 2010 Keynote speaker, “Can Public and Private Institutions Intersect to Promote Responsible Global Forest Management? Reflections on Forest Policy Change Processes” to FAO Rome forest governance workshop "Emerging economic mechanisms: implications on forest-related policies and sectoral governance”, World Forest Week in co-operation with FAO Forestry Department. October 5

- 2010 Participant, roundtable (with Garry Gray, Cary Coqlanese and Rick Lempert and chair by Vibeke Lehmann Nielse), round table on "Research design and methodology studying regulation and governance - input to a future research agenda". Organized by the Law and Society Association's Collaborative Research Network (CRN) on Regulatory Governance Co-chairs Vibeke Lehmann Nielsen and Christine Parker. Law and Society Association's Annual Meeting, Chicago, IL May 30th
- 2010 "Public and Private Authority in the Governance of Forests" Universiteit van Amsterdam, Amsterdam, May 21st
- 2010 "Playing it Forward: Path Dependency, Progressive Incrementalism, and the Super Wicked Problem of Global Climate Change", presentation to EPA Lecture Series, Institute for Environmental Studies Vrije Universiteit Amsterdam, May 19th
- 2010 "Can Non-State Governance "Ratchet-up" Global Standards? Assessing their Indirect Effects and Evolutionary Potential" Presentation to Environment & Energy Workshop, Environmental & Natural Resources Law and Policy Program, Stanford Law School, Palo Alto, CA, April 5
- 2010 "Can Legality Wood Sourcing 'Ratchet up' Global Forest Standards? Reflections on Lessons from Forest Certification" presentation to Panel, "Green Policy in a Changing World: Issues Relating to Deforestation Illegal Logging, Government Policy and Effects of Legislation", ONE GOOD WORLD ECOngress: Legal Wood Sourcing & Building Green Markets, Las Vegas, NV, January 31
- 2010 Discussant, Symposium, "Ensuring a Green Recovery: Biomass Certification Schemes from Both Sides of the Atlantic" Annual Meeting, National Council for Science and the Environment. Organized by Ecologic Institute. Moderator, Moderator: Stephanie Schlegel, Fellow and Coordinator of Agriculture & Bioenergy, Ecologic Institute – Berlin Other Discussants: James Boyd, Commissioner, California Energy Commission, Jessica Loehndorf, Fellow, Ecologic Institute on behalf of the German Ministry for the Environment, Dr. Jan M. Henke, Consultant, International Sustainability and Carbon Certification. Washington, DC, January 22
- 2009 Invited to workshop, Collaborative Partnership on Forests, to discuss rationale and proposal for an international scientific assessment of the global forest regime, United Nations, New York, September 28th
- 2009 Lecture, "Governance for Sustainable Development", LESTARI (Institute for Environment and Development), Universiti Kebangsaan Malaysia (National University of Malaysia), Bangi Selangor, Malaysia, December 8
- 2009 Invited participant, "People 4 Earth" Workshop on Global Standards labeling, Tellus Institute, Boston, MA, November 20th
- 2009 "Sustainable Businesses in the Global Era: Can Eco-labeling Certification Systems Reward Responsible Behavior?," Yale Club of Northwestern Connecticut Annual Meeting, Litchfield, CT, October 29th
- 2009 Invited to workshop, Collaborative Partnership on Forests, held at the United Nations Forum on Forests, to discuss rationale and proposal for an international scientific

- assessment of the global forest regime, United Nations, New York, September 28th
- 2009 Presentation of research program and potential synergies with IDRRRI and CIRAD, Montpellier, France, September 28th
- 2009 Invited participant, “Sustainability Standards and Certification Assessment Convening Meeting”, Washington, DC, National Academy of Sciences, Room 150, September 22
- 2009 “Towards a Better World?: Reflections on the next generation of strategic interventions for the global certification movement” Presentation (via Skype) to ISEAL Annual General Meeting, London, UK, June 22
- 2009 “A Symbiotic Role for Non-State Market Driven Governance and Public Policy?: The Curious Case of the CDM “Gold Standard”, Presentation to séminaire "Gouvernance du développement durable - normes et régulation internationales" organisé par la Chaire développement durable de Sciences Po et le Cirad, May 6
- 2009 “Can Market Mechanisms Ratchet Up Global Forest Standards?”, keynote presentation to to British Columbia Political Science Association’s Annual Meeting, Kelowna, BC, May 1-2
- 2009 “Bolstering Environmental Integrity and Sustainable Development Benefits of the Clean Development Mechanism (CDM): Can Non-State Certification Systems Facilitate State-Centered Efforts?” Presentation (with Kelly Levin) to Law and Globalization seminar on Multinational Corporations and human rights, Yale Law School, April 20th
- 2009 “Sustainable Business in the Global Era: Can Forest Certification Ratchet Up Global Forest Standards”, Presentation to mid-career short course, Yale Global Institute of Sustainable Forestry, March 30th
- 2009 “Climate Change as Super Wicked Problem” presentation to Oxford University Center for the Environment, University of Oxford, Oxford UK March 16h
- 2009 “Institutions and Policy Change:”, presentation to Oxford University’s Masters of Environmental Science Program, Oxford Center for the Environment, University of Oxford, Oxford UK March 16th.
- 2009 “Sustainable Businesses in the Global Era: Can Market Driven Certification Systems Reward Responsible Behaviour?”, presentation to James Martin 21st Century School, University of Oxford, Oxford UK March 5
- 2009 “Can Non-State Market Driven Governance Ratchet Up Global Standards? Reflections on Certification’s growth, opportunities, and limitations”, Keynote Presentation to National Academies’ workshop, “Certification of Sustainable Goods and Services” Jan 19-21, Irvine, California
- 2008 Keynote presentation, “Can Market-based Approaches ‘Ratchet up’ Global Forest Standards? Lessons from Forest Certification for ‘Legality of Traded Timber’ Food and Agricultural Organization Workshop on ‘Legality of Traded Timber: the Development Challenges’, Rome, November 24-26.

- 2008 Understanding Global Trends in Forest Policy and Governance, four mini lectures to Yale Alumni New Zealand tour, New Zealand, November 11-19th.
- 2008 "Towards a Better World?" Presentation of a global eco-label concept to expert meeting on the future of voluntary global standard schemes Berlin, October 6- 7 WWF-Germany and GTZ Programme Office for Social and Ecological Standard
- 2008 "Overview of Research Projects: Approach and Content", Presentation to ASEAN-German Forest Law Enforcement and Governance (FLEG) knowledge network , Jakarta, Indonesia, October 14
- 2008 "Sustainable Businesses in the Global Era: Can Market Driven Certification Systems Reward Responsible Behaviour?", Presentation to Student International Discussion Group co-sponsored by the Environmental Institutions Seminar, Duke University, Nicholas School of the Environment, North Carolina, Sept. 12
- 2008 "Can Non-State Market Driven (NSMD) Governance Systems Reward Responsible Behaviour: Lessons from the Forest Sector?" Presentation to The International Secretariat for Human Development's workshop, *Non-State Regulation for Development: Prospects for Social Change?* Co-Sponsored by The Business & Society Program, the Graduate Program in Development Studies and the Schulich School of Business. York University, Toronto, Sept. 19
- 2008 Participation and presentation to, Steering Committee of WFSE for "Future of Forests: Responding to Global Changes" workshop. WFSE and the Finnish Forest Research Institute, Helsinki, Finland, September 2-3rd
- 2008 "Presentation on "Non-state Market Driven" (NSMD) governance systems to Roundtable: Collaborative Governance, Distributional Politics, and Equity, *American Political Science Association's Annual Meeting*, Saturday, Boston, MA Aug 30th
- 2008 "Environmental Policy & Governance: Implications of 'Applied Forward Reasoning'", presentation to TERI- Yale Workshop: Environmental Policy & Governance, June 26h
- 2008 "Can forest Certification Ratchet Up Global Forest Standards", Presentation to mid-career short course, Yale Global Institute of Sustainable Forestry, April 4th
- 2008 "Can British Columbia's Forest Policies Help Ratchet Up Global Standards?", Presentation to International Conference on Forests and Regulatory Reform, Beijing, China, February 29th, 2008.
- 2008 "New Solutions for Old Growth: Reflections on Forest Policy Development in the Global Era" keynote address to conference on "Old Forests, New Management", Hobart, Tasmania, Australia, February 20th.
- 2008 "New Solutions for Old Growth: Reflections on Forest Policy Development in the Global Era" keynote address to conference on "Old Forests, New Management", Hobart, Tasmania, Australia, February 20th.
- 2008 "The Dynamics of Policy Change", presentation to Environmental Policy Change class, Yale School Forestry and Environmental studies, January 23, New Haven, CT.

- 2007 “Governing Through Markets” Presentation to Forest and Forest Industry Council, Presentation to panel, “Toward An International Forest Regime Based On Private Schemes?”, and ECOFOR conference on *International Regime, Avoided Deforestation and the Evolution of Public and Private Forest Policies in the South*, November 21-23, Paris, France
- 2007 Overview of our approach for Yale Program on Forest Policy and Governance’s green building certification study, Green Build, Chicago, IL, Nov. 6th
- 2007 “Forestry & Environment Standards”, presentation to Michigan State University, Understanding Standards Workshop, MSU: Kellogg Hotel & Conference Center, October 26 – 28
- 2007 “Forest Certification and Plantation Management: Can Stakeholder Engagement ‘Ratchet up’ Global Environmental Standards?” Presentation to Department of Forest and Wood Science Stellenbosch University, International Plantation Certification Symposium, September 18th– 21st.
- 2007 “Forest Policy Development in the Global Era” Presentation to Forest and Forest Industry Council, Hobart, Tasmania, Australia September 12
- 2007 “Forest Policy Development in the Global Era What Role Ought Australia to Play?” Presentation to Victoria State Department of Sustainability and the Environment, Melbourne, Victoria, Australia, September 6th
- 2007 “Sustainable Businesses in the Global Era: Can Market Driven Certification Systems Reward Responsible Behaviour?” Presentation to Schulich School of Business, York University, Toronto, ON, April 5th
- 2007 “The Emergence of Non-State Market Driven (NSMD) Global Governance: Lessons From the Forest Sector” Presentation to the University of Ottawa, Ottawa, ON, March 13th.
- 2007 “Sustainable Businesses in the Global Era: Can Market Driven Certification Systems Reward Responsible Behaviour?” Presentation to the Faculty of Environmental Studies, University of Waterloo, Waterloo, ON February 9th
- 2007 “Habitat and Climate Change” Presentation (with Kelly Levin) to Mrs. Castle’s 3rd grade class, Highland School, Cheshire, CT Feb. 16th .
- 2006 “Global Trends in Forest Policy and Certification” Presentation to Chinese government delegation on forest certification, offices of the Sustainable Forestry Initiative, Bethesda, Maryland December 4th.
- 2007 “Governing Through Markets” Presentation to Forest and Forest Industry Council, Presentation to panel, “Toward An International Forest Regime Based On Private Schemes?”, CIRAD, IDDRI, CIFOR, and ECOFOR conference on *International Regime, Avoided Deforestation and the Evolution of Public and Private Forest Policies in the South*, Paris, France November 21-23
- 2007 Overview of our approach for Yale Program on Forest Policy and Governance’s green

- building certification study, Green Build, Chicago, IL, Nov. 6th
- 2007 "Forestry & Environment Standards", presentation to Michigan State University, Understanding Standards Workshop, MSU: Kellogg Hotel & Conference Center, October 26 – 28
- 2007 "Forest Certification and Plantation Management: Can Stake Holder Engagement 'Ratchet up' Global Environmental Standards?" Presentation to Department of Forest and Wood Science Stellenbosch University, International Plantation Certification Symposium, September 18th – 21st.
- 2007 "Forest Policy Development in the Global Era" Presentation to Forest and Forest Industry Council, Hobart, Tasmania, Australia September 12
- 2007 "Forest Policy Development in the Global Era What Role Ought Australia to Play?" Presentation to Victoria State Department of Sustainability and the Environment, Melbourne, Victoria, Australia, September 6th
- 2007 "Sustainable Businesses in the Global Era: Can Market Driven Certification Systems Reward Responsible Behaviour?" Presentation to Schulich School of Business, York University, Toronto, ON, April 5th
- 2007 "The Emergence of Non-State Market Driven (NSMD) Global Governance: Lessons From the Forest Sector" Presentation to the University of Ottawa, Ottawa, ON, March 13th.
- 2006 "Can the Non-state Market Driven (NSMD) Governance Model Address Enduring Policy Problems?: Lessons and Questions from Cross Sectoral Comparisons" Presentation to the Massachusetts Institute of Technology's, Department of Urban Studies and Planning Nov. 27, 2006.
- 2006 "Multi-disciplinary Research in an Unpredictable World: Challenges and Opportunities for Problem-focused Sustainability Scholarship", Public lecture: University of British Columbia Okanagan (UBCO), Irving K Barber School of Arts and Social Sciences, Kelowna, BC. Nov. 20th.
- 2006 "The Emergence of Non-State Market Driven (NSMD) Global Governance: Lessons From the Forest Sector" invited presentation to the faculty and student research colloquium, University of Northern British Columbia (UNBC), Prince George, British Columbia, November 17th.
- 2006 "Forest Policy Development in the Global Era: What Role Ought British Columbia to Play?" Doug Little Memorial Lecture, University of Northern British Columbia (UNBC), Prince George, British Columbia, November 16th.
- 2006 "Can Firms' Strategic Interests Transform Global Environmental Governance?: Assessing the Emergence of Non-State Market Driven Authority", invited presentation to seminar series on private authority and globalization, Department of Management and Global Business, Rutgers Business School, Rutgers University, November 1.
- 2006 "The Emergence of Non-State Market Driven (NSMD) Global Governance: Lessons From the Forest Sector" Invited presentation to the University of Toronto's Dept of Political

- Science seminar series, co-sponsored by the Faculty of Forestry, and the Internationalisation and Public Policy Series, October 20.
- 2006 Invited as participant and respondent to paper prepared for *Governance for Sustainable Development* to be held at the Bren School of Environmental Science and Management at the University of California, Santa Barbara, October 12-14
- 2006 Invited to present on international forestry issues, as part of the University of Connecticut's Department of Ecology & Evolutionary Biology and Law School interdisciplinary seminar series, Hartford, CT, October 3.
- 2006 "The Emergence of Forest Certification in European and North American Forest Sectors" (with Auld, Newsom and Egan), Paper presented to the International workshop What makes them work? Theoretical and empirical advances on implementation of eco-certification schemes , INRA-ENGREF - Laboratory of Forest Economics, Nancy, France, June 29th (paper delivered through video feed from New Haven, CT).
- 2006 "Can Non-State Global Governance be Legitimate? A Theoretical Framework" Keynote presentation and paper (with Steven Bernstein) to a joint IDDRI, CIRAD and Sciences-Po research unit conference, in conjunction with the "Association Française de Sociologie".on the role of norms in the governance of economic activities ("Dispositif de normalisation comme technologie de gouvernement économique"), June 7-9th, Montpellier, France (presentation given once to the workshop on June 8th, and again to public on June 9th)
- 2006 "The Future of Forest Certification in the Global Era: Prospects for Nova Scotia" Invited presentation to workshop, Forest Certification in Nova Scotia, Ecological Necessity or Economic Imperative?, School of Resources and Environment, Dalhousie University, Halifax, NS, May 15th.
- 2006 "Basket Approach to Protecting Forest Resources", Presentation to Yale Global Institute of Sustainable Forestry's lecture series on "the risks and rewards of regulating forest practices", April 18
- 2006 "Should the Forest Sector be Strategic? Analyzing New Brunswick and Canadian Policy Responses to International Influences in the Global Era", *Royal Society of Canada lecture*, University of New Brunswick, Fredericton, New Brunswick, April 4 .
- 2006 "The Shaping and Reshaping of British Columbia Forest Policy in the Global Era: A Review of Governmental and Non-governmental Strategic Initiatives" *Keynote address to Association of British Columbia Professional Foresters Association's* annual meeting, Victoria, BC, Feb. 22.
- 2005 "Overview of Current Research" Presentation to Student Affairs Committee (SAC), Yale School of Forestry and Environmental Studies, New Haven, CT, November 15th.
- 2005 "Everything You Wanted to Know About Ben Cashore But Were Afraid to ask" Faculty Seminar Series, Yale School of Forestry and Environmental Studies, New Haven, CT, October 26th.
- 2005 "Can Non-state Global Governance be Legitimate? The Paradoxical Institutionalization Stages of Market-based Authority", Yale Working Group on Global Governance, Yale

University, New Haven, CT, October 18th.

- 2005 “Towards Non-State Global Governance? Distinguishing Different Modes of Corporate Social Responsibility”, Presentation to Forum, “New Partnership Between Politics and Economic— Corporate Responsibility and Sustainable Development” Organized by DaimlerChrysler and China European International Business School October 14, Shanghai, China.
- 2005 “Forest Certification and the Emergence of Non-state Market Driven Governance”, invited presentation to Bureau of Rural Sciences’ Science Seminar Series, Department of Agriculture, Fisheries, and Forestry, June of the 22nd, Canberra, ACT, Australia
- 2005 “The International and Political Context of Forest Certification”, invited presentation to the 22nd Biennial Conference of the Institute of Foresters of Australia: Burning Issues in Forestry”, April 10-14th Mount Gambier, South Australia
- 2004 “Forest Certification in the Global Context”, invited keynote speaker to *Future Forests & Timber 2004* conference. National Association of Forest Industries, Australia, Sydney, Australia, November 11-12
- 2004 “Non-state Market Driven Governance”, session on Public Administration Challenges and Capabilities: Research and Practice, Institute of Public Administration of Australia, Academics Day, Canberra, Australia, November 10
- 2004 “Globalization and Sustainable Forestry” invited presentation to Sustainable Forestry Partnership’s Discovery Lecture series, “Sustainability in an Age of Globalization”, Oregon State University, Department of Forest Resources, March 8, Corvallis, OR
- 2004 “Politics and legislation: Plantation forestry laws, regulations, and certification” invited presentation to symposium, “Intensive Plantation forestry in the Pacific Northwest: Assessment of future potential and economic, environmental and social implications” Oregon State University, Department of Forest Science, Oregon Convention Center, Portland, OR, January 21-22
- 2003 “Forestry in British Columbia in Comparative Context”, Association for Canadian studies in the United States, panel on Pacific Northwest forest policy, 2003 Biennial Meeting, Portland, OR, November 19-23
- 2003 “Certification and Forestry Schools: The role of research in improving the science behind certification”, presentation to Society of American Forester’s Annual meeting, Buffalo, New York, NY, October 27th, 2003.
- 2003 “Presentation on country-level comparative forest certification research” XII World Forestry Congress (with Graeme Auld) September, 25, 2003. World Forest Congress, Quebec City, PQ (Proposed presentation selected by program committee).
- 2003 “When Non-Governmental Organizations Govern: Using Markets to Create Non-state Authority”, presentation to Program on Non-Profit Organizations (PONPO), Yale School of Management, Yale University, New Haven, CT, September 30th.
- 2003 “Legitimacy and Forest Certification”, presentation to World Business Council on

- Sustainable Development's International Forest Industry Round table on Forest Certification, New York, New York, June 13.
- 2003 "Legitimacy and forest certification", presentation to World Business Council on Sustainable Development's International Forest Industry Round table on Forest Certification, London, England, May 12.
- 2002 "Raconteur" for "Making FSC-Certified Market Linkages Work: A Multi-Chamber FSC Working Session on Challenges, Lessons Learned and Future Directions", FSC General Assembly, November 22, Oaxaca, Mexico.
- 2002 "Overview of discussion paper on Forest Certification", meeting on Forest Certification sponsored by The Forests Dialogue, 16-18 October 2002, Geneva.
- 2002 "Conceptualizing Forest Certification as a Non-State Market Driven (NSMD) Governance System" *Presentation to Instrument Choices in Global Democracies Conference*, Sessions 3, New Issues and Development in Voluntary and Non-Regulatory Instruments, Montreal, PQ, September 26-28
- 2002 Moderator and presenter on Plenary Panel II, "Certification in the US and Canada" Forest Leadership Forum, April 25-27, Atlanta I
- 2002 "Overview of Research on Forest Certification and Legitimacy", presentation to Yale School of Forestry and Environmental Studies faculty seminar series, May 2
- 2001 Speaker/discussant on Introductory Certification Trends panel for "Certification Institutions and Private Governance: New Dynamics in the Global Protection of the Environment and Workers' Rights" seventh Annual Colloquium on Environmental Law & Institutions. Sponsored by The Ford Foundation and Duke University's Center for Environmental Solutions, Nicholas School of the Environment and Earth Sciences, and School of Law, Duke University, Durham, NC, December 6-8, <http://www.env.duke.edu/solutions/colloquia7>
- 2001 "Forest Certification in the Heart of Dixie", presentation of survey and paper on Alabama forest landowners attitudes toward eco-labeling (lead author and researcher was my former Masters student, Deanna Newsom), to the Consultative Group in Biological Diversity, Charlotte, North Carolina, December 4-5th
- 2001 "Sustainable Development and Environmental Management", invited presentation to "Visioning North America" conference, Carleton University, Ottawa, Canada, October 14-15th.
- 2001 "Globalization, Internationalization, and Domestic Policy Change", presentation to the Institute for Social and Policy Studies, Yale University, October 5.
- 2001 "The BC certification experience in comparative context" (with Graeme Auld), presentation to UBC Faculty of Forestry, September 7, Vancouver, British Columbia
- 2001 "Emerging International and Domestic Issues over Forest Certification" presentation to Resource Management Services, Birmingham, Al, January 12

- 2000 "Legitimacy and the Privatization of Environmental Governance: How Do Forest Certification Programs Gain Rule Making Authority?" presentation to Yale School of Forestry and Environmental Studies, Yale University, October 26.
- 2000 "Globalization, Internationalization and Environmental Forest Policy Change in British Columbia, presentation to Yale Forestry Forum, Yale School of Forestry and Environmental Studies, Yale University, October 26.
- 2000 "The Role of Non-governmental Organizations on Forest Policy", presentation to panel discussion on the role of Environmental Groups in, Rethinking the Line: The Canada - U.S. Border Conference, October 22 to 25. The Waterfront Centre Hotel, Vancouver, BC
- 2000 "The Causes of Forest Certification: Exploring European and International influences", presentation to SAF Southeastern conference, Auburn, AI, October 15.
- 2000 "Humility, Science, and Sustainability: Chasing the Elusive Goal of Capital 'T' Truth", presentation to the Auburn University Forum on Sustainability and Biocomplexity, Auburn, AI, September 14.
- 2000 "The Sustainability Issue: Policy Dynamics and the Emergence of Forest Certification" Presentation to the Alabama Forest Owners Association Annual Meeting, Auburn, AI, April 1.
- 1999 "Trends in International Forestry Politics and their Effect on Alabama Forestry" Presentation to the Alabama Division of American Foresters, 1999 Annual Meeting, Marketing Foresters and Forest Products" May 16-18, Auburn University, Auburn, AL.
- 1999 "Exploring the Canada-US Softwood lumber dispute and its effects on the US South forest industry", presentation to SAF George Pine Hill chapter, Pine Hill, GA, October 21.
- 1998 "The Role of the Consumer: Examining the Effects of Globalization and Internationalization on the Canadian Forest Sector" **Plenary Panel Session**, "World Trends and the Effects on Canadian Forest Practices" in Canadian Institute of Forestry Annual Meeting, Ottawa, ON, October 5, 1998
- 1998 "The International-Domestic Nexus: The Effects of International Trade and Environmental Politics on the Canadian Forest Sector", Economics/International Forestry Working Group in Canadian Institute of Forestry Annual Meeting, Ottawa, ON, October 7
- 1998 "Hard Lines on Softwood: Explaining the Durability of the Canada-US Softwood Lumber Dispute" Presentation to the University of New Brunswick, Saint John. January 27.
- 1997 "Flights of the Phoenix: Explaining the Relentless Canada-US Softwood Lumber Dispute" Lecture to the Canadian-American Center, University of Maine, Orono, Maine. March 26.
- 1997 "Canada/US Trade Relations: The Case of the Softwood Lumber Conflict", Fulbright Seminar, US Consul General's Residence, Vancouver, BC. March 7.

- 1997 “Explaining the Causes and Durability of the US Canada softwood lumber conflict: the role of Environmental Policy and US ‘Rules of the Game’”, presentation to the Harvard Faculty Club/Fulbright seminar series. Cambridge, MA, February 26.

CONFERENCES

- 2018 **Benjamin** Cashore, “Bringing the State Back in: Reflections on the Role of Private Governance in the 21st Century”, lunchtime seminar presentation, The George Washington University School of Business, Wednesday, January 31
- 2018 Round table discussion on **Benjamin** Cashore, “Bringing Bio-environmentalists and Social Greens Back in: Reflections on Fostering Transformative Change within US-Based Professional Environmental Schools” *Proposal* to American Association of Environmental Studies and Sciences conference, Washington DC, June 21-23
- 2018 IWPP workshop, organized by Sebastian Sewerin (ETH Zurich), Benjamin Cashore (Yale), Daniel Béland (USASK) “Policy Feedback and Policy Dynamics: Methodological and Theoretical Challenges”, International Public Policy Workshops in Pittsburgh, 26-28 June 2018. www.icpublicpolicy.org The workshop’s main objective is to bring together the policy feedback and policy design literatures to facilitate the shift to studying feedbacks in relation to policy dynamics (i.e., stability and change) rather than actors or policy outcomes. Four broad research questions are suggested.¹⁹
- 2018 Benjamin Cashore, Graeme Auld, Steven Bernstein, Kelly Levin and Daniel Rosenbloom, “Can Climate Finance ‘Ratchet down’ Emissions in Time? Identifying Distributional Approaches for Triggering a Path Dependent Low Carbon Economy” paper in preparation for Second Annual Research Roundtable on Private Environmental Governance and Climate Change, Northwestern Pritzker School of Law in Chicago, IL on Monday, April 30—Tuesday, May 1, 2018
- 2018 Benjamin Cashore and Steven Bernstein, “The Tragedy of the Common Pool Resources Metaphor: Bringing the Environment Back in to Environmental Studies”, International Studies Association, San Francisco
- 2018 Benjamin Cashore, “Sustainable Commodity Governance and the Global South”, Workshop Research Grant, participant with Michael J. Bloomfield, Michaela Foster, Thomas N. Hale, Chelsea Judy, Stefan Renckens, Philip Schleifer, Maja Tampe, Tannis Thorlakson, Coordinated by Hamish van der Ven and Yixian Sun, Annual Meeting of the International Studies Association, April 2-7th, San Francisco.
- 2018 Benjamin Cashore, discussant on panel organized by by Stefano Ponte, “Transnational Sustainability Governance I: Experimentalism, Intermediaries, and Regime Effectiveness” Annual Meeting of the International Studies Association, April 2-7th, San Francisco
- 2018 Benjamin Cashore and Steven Bernstein, “The Tragedy of the Common Pool Resources Metaphor: How Economic Utility Enhancing Frameworks Came to Dominate Environmental Governance Scholarship” forthcoming presentation to Ostrom Workshop at Indiana University, April 23
- 2018 Benjamin Cashore, Graeme Auld, Steven Bernstein, Kelly Levin and Daniel Rosenbloom,

- “Can Climate Finance ‘Ratchet down’ Emissions in Time? Brainstorming Distributional Approaches for Triggering a Path Dependent Low Carbon Economy” Background power point for Smart Prosperity’s Saturday March 3 seminar on distributional approaches from Alberta’s climate finance revenue, University of Ottawa
- 2018 Daniel Rosenbloom, James Meadowcroft, Benjamin Cashore, Stability and Climate policy? Harnessing insights from the literature on path dependency, policy feedback, and pathways to help accelerate the low-carbon transition, paper to be delivered to Sustainable Prosperity’s Environmental Research Conference, May 1-2, Ottawa, Canada
- 2018 Workshop on Private Authority and Public Policy in Global Context: Competition, Collaboration or Coexistence Organizing Committee: Benjamin Cashore (Yale), Jette Steen Knudsen (Tufts), Jeremy Moon (CBS), Hamish van der Ven (McGill) **Institutional Hosts:** Yale University, Copenhagen Business School **Dates:** 11-12 January 2018 (Yale); 22-23 March 2018 (Copenhagen).²⁰
- 2018 Janina Grabs, Graeme Auld, and **Benjamin Cashore**, “Switching between lenses for a better view: A meta-assessment of private regulatory governance and the role of public policy”, presentation to Workshop on Private Authority and Public Policy in Global Context: Yale University, 11-12 January 2018.²¹
- 2017 Benjamin Cashore, Panel 26, “Policy learning across governance levels for durable results” (Organized by Iben Nathan, Ingrid J Visseren-Hamakers and Benjamin Cashore). IUFRO 125th Anniversary Congress 2017 September 18-22 Freiburg, Germany. ²²
- 2017 Benjamin Cashore, with collaborators including Leipold Sina, Omar Cerutti P., Humphreys D., de Jong W., Zeitlin J., Hansen C., Nathan Iben, chen Xiaoqian, & Visseren-Hamakers Ingrid “Global governance approaches to addressing illegal logging: uptake and lessons learned” presentation to Panel 91: "Interdisciplinary Perspectives on Illegal and Informal Logging and Related Trade: Drivers, Impacts, and Governance Options" (organized by Daniela Daniela Kleinschmit and Sina Leipold). IUFRO 125th Anniversary Congress 2017 September 18-22 Freiburg, Germany, based on Cashore B.
- 2017 Benjamin Cashore, discussant, Panel 77 The Global Forest Environmental Frontier – What has changed, what has remained unchanged, how will the future look like? (organized by Georg Winkel, Cassandra Moseley, University of Oregon Metodi Sotirov, University of Freiburg
- 2017 **Benjamin Cashore**, “Non–state market–driven (NSMD) instruments and collaboration”, International Workshop on The Governance of Collaboration: Co-Production, Contracting, Commissioning and Certification Centre for Advanced Academic Studies of the University of Zagreb in Dubrovnik (CAAS) for The Governance of Collaboration Workshop: Co-production, Contracting, Commissioning and Certification August 21st and 22nd , Dubrovnik, Croatia
- 2017 Panel Chairs: Tobias Schmidt, **Benjamin Cashore**, Sebastian Sewerin, “Designing Sticky Policies: How to Steer the Co-evolution of Policy and Technology” International Conference on Public Policy (ICPP) Conference, Wednesday June 28th Singapore (Papers included Matthew Shapiro The Joint Center for Energy Storage Research: A Lesson in Depoliticizing Science and Technology); Leonore Haelg, Tobias Schmidt and Sebastian Sewerin - Illinois Institute of Technology - United States, “Evolving interest

coalitions and deployment policy design: Comparing the Swiss and German feeding tariffs for renewable energy”

- 2017 Benjamin Cashore, Michael Howlett, **Sebastian Sewerin (presented)***, “Engaging with the Logics of Regulatory Policy Change: Developing a Forward-Looking Approach for Environmental Policy Design. 6th ECPR Standing Group on Regulatory Governance Conference, Tilburg, NL, 6-8 July.
- 2017 Hamish van der Ven, Catherine Rothacker and Benjamin Cashore “Does Non-State Market-Driven Governance Create Unintended Land Use Impacts? Lessons from Sustainable Soy, Palm Oil, and Cocoa Certification”, International Studies Association, Feb 22-25th
- 2017 Yitian Huang, Weiyang Zhao and Benjamin Cashore “Two pathways of interaction: exploring the international sources of China’s carbon trading policy (2007-2011) forthcoming International Studies Association, Feb 22-25th
- 2017 Carolina Gueiros coordinating author, with Benjamin Cashore, Chelsea Judy, Michaela Foster, Audrey Denvir, and Kathryn McConnell “Do Global Environmental Market Mechanisms Lead to Durable Policy Change? Lessons from Peru, Indonesia, Brazil and Ghana” International Studies Association, Feb 22-25th, Yale School of Forestry & Environmental Studies
- 2016 **Benjamin Cashore**, invited participant of scholars and practitioners, Workshop on Measuring Impacts of Market-based Approaches to Conservation, sponsored by Gordon and Betty Moore Foundation, held at, and organized by, the Meridian Institute, 1800 M Street, NW, Suite 400N, Washington, DC; December 12-14²³
- 2016 Chair, Semi-plenary: Does Land Use Equality Reduce Environmental Degradation? Speakers: Milka Chepkorir, Forest Peoples Programme, Kenya Grace Mwaura, African Centre for Technology Studies (ACTS), Kenya, Maria Ivanova, U Mass Boston. Earth Systems Governance Conference, Nairobi, Kenya, Dec 5
- 2016 Presenter, New Multilateral arrangements hosted by IDDRI. Chair: Sébastien Treyer, IDDRI, Sciences Po, France Speakers: Benjamin Cashore, Yale School of Forestry and Environmental Studies, USA Participants: Joanes Atela, African Centre for Technology Studies (ACTS), Kenya Alexander Juras, UNEP, Major groups and stakeholders office, Kenya Lucy Mungai, UNEP, Major groups and stakeholders office, Kenya Earth Systems Governance Conference, Nairobi, Kenya, Dec 7
- 2016 Panel participant with Chelsea Judy, Michaela Foster, Kathryn McConnell and Philile Mbatha: Earth Systems Governance Conference, Nairobi, Kenya, Dec 5 Thematic Panel Land Use, Rights, and Equity: Institutional Logics in the Globalized 9 December 2016
- 2016 Where is the Evidence for Evidence Based? Where is the Data For Data Driven? Towards a Forward Looking, Process Tracing Inspired, Protocol for Undertaking a Problems Focused Environmental Research Agenda, WC09: Causal Mechanisms and Process-tracing in Global Environmental Politics Capitol Center, Sheraton Atlanta International Studies Association, Atlanta, Wednesday, March 16

- 2016 Discussant on Panel Orchestrating Transnational Environmental Governance through Social Network Formation; Chair Stefan Renckens (University of Toronto) Lasse Henriksen (Copenhagen Business School); Stefano Ponte (Copenhagen Business School); Orchestrating Private Commitments for Sustainable Development; Kenneth W. Abbott (Arizona State University) Transnational Environmental Governance in Maritime Shipping; Limits and Potential of Orchestration Efforts Jane Lister (The University of British Columbia); René Taudal Poulsen (Copenhagen Business School); Stefano Ponte (Copenhagen Business School); Local Tyranny vs. Centralized Incompetence? Devolution and Transnational Environmental Governance Thomas Rudolf Eimer (Radboud University Nijmegen) FB23: Friday 10:30 AM - 12:15 PM International Studies Association, Atlanta, Friday, March 18
- 2016 Benjamin Cashore (Yale University) and Gabriela Bueno (University of Massachusetts Boston), “Transnational Environmental Governance and Global Supply Chains International Studies Association, Atlanta, Friday March 18 Chair Stefano Ponte (Copenhagen Business School) Disc. Fariborz Zelli (Lund University)
- 2016 Benjamin Cashore, presenter, Chapter on Governance and Illegal Logging, “Scoping Meeting of GFEP Rapid Response assessment on Illegal Timber Trade Nairobi, 18-19 May 2016
- 2016 Benjamin Cashore, “Chapter Seven: Global Governance Approaches to Addressing Illegal Logging: Uptake and Lessons Learnt” Contributing Authors: Gabriela Bueno, Sophia Carodenuto, Xiaoqian Chen, Wil de Jong, Audrey Denvir, Christian Hansen, David Humphreys, Constance McDermott, Kathleen McGinley, Iben Nathan, Christine Overdevest, Rafael Jacques Rodrigues, Metodi Sotirov, Michael Stone, Yitagesu Tekle Tegegne, Ingrid Visseren-Hamakers, Georg Winkel, Valentin Yemelin and Jonathan Zeitlin, presentation via skype to CPF Forest Experts Panels Scientific Expert Meeting, Rapid Response Assessment on Illegal Timber Trade, Vienna, Austria, Sept 20-21st Cashore presentation on 20th
- 2016 Benjamin Cashore, Cashore, Overview, “Land Use Workshop Background paper: Does Economic Globalization Reinforce Environmental and Social Land Use Policies and Outcomes? GEM Initiative Conference, October 7
- 2015 **Benjamin Cashore**, Chair, Workshop, “Bottom Up Pathways to Decarbonization” Presenters: Yale student group on path dependency policy analyses; Matt Hoffmann, Toronto; Laura Tozer, Toronto; Harriet Bulkeley, Durham University (invited); Johannes Stripple, Lund University (invited)²⁴ to GEM/IUFRO/ESG/UToronto Environmental Governance Lab/McGill collaborations at Climate Law and Governance Day, Dec 4
- Discussion will center on how the conceptualization of decarbonization pathways can be further developed theoretically, empirically, and in practice. Research findings on diverse decarbonization efforts will also be presented.
- 2015 **Benjamin Cashore**, Chair, Workshop, “Bottom Up Pathways to Decarbonization” Presenters: Yale student group on path dependency policy analyses; Matt Hoffmann, Toronto; Laura Tozer, Toronto; Harriet Bulkeley, Durham University (invited); Johannes Stripple, Lund University (invited)²⁵ to GEM/IUFRO/ESG/UToronto Environmental Governance Lab/McGill collaborations at Climate Law and Governance Day, Dec 4

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- 2015 COP 21 side event, workshop, “Land-use Change and Climate: the Role of International Pathways of Influence in helping foster domestic climate/forestry reforms” to GEM/IUFRO/ESG/UToronto Environmental Governance Lab/McGill collaborations at Climate Law and Governance Day, Dec 4th²⁶: panellists, **Benjamin Cashore**, overview; Peru - Audrey Denvir and Paloma Caro; Brazil - Carolina Gueiros & Mariana Vedoveto; Ghana Michaela Foster; Indonesia Katie McConnell, Shaadee Ahmadnia, Breanna Lujan; Cross cutting results and future research Chelsea Judy Discussant Tambi Matambom World Bank (invited)
- 2015 Devin Judge-Lord, Constance McDermott and **Benjamin Cashore** (presenter) How do Forest Certification Policies Change over Time: Comparing Forest Stewardship Council and the Sustainable Forestry Initiative Standards in the United States, 2008-2013, Association of Public Policy Analysis and Management (APPAM) fall conference, Miami, Florida, Saturday November 14th
- 2015 **Benjamin Cashore**, “The Pathways of Influence Framework and Policy Learning”, presentation to multi-stakeholder GEM/IUFRO workshop, Nurturing Durable Results for Addressing Forest Related Challenges in Peru through Learning about Pathways of Influence, Lima Peru, October 20th
- 2015 Benjamin Cashore, “The Pathways of Influence Framework and Policy Learning”, presentation to multi-stakeholder GEM/IUFRO workshop, Nurturing Durable Results for Addressing Forest Related Challenges in Peru through Learning about Pathways of Influence, Lima Peru, October 20th
- 2015 **Benjamin Cashore**, Chris Elliott, Erica Pohnan, Michael Stone, Sébastien Jodoin and Steven Bernstein “Can Market Mechanisms Improve Natural Resources Governance in the Global Era? Lessons from the Pathways of Influence Framework, Paper prepared for panel, “Opening Governance Of Natural Resources: A Multilevel And Spatially Embedded Perspective”, Organization and Management Theory section, Academy of Management meeting, Vancouver BC August 10
- 2015 Participant/discussant, “Are Sustainability Certifications a Sustainable Form of Governance?” professional development workshop (PDW), Academy of Management Annual Meeting, Vancouver, BC, Organized by David Deephouse and Amanda Moss Cowan, Organizations and the Natural Environment Division (ONE), and Social Issues in Management (SIM), Academy of Management Annual Meeting, Vancouver BC, Saturday August 8th
- 2015 Steven Bernstein, Benjamin Cashore, Jeremy Rayner,²⁷“ Constructing a Problem-Focused Global Environmental Governance Learning Architecture: Towards A Protocol For Traveling (Multi-level) Pathways of Influence”²⁸ Prepared for presentation to session, Learning about Pathways, (Katharina Rietig, and Metodi Sotirov discussants), the International Conference on Public Policy, Milan, Italy, July 1, 2015

- 2015 Benjamin Cashore, Sarah Lupberger and others, “Learning Through Policy Pathways: Towards a Practitioner Oriented Protocol” Prepared for presentation to session, Learning about Pathways, (Katharina Rietig, and Metodi Sotirov discussants), the International Conference on Public Policy, Milan, Italy, July 1, 2015
- 2015 Iben Nathan, Benjamin Cashore, with Michael Stone, “How Do Weak States Respond to Transnational Business Governance Influence?: Lessons from the Pathways of Influence Framework on Forest Legality Verification efforts in Southeast Asia”, Prepared for presentation to session, Learning about Pathways, (Katharina Rietig, and Metodi Sotirov discussants), the International Conference on Public Policy, Milan, Italy, July 1, 2015
- 2015 Benjamin Cashore, Gabriela Bueno, Celine Lim, Carolina Gueiros, “Can Transnational Business Governance Influence Domestic Oriented Production Processes?: Application of the Pathways of Influence Framework to Forest Legality Verification in Brazil” Prepared for presentation to session, Learning about Pathways, (Katharina Rietig, and Metodi Sotirov discussants), the International Conference on Public Policy, Milan, Italy, July 1, 2015
- 2015 Benjamin Cashore, “Exploring the Emergence, Approach, and Durability of Community Forestry Related Policies in Latin America: Lessons for Policy Diffusion from Costa Rica, Mexico, and Peru”, Prepared for presentation to session, Learning about Pathways, (Katharina Rietig, and Metodi Sotirov discussants), the International Conference on Public Policy, Milan, Italy, July 1, 2015
- 2015 Devin Judge-Lord, Constance McDermott and Benjamin Cashore, How do Forest Certification Policies Change over Time: Comparing Forest Stewardship Council and the Sustainable Forestry Initiative Standards in the United States, 2008-2013, Association of Environmental Studies and Sciences Annual Meeting, 11am, June 26th, 2015, University of San Diego, San Diego, CA
- 2015 Participant, Roundtable, “Transformative Pathways to Decarbonization” Thursday, February 19, 10:30 AM - 12:15 PM Melrose, Hilton New Orleans Riverside, International Studies Association’s Annual meeting, New Orleans, LA
- 2015 Chair, “Transnational Sustainability Governance (TSG) And The Global South”, Papers presented by Michael J. Bloomfield (University of Oxford); Philip Schleifer (European University Institute); Shana M. Starobin (Duke University/ Nicholas School of the Environment Kimberly R. Marion Suiseeya (Purdue University) Rebecca L. Gruby (Colorado State University) Peter Vandergeest (York University) Simon Bush Stefano Ponte (Copenhagen Business School), International Studies Association’s Annual meeting, New Orleans, LA February 21st.
- 2015 Benjamin Cashore and Iben Nathan with Michael Stone, “How Do Weak States Respond to Transnational Business Governance Influence?: Lessons from Global Forest Legality Verification Efforts in Southeast Asia” WB38: Interactions In Transnational Sustainability Governance International Studies Association’s Annual meeting, New Orleans, Wednesday, February 18,

- 2015 Participant, Roundtable in Honour of Joanne Carmin, with Chair Stacy D. VanDeveer (University of New Hampshire); Part. Raul PachecoVega (Centro de Investigación y Docencia Económicas (CIDE)); Erika S. Weinthal (Duke University) Part. Richard ("Pete") Andrews (University of North Carolina), International Studies Association's Annual meeting, New Orleans, LA Wednesday, Feb 17th
- 2015 Moderator, "The Future of US Climate Advocacy", GEM Initiative and RFF public event, with panelists Theda Skocpol (Harvard), Barry Rabe (Michigan), Abbie Dillen (Earth Justice) and Peter Barnes (Tomales Bay Institute), Feb. 5th, Yale University New Haven, CT
- 2014 Presenter and Organizer (through the Governance, Environment and Markets Initiative) "COP-20 Workshop: Community Forestry in Latin America", 12-5pm Delta Hotel, December 5, 2014, Lima Peru
- 2014 Benjamin Cashore, Iben Nathan (with Michael Stone), "Can Transnational Business Governance Improve 'Areas of Limited Statehood'? Lessons from Southeast Asia", Paper prepared for the INOGO conference on "The Causes and Consequences of Private Governance: The Changing roles of State and Private Actors", Mannheim Centre for European Social Research (MZES), Mannheim Germany, November 6-8th
- 2014 Benjamin Cashore, "The Role Of Policy Learning In Shaping Pathways Of Influence: Lessons From Multi-Level Forest Governance For Designing An Effective Learning Architecture", SP-12 Policy Learning For Multi-Level Governance, Room: 251 A-C Organizers: Daniela Kleinschmit (Swedish University of Agricultural Sciences) & Ben Cashore (Yale University, USA) Moderators: Daniela Göhler (World Bank, USA) "This session will explore the potential and pitfalls of policy learning as a way to link scholarly and practitioner communities around problem solving, identify the types of problems policy learning might be able to address, and discuss the current scientific understanding of policy learning processes, and how policy learning can be fostered."
- 2014 Benjamin Cashore, Steven Bernstein, Jeremy Rayner, Graeme Auld Alexander Buck, Gabriela Bueno, Chris Elliot, Daniela Goehler, Sebastien Jodoin, Iben Nathan, Erica Pohnan, Michael Stone, Ingrid Visseren-Hamakers and Daniela Kleinschmidt, "Can Multi-stakeholder Dialogues Be Redirected Towards Uncovering 'Sticky' Policy Pathways? Towards an Innovative, Problem Focused, and Influential Global Forest Governance Architecture" Paper prepared for presentation to the IUFRO World Forest Congress, Salt Lake City, 2014
- 2014 Benjamin Cashore, Steven Bernstein, Jeremy Rayner, Graeme Auld Alexander Buck, Gabriela Bueno, Chris Elliot, Daniela Goehler, Sebastien Jodoin, Iben Nathan, Erica Pohnan, Michael Stone, Ingrid Visseren-Hamakers and Daniela Kleinschmidt, "Assessing Effectiveness of Private Sustainability Standards Dynamically: The Role of Policy Learning and Pathways" ESF workshop, The Effectiveness of Voluntary Sustainability Standards, Leuven Centre for Global Governance Studies, University of Leuven, Leuven, Belgium, October 1 (presented via Skype)
- 2014 Benjamin Cashore, roundtable participant, "Can there be Accountable Global Environmental Governance", International Studies Association, March 27, Dominion North, Sheraton Centre Toronto, with Susan Park, Mathew Hoffman, Teresa Kramarz, Jens Steffek and Steven Bernstein.

- 2014 Moderator, first panel, “Chinese overseas investment: Interactions and impacts on the ground”, Environmental and Social Risk Management of Chinese Transnational Corporations, hosted by FE&S and the World Wildlife Fund. Peter Bosshard (International Rivers), Weijun Xie (China Minmetals Corp), Lihong Zhong (China Eximbank), Cynthia Sanborn (University of the Pacific, Peru), April 5th, New Haven, CT
- 2013 Benjamin Cashore, Michael W. Stone and Iben Nathan, “Can Legality Verification Promote “Good Forest Governance?”: Paper Prepared for Presentation to first International Conference on Public Policy, Grenoble France, June
- 2013 Benjamin Cashore, Daniela Gohler, Jeremy Rayner, Steven Bernstein, “The Role of Policy Learning in Shaping Pathways of Influence: Lessons from Multi-level Forest Governance for Designing an Effective Learning Architecture”, Paper Prepared for Presentation to first International Conference on Public Policy, Grenoble France, June
- 2013 Organized and led Forest Governance Research & Practice: Managing Knowledge for Policy and Strategy Third Meeting of the IUFRO Task Force on International Forest Governance in partnership with GIZ; Yale’s Program on Forest Policy and Governance¹; the Governance, Environment and Markets (GEM) Initiative at Yale University, and the Environmental Leadership Training Initiative (ELTI) San José, Costa Rica
- 2013 “Navigating Pathways of Policy Influence in Transnational Forest Governance: Evidence from Legality Verification Efforts in Asia and Latin America Ben Cashore* (with Michael Stone, Sebastien Jodoin, Gabriela Bueno, Presentation panel on International Forest Governance and its influence on the convergence of forest policy in Latin America, Moderator Alexander Buck (IUFRO Executive Director) June 2013, San Jose, Costa Rica
- 2013 “Can Legality Verification Promote “Good Forest Governance?”: Lessons from Indonesia, Malaysia, and Brazil, Benjamin Cashore and Michael W. Stone, Paper presented to UNFF side event, Istanbul, Turkey, Tenth session of the United Nations Forum on Forests (UNFF 10) 8 April 2013 - 19 April 2013 Turkey, Istanbul
- 2013 “Can Legality Verification Promote “Good Forest Governance?”: Lessons from Indonesia, Malaysia, and Brazil, Benjamin Cashore and Michael W. Paper presented to International Studies Association, panel on “Legality Verification in Transnational Environmental Governance” International Studies Association Annual Meeting San Francisco, April 2013 (presented by Michael Stone)
- 2013 Benjamin Cashore and Lloyd Irland, the Economic and Social Contributions of Forests: Implications for the Private Sector Role in Forest Financing Ben Cashore & Lloyd Irland* “White Paper” discussed at side event on “Private sector and forest financing” during the United Nations Forum on Forests’ (UNFF) conference “Forests and Economic Development”, Istanbul, April 2013. Organized by Yale University’s Governance, Environment & Markets Initiative and the International Union of Forest Research Organizations (IUFRO) the panel and participants included □ Wu Hongbo, United Nations, Under-Secretary General; Camille Rebelo, EcoPlanet Bamboo, Partner & Co-Founder; Hans Djurberg, SCA, Head of Sustainability & Forestry; Hannes Lechner, Pöyry; Senior Principal, Global BioFutures Practice; Jeff Nuss, Greenwood Resources, President & CEO; Lloyd Irland, Irland Group 11 April 2013 • 13:00 – 15:00

- 2013 Benjamin Cashore and Michael Stone, Good forest Governance Daniela Gohler, Jeremy Rayner, “Can Policy Learning Help Ameliorate Global Environmental Problems? Lessons from Multi-level Forest Governance for Designing an Effective Learning Architecture”, paper presented to Earth Systems Governance Conference, Tokyo, Japan, January 31.
- 2013 Benjamin Cashore, Daniela Gohler, Jeremy Rayner, “Can Policy Learning Help Ameliorate Global Environmental Problems? Lessons from Multi-level Forest Governance for Designing an Effective Learning Architecture”, paper presented to Earth Systems Governance Conference, Tokyo, Japan, January 31.
- 2012 Understanding Non-domestic Sources of Canadian Boreal Forest Policy: Integrating Theories of Internationalization & Policy Change, presentation to panel on Boreat forests in a sustainable world, organized by Jon Moen and Lucy Rist, 4th Annual Ecosummit, Columbus Ohio, 30 Sept to 5 Oct 2012-10-17 <http://www.ecosummit2012.org/symposia-moen.html>
- 2012 Three collaborative papers, presented to International Studies Association’s Annual Meeting, San Diego, CA, April 2-4
- 2012 Graeme Auld, **Benjamin Cashore** and Stefan Renckens, “**Transnational Regulation between the Logics of Empowerment and Control**” for presentation to conference, The Distributional Effects of Transnational Regulation, Rome, May 21-22, 2012 (to be presented by Renckens)
- 2011 **Benjamin Cashore** and Michael Stone, “How Northern Governments Nurture Global Private Authority [or Corporate Social Responsibility] as a Means to Reinforce Public Policies in Southeast Asia: Assessing the implications of illegal wood importing bans in the United States and Europe”, presentation to workshop on transnational business regulation, European University Institute in Florence, Italy, May 23rd to 24th.
- 2011 **Benjamin Cashore, co-chair with Scott Barrett**, Session on Assessment of Global Initiatives, 17th Annual International Sustainable Development Research Conference, Earth Institute, Columbia University, May 8-10
- 2011 **Benjamin Cashore** and Michael Stone, NCBL panel – **CSR and Regulatory Regimes** “How Northern Governments Nurture Global Private Authority [or Corporate Social Responsibility] as a Means to Reinforce Public Policies in Southeast Asia: Assessing the implications of illegal wood importing bans in the United States and Europe”, paper to be presented to CSR in the Pacific Rim Conference Thursday, April 14 - Friday, April 15, 2011 Institute of Asian Research, University of British Columbia
- 2011 **Benjamin Cashore**, participant in workshop on global environmental governance, University of Idaho, Couer D’Alene, Idaho April 29th-30th
- 2011 **Benjamin Cashore**, Daniela Gohler, Hans Hoogeveen, Jeremy Rayner (corresponding author), Patrick Verkooijen, “Learning about policy learning: designing a global forest governance learning architecture” for presentation to Workshop 3, Learning in Politics and Public Policy ECPR Joints Sessions of Workshops, St. Gallen, April 14th
- 2011 **Benjamin Cashore**, participant in roundtable, with Dimitris Stevis, Arthur Mol, Hans Bruyninckx “The End of the Regime-Paradigm in Global Environmental Governance

International Studies Association's 2011 Annual Meeting, Montreal, PQ, March

- 2011 **Benjamin Cashore** with Auld, and Renckens, "Partnerships and their resilience" paper presented to panel organized by Peter Haas, Steinar Andersen, and Norichika Kanie, "Best and Worst Practices on Global Environmental Governance: Actors, Agencies and Lessons" paper to International Studies Association's 2011 Annual Meeting, Montreal, PQ, March 16th
- 2011 Kelly Levin, Constance McDermott and **Benjamin Cashore**, "Forests and REDD" *presentation to roundtable* **Climate Change Bandwagoning: The Impacts of Strategic Linkages on Regime Design, Maintenance, and Death** International Studies Association's 2011 Annual Meeting, Montreal, PQ, March 17th
- 2010 Memo and participant, the International Research Workshop Dynamics of Interaction in Transnational Business Governance Regimes Friday, October 22, Hennick Centre for Business and Law, Osgoode Hall Law School and Schulich School of Business York University, Toronto
- 2010 **Benjamin Cashore** and Michael Stone, "Can Public Policy and Market Incentives Intersect to 'ratchet up' global forest standards?" presentation to Symposium panel at the Association of Tropical Biology and Conservation meeting, Bali Indonesia, July 21st
- 2010 Benjamin Cashore and Michael Stone, "**Intersection of Public and Private Authority in Forest Governance in Southeast Asia**", **presentation to** "Private Authority and Global Governance", American Political Science Association, September 2-5th, Washington, DC (presented by Michael Stone)
- 2010 **Benjamin Cashore**, Glenn Gallaway and many others, "Ability of Institutions to Address New Challenges", H 09 technical session "Forests and Society - Responding to Global Drivers of Change" XXIII IUFRO World Forest Congress, COEX Convention Center, Seoul, Korea August 23 to 28.
- 2010 **Benjamin Cashore**, Non-State Business Governance: Self-Regulation and the role of Government? Workshop "Business, Climate Change, and Regulation". Schulich School of Business, Toronto, Monday, October 25 organized by Burkard Eberlein, Bryan Husted, Irene Henriques, Dirk Matten
- 2010 **Benjamin Cashore** and Steven Bernstein (Convening Lead Authors), Richard *Eba'a Atyi*, Ahmad Maryudi and Kathleen McGinley (Lead Authors) and several contributing authors, presentation of final Chapter Seven, "Examination of the influences of the international forest regime at the domestic level", to the Collaborative Partnership on Forests (CPF) Global Forest Expert Panel's (GFEP) initiative, hosted by the International Union of Forest Research Organizations (IUFRO), Nairobi, Kenya, July 7-9th
- 2010 **Benjamin Cashore**, Graeme Auld, Stefan Renckens, Kelly Levin, Laura Bozzi and Constance McDermott, "The impact of private, industry and transnational civil society regulation and their interaction with official regulation", *paper being prepared for delivery to the 2010 Annual Meeting on the Law and Society Association, organized by Nielsen and Parker, Explaining Regulatory Compliance: Business Responses To Legal, Voluntary And Transnational Regulation*, Chicago, IL, May 27

- 2010 **Benjamin Cashore** and Steven Bernstein (Convening Lead Authors), Richard *Eba'a Atyi*, Ahmad Maryudi and Kathleen McGinley (Lead Authors) and several contributing authors, presentation of a draft of Chapter Seven, "Examination of the influences of the international forest regime at the domestic level", to the Collaborative Partnership on Forests (CPF) Global Forest Expert Panel's (GFEP) initiative, Lee Kuan Yew School of Public Policy, National University of Singapore, Singapore, Feb 25-26
- 2010 Laura Bozzi, **Benjamin Cashore** Kelly Levin and Constance McDermott, "Climate-Related Private Initiatives and their Effects for the Global Forest Sector" paper prepared for presentation to the International Studies Association's 2010 Annual Meeting, New Orleans, LA, February 17-20th
- 2010 **Benjamin Cashore**, "Governing for Global Environmental Problems: A Protocol for Fostering Intra and Inter Institutional Collaborations", paper prepared for presentation to the International Studies Association's 2010 Annual Meeting, New Orleans, LA, February 17-20th
- 2009 **Benjamin Cashore** and Steven Bernstein (Convening Lead Authors), presentation of proposed outline, of chapter Seven, "Examination of the influences of the international forest regime at the domestic level", the Collaborative Partnership on Forests (CPF) Global Forest Expert Panel's (GFEP) initiative, Vienna, Austria, Dec 17-18.
- 2009 Graeme Auld, Laura Bozzi, **Benjamin Cashore**, Kelly Levin and Stefan Renckens, "Can Non-State Governance 'Ratchet-up' Global Standards? Assessing their Indirect Effects and Evolutionary Potential" Paper prepared for *the 2009 American Political Science Association* Annual Meeting, 3-6 September 2009 Toronto, Canada
- 2009 Graeme Auld, Cristina Balboa, Laura Bozzi, **Benjamin Cashore**, Cory McCrudden and Stefan Renckens, "Marginal or Transformative Changes?: Assessing the Role of Technology in Institutionalizing Non-state Global Authority", Paper presented to the Duke Center for International Studies Workshop on *Private Regulation in the Global Economy* John Hope Franklin Center, 2204 Erwin Road, Room 240 Friday, 30 October 2009
- 2009 **Benjamin Cashore** and Glenn Galloway (Convening Lead Authors), "The Ability of institutions to address new challenges", paper presentation to International Union of Forest Research Organizations (IUFRO) workshop, World Forests, Society and Environment, Hamburg, Germany, June 16th – 18th
- 2009 Laura Bozzi, **Benjamin Cashore**, Kelly Levin and Constance McDermott, "Climate Related Private Initiatives and their Effects for the Global Forest Sector" paper for presentation, workshop on climate and private authority, University of Denmark, June 21-23, Copenhagen, Denmark
- 2009 Graeme Auld, Laura Bozzi, **Benjamin Cashore**, Kelly Levin and Stefan Renckens "Can Non-State Governance 'Ratchet-up' Global Standards? Assessing their Indirect Effects and Evolutionary Potential", Paper presented to the Conference on New Governance and the Business Organization, University Of British Columbia, Vancouver, BC, May 25th – 27th
- 2009 Kelly Levin, **Benjamin Cashore**, and Jonathan Koppell, "Can Non-State Certification Systems bolster State-Centered Efforts to promote Sustainable Development through the

- Clean Development Mechanism (CDM)?, presentation (by Levin) to Wake Forest conference on Corporate Governance and Climate Change, March 20th
- 2009 Kelly Levin, **Benjamin Cashore**, Peter Christensen, “Bolstering Environmental Integrity and Sustainable Development Benefits of the Clean Development Mechanism (CDM): Can Non-State Certification Systems Facilitate State-Centered Efforts? Paper presented (by Levin) to the Frontiers of Global Environmental Governance workshop, Waterloo, 28-30 January 2009
- 2007 “Can Non-state Global Governance be Legitimate? A Theoretical Framework” Steven Bernstein and Benjamin Cashore paper delivered to the to the International Studies Association Convention, Chicago, IL, Feb. 28-March 3rd
- 2007 “The Uneven Diffusion of the Certification Solution: Understanding the Evolution of Non-State Market Driven Governance” Graeme Auld, Cristina Balboa, Timothy Bartley, Benjamin Cashore and Kelly Levin paper delivered to the to the International Studies Association Convention, Chicago, IL, Feb. 28-March 3rd
- 2007 “Global Environmental Forest Policy: A Comparative Policy Framework and its Application across Twenty Countries Worldwide, Constance McDermott and Benjamin Cashore, paper delivered, by McDermott, to the International Studies Association Convention, Chicago, IL, Feb. 28-March 3rd
- 2007 “Playing it Forward? Path Dependency, Increasing Returns, Progressive Incrementalism, and the “Super Wicked” Problem of Climate Change”, Benjamin Cashore, Graeme Auld, Steven Bernstein and Kelly Levin, paper delivered to the International Studies Association Convention, Chicago, IL, Feb. 28-March 3rd
- 2006 “Knots in the Wood: Explaining the Slow Emergence of Forest Certification in Developing and Transitioning Countries” (with Errol Meidinger, Fred Gale and Deanna Newsom) to the International Studies Association Convention, San Diego, CA March 22-25
- 2006 “The Emergence of Non-state Market Driven (NSMD) Governance Across Sectors” (with Graeme Auld, Cristina Balboa, Tim Bartley, Steven Bernstein and Kelly Levin) paper delivered to the International Studies Association Convention, San Diego, CA, March 22-25
- 2006 “The Shaping and Reshaping of British Columbia Forest Policy in the Global Era: A Review of Governmental and Non-governmental Strategic Initiatives” (with Constance McDermott, Kelly Levin, Graeme Auld and Deanna Newsom). Paper prepared for *Association of British Columbia Professional Foresters Association’s annual meeting*, Victoria, BC, Feb. 22.
- 2005 “The Emergence of Non-State Environmental Governance in European and North American Forest Sectors” (with *Graeme Auld, Deanna Newsom, and Elizabeth Egan* Paper prepared for presentation to the *American Consortium for European Studies workshop on Transatlantic Relations: Environment, Agriculture, and Trade Politics across the Atlantic* Washington, DC November 18th.
- 2005 “Leaders and Laggards? Comparing European and North American Approaches to Non-State Environmental Governance, paper (with Graeme Auld, Beth Egan and Deanna

- Newsom) to the International Studies Association Convention, Honolulu, Hawaii, March 1-5
- 2004 “Punctuating What Equilibrium? Institutional Rigidities and Thermostatic Properties in Pacific Northwest Forest Policy Dynamics” (with Michael Howlett) (Current draft completed January 5th). American Political Science Association’s annual meeting, Chicago, IL, August (presented by Howlett).
- 2004 “The Two-level logic of Non-State Governance” (With Steven Bernstein), Paper prepared for delivery to the *Annual Meeting of the International Studies Association*, Montreal, PQ March.
- 2003 “Developing a Multi-Disciplinary Evaluation of an Environmental Policy Innovation: Impacts of Forest Certification within the United States “ (With Emily Noah), Paper prepared for delivery to the *Annual Meeting of the Association for Public Policy Analysis and Management*, Washington, DC, November 6, 2003.
- 2003 “The United States’ Race to Certify Sustainable Forestry: Non-State Environmental Governance and the Competition for Policy-Making Authority” (With Auld and Newsom), Paper prepared for presentation to the *Annual Meeting of the Canadian Political Science Association* Halifax, ON, May 29
- 2002 “Certification and the Privatization of Forest Policy: Issues of Governance and Legitimacy” (with Auld and Newsom) Paper prepared for presentation to the *Annual Meeting of the Canadian Political Science Association* Toronto, ON, May 29, 2002
- 2001 Association for Canadian studies in the United States –panel on certification and divergence –revised version of Stockholm conference paper focusing on Canada-US cases (with Graeme Auld, Jamie Lawson and Deanna Newsom) *Association for Canadian Studies in the United States* 2001 Biennial Meeting, San Antonio, Texas, November 14-18
- 2001 Association for Canadian studies in the United States –panel on environmental policy instruments – part of panel with Michael Howlett and Jeremy Wilson *Association for Canadian Studies in the United States* 2001 Biennial Meeting, San Antonio, Texas, November 14-18
- 2001 “Comparing Forest Company Attitudes Toward Forest Certification: the Cases of Germany, the United States, and Canada”, (with Auld, van Kooten, Julia Affolderbach) *Association for Canadian Studies in the United States* 2001 Biennial Meeting, San Antonio, Texas, November 14-18
- 2001 “Non-State Global Governance: Is Forest Certification a Legitimate Alternative to a Global Forest Convention?” (with Steven Bernstein) The Second Annual EnviReform Conference, “*Hard Choices, Soft Law: Combining Trade, Environment, and Social Cohesion in Global Governance*”, Munk Centre for International Studies, University of Toronto, November 8-9
- 2001 *Invited paper/comment* to paper delivered by Dr. Chris Elliot on “Global Governance and Forest Certification”, as part of conference on “Social and Political Dimensions of Forest Certification”, Freiburg University, Freiburg, Germany, June 20-22.

- 2001 Organizer of workshop, "research strategies on forest certification", sponsored by German government DAAD program and the USDA National Research Initiatives, Freiburg, Germany, May 14-15
- 2001 Political Consumerism, Private Regulations, and Legitimacy: Toward a Theory of How Market Driven Governance Systems Obtain and Maintain Rule-Making Authority", (with Graeme Auld, Jamie Lawson, and Deanna Newsom), paper presented to, *International Seminar on Political Consumerism*, convened by Michele Micheletti, City University, Stockholm and Department of Political Science, Stockholm University, May 31 – June 3
- 2001 "Forest Certification (Eco-labeling) Programs and their Policy-Making Authority: Explaining Divergence Among North American and European Case Studies" (with Graeme Auld, Jamie Lawson, and Deanna Newsom), paper presented to, *International Seminar on Political Consumerism*, convened by Michele Micheletti, City University, Stockholm and Department of Political Science, Stockholm University, May 31 – June 3
- 2001 "A Look at Forest Certification Through the Eyes of United States Wood and Paper Producers" (With Graeme Auld and Deanna Newsom), paper presented to the *Auburn Forest Policy Center's conference on Globalization and Private Forestry*, Atlanta, March 25-27.
- 2001 "Understanding the Opinions of Alabama Landowners on Sustainable Forestry Initiatives and Forest Certification" (With Graeme Auld and Deanna Newsom), *paper presented to the Auburn Forest Policy Center's conference on Globalization and Private Forestry*, Atlanta, March 25-27.
- 2001 "Forest Certification Experiences in Maine and the Canadian Maritimes: A Comparative Institutional Study" (with Jamie Lawson), *Paper presented to the Auburn Forest Policy Center's conference on Globalization and Private Forestry*, Atlanta, March 25-27.
- 1999 "Policy Networks, Firms and Sustainable Forest Management", Paper presented to the Southern Forest Economics Workgroup, Biloxi, MS, April 18-20.
- 1999 "Policy Networks, Firms and Sustainable Forest Management", Sustainable Forest Management Network Conference, Science and Practice: Sustaining the Boreal Forest, Edmonton, Alberta, February 14-17.
- 1999 "Competing for Legitimacy: Globalization, Internationalization, and the Politics of Green Labeling (Eco-Forestry certification) in the US and Canadian Forest Sectors". *Paper presented to the 15th Biennial Conference of the Association for Canadian Studies in the United States*. Pittsburgh, PA: Association for Canadian Studies in the United States, November 17-21.
- 1998 "Globalization, Internationalization and Eco-forestry Policy Change in British Columbia", in *Globalization and Its Discontents*, International Conference hosted by Simon Fraser University Department of Political Science. Vancouver, BC. July 24-25 (with Steven Bernstein).
- 1998 "Globalization, Internationalization and Eco-forestry Policy Change in British Columbia", Roundtable Discussion on Globalization, in *Crossing Boundaries: 7th Conference of the*

- International Association for the Study of Common Property. University of British Columbia, Vancouver, BC. June 13 (with Steven Bernstein).
- 1998 "Policy Networks and Firm Responses: Using an Institutional Framework For Analyzing Corporate Responses to External Demands for Sustainable Forest Management" Paper presented to the Annual Meeting of the Canadian Political Science Association in Ottawa, ON, May 31-June 2 (co-written with Ilan Vertinsky).
- 1998 "Analyzing Corporate Responses to External Demands for Sustainable Forest Management" presentation to the Annual Meeting of the British Columbia Political Science Association in Kelowna, BC, May 6-8.
- 1997 "Flights of the Phoenix: Explaining the Persistence and Durability of the Canada-US Softwood Lumber Dispute" Paper presented to the biennial meeting of Association of Canadian Studies in the United States, Minneapolis, MN, Nov. 21
- 1997 "A Tale of Two Journeys: Environmentalism and the Politics of Forest Policy Change in the US Pacific Northwest". Paper presented to the University of British Columbia Forest Economics and Policy Analysis Research Unit (FEPA) edited book conference, An International Comparison of Forest Institutions. Vancouver, BC, January.
- 1996 "The Internationalization of Domestic Policy Making: The Case of Eco-forestry in British Columbia" (with Steven Bernstein) Paper presented to the Annual Meeting of the Canadian Political Science Association in St. Catherines, Ontario, June 4-6. Revised February 1997.
- 1996 "Hard Lines on Softwood: Explaining the Persistence and Durability of the Canada-US Softwood Lumber Conflict" Presentation to Western Washington University, Huxley College of Environmental Studies, Bellingham, WA, March 7, 1996.
- 1995 "Comparing the Forest Policy Communities in British Columbia and the US Pacific Northwest" Paper presented to the Association of Canadian Studies in the United States, Seattle, WA, Nov. 15.
- 1995 "Explaining Forest Practice and Land Use Policy Network Divergence in British Columbia and the U.S. Pacific Northwest " Paper presented to the Pacific Northwest Political Science Association Annual Meeting, Bellingham, WA, October 19-21.
- 1995 "Forest Politics in BC Since 1990" Presentation to the Western Canada Faculty Development Workshop, Toward Sustainable Forestry in BC Pacific Northwest Canadian Studies Consortium, Victoria, B.C. July 24.
- 1995 "Comparing the Eco-forest Policy Regimes of British Columbia and the US Pacific Northwest" Paper presented to the Annual Meeting of the Canadian Political Science Association in Montreal, Quebec, June 4-6.
- 1993 "The Role of the New Democratic Party Up to and During the 1993 Federal Election Campaign." A presentation to the University of Toronto, Department Political Science Seminar Series on Confederation. Member of panel discussion, "The Canadian Federal Election of 1993: Another Absent Mandate?"

1988 The Role of the Provincial State in Forest Policy: A Comparison of British Columbia and New Brunswick (MA Thesis. Ottawa: Department of Political Science, Carleton University).

FELLOWSHIPS, SCHOLARSHIPS, COMPETITIVE FELLOWSHIPS, SCHOLARSHIPS, COMPETITIVE GRANTS and SPONSORED RESEARCH

RECENT/CURRENT GRANTS

- 2017 Fulbright Canada Research Chair in the Sustainable Economy at the University of Ottawa. \$20,000
- 2017 International workshop, "Private authority and public policy in global context: competition, collaboration or coexistence" The Edward J. and Dorothy Clarke Kempf Memorial Fund. \$15,000. Cashore PI, in partnership Hamish Van der ven (Yale, McGill) and Jeremy Moon (Copenhagen)
- 2017 "Assessing the emergence and durability of domestic environmental conservation and practices in the global era" MacMillan Faculty Research Grants Committee has awarded you \$14,271 Cashore PI
- 2017 SSHRC Greening Growth Partnership, **\$2.5 million over 6 years** PI: Stewart Elgie Professor, Law & Economics, University of Ottawa; Chair, Smart Prosperity Institute. I am one of 49 "Co-applicants and collaborators" under international academics category ²⁹
- 2018 Supported Yixian Sun's postdoctoral grant to Swiss National Science Foundation (SNSF) Research Commission, "Private Sustainability Governance and Emerging Economies: The case of tea certification in China, India and Kenya"

SECOND STAGE CONSIDERATION

- 2017 "Hidden Costs of Global Supply Chains: A Global Investigation". Six year UBC SSHRC Partnership Grant PI: Peter Klein, The Graduate School of Journalism, UBC. **\$2.5 million**. They have an invitation to submit to fall competition (I be listed as a "co-applicant").

IN PROGRESS

- 2018 "Policy Learning Initiative: Policy learning for transformative, durable change and sustainability in the forest sector" Currently in development as Joint Initiative with the **Collaborative Partnership on Forests** (CPF) and the International Union of Forest Research Organizations (IUFRO) Working Group on a Forest Policy Learning and Governance Architecture. Collaborators include professors Ingrid J Visseren-Hamakers (George Mason), Iben Nathan (Copenhagen), **Benjamin Cashore** (Yale) and IUFRO executive director Alexander Buck and Michael Kleine. The objectives are to apply the policy learning protocol to build instrumental and practical knowledge on policy learning for transformative and

durable change in support of the *UN vision and mission for Forests* (UNFF 2017) including the *Global Forest Goals*, in the context of the SDGs

- 2018 “Assessing the emergence and durability of domestic environmental conservation and practices in the global era” Cashore PI with collaborators. Multi-year grant intended to be submitted to US National Science Foundation
- 2017 “Assessing Transformative Impacts of Non-State Climate Actions” To be submitted to relevant international and domestic granting agencies. Project team: **Prof. Frank Biermann, PI** (Utrecht University, Netherlands); **Dr. Katharine Rietig, Co-I** (Newcastle University, UK); **Dr. Sander Chan, Co-I** (German Development Institute, Germany); **Dr. Thomas Hale, Co-I** (University of Oxford, UK); Dr. Flavia Scabin, Co-I (FGV Sao Paulo, Brazil); **Prof. Benjamin Cashore, Co-I** (Yale University, United States), Co-I Prof. **Ken Abbott**, Arizona; Co-I **Karin Backstrand** Stockholm University³⁰ NOTE: This grant was unsuccessful, but I am hosting Rietig this year to adapt and continue the policy learning part.

PREVIOUS

- 2016 “Does Economic Globalization Reinforce ‘Quid Pro Quo’ Biodiversity Conservation Agreements? Explaining Durable Land Use Policies in British Columbia, New Zealand, Peru, and Ghana” Collaborative Research Grant, Sobotka Research Fund. \$20,000 Benjamin Cashore (PI), Chelsea Judy (co-PI) and Michaela Foster (Co-PI)
- 2015 SSHRC Knowledge Synthesis Grant, “What effects will the quest for energy and natural resources have on our society and our position on the world stage?”, Applicant, Rajat Panwar, Co-applicant, Robert Kozak, Collaborator, Benjamin Cashore, Approximately **\$18250**
- 2014 IUFRO Task Force on International Forest Governance: Nurturing ‘On the Ground’ Influence through Pathways and Learning, proposal (with IUFRO) to Deutsche Gesellschaft für Internationale Zusammenarbeit (GIZ), Approximately **\$115,000**
- 2013 “Pathways of Policy Diffusion: Community Forestry in Latin America,” Climate and Land Use Alliance, PI Cashore **\$100,000**
- 2013 “The Impacts of Legality Verification on Deforestation in the Brazilian Amazon,” Climate and Land Use Alliance, PI Cashore, **\$100,000**
- 2013 “Transformative Policy Pathways Towards Decarbonization,” Principal Investigator Matthew Hoffmann; Co-Principal Investigators Graeme Auld and Steven Bernstein; **Collaborators** Matthew Paterson, **Benjamin Cashore**, Michelle Betsill, Harriet Bulkeley, Johannes Stripple, and Kelly Levin. Purpose: Analyze both the obstacles to decarbonization and the conditions under which policy pathways to decarbonization are being and can be constructed Source: Social Science and Humanities Research Council of Canada (SSHRC) Amount: CA**\$390,375** Time Frame: July 2013 to July 2018

- 2013 “Governing Natural Resources in a Global Era: Actors, Practices, and Outcomes,” Principal Investigator; Co-Principal Investigators Hevina Dashwood, Alexandra Mallett, Robert Slater, Lisa Mills; **Collaborators Benjamin Cashore** and Constance McDermott Purpose: Examine the governance of mining and forestry globally and through selected country and company comparative case studies Source: Social Science and Humanities Research Council of Canada (SSHRC) Amount: **CA\$444,920** Time Frame: July 2013 to July 2018
- 2013 “Transnational Business Regulation” Social Sciences Humanities Research Council of Canada \$200,000, PIs Stepan Wood, Burkard Eberlein, Errol Meidinger, Julia Black and Ken Abbot. I am listed as a collaborator. Hosted by Schulich Business School and Osgoode Law school..
- 2013 International Union of Forest Research Organizations/GIS, Grant for IUFRO task Force on International Forest Governance for third task force meeting and production of issues and options papers, 50,000 Euros (\$50,000 US dollars transferred to Yale, the remainder was allocated directly from IUFRO) Benjamin Cashore principal investigator.
- 2013 When do fossil fuel companies support environmental policy? A cross-national Comparison in Federalist Democracies, Sobotka family foundation, Principal Investigator: Professor Benjamin Cashore (F&ES) Student Research Assistants: Matto Mildenerger (Ph.D. Student, F&ES), Laura Bozzi (Ph.D. Student, F&ES), Joanna Dafoe (MEdSc Student, F&ES), **\$20,000**.
- 2012 “Pathways of Policy Diffusion: Community Forestry and Climate Change” Climate and Land Use Alliance, PI Cashore **\$100,000**
- 2012 Sufonoma grant, University of Copenhagen (I was recipient of this grant administered through University of Copenhagen)
- 2012 Velux foundation grant, University of Copenhagen (I was recipient of this grant administered through University of Copenhagen)
- 2012 Workshop and Research on Policy Integration and Learning on International Forest Policy and Governance, International Union on Forest Research Organizations, **\$44,000**
- 2011 “Can Weeding Out Illegal Logging Improve Global Forest Governance? Assessing the intersecting and evolutionary effects of market driven ‘legality labeling’ in Southeast Asia, Sobotka foundation (administered through CBEY) **\$26,000** PIs Cashore and Stone
- 2011 “Transnational rule Private Rule-Making and its Domestic Manifestations: The Politics and Practice of Certification” Yale MacMillan Center Faculty Research Competitive Grant **\$8,000**

- 2010 "Workshop on Climate Change as Super wicked Problem" , Yale Climate and Energy Institute, **\$15,000**
- 2007-9 World Wildlife Fund US, "Developing a Market Strategy for Hotspot Conservation" **\$156,202**
- 2007-9 US Green Building Council, "Policy Framework for LEED Biocredit system" total **\$34,150**
- 2006-7 "An Exploratory Workshop on Scholarship on Corporate Social Responsibility", total **\$39,500**

NOTE: I was key member of fund raising team (with Jonathon Koppell, Kelly Levin, Milica Boskovic, and Michel Gramatico. Funds were administered through the Millstein Center for Corporate Governance.

Details

- 2006 Yale Center for Business and the Environment, \$5,000
- 2006 General Mills, \$10,000
- 2006 Alcan, \$5,000
- 2006 Forest Products Association of Canada, \$2,500
- 2006 International Finance Corporation, \$5,000
- 2006 Haas School of Business, University of California, Berkeley \$5,000
- 2006 Millstein Center for Corporate Governance and Performance, \$5,000
- 2006 Program on Non-profit Organizations (PONPO), \$1,000
- 2006 Seventh Generation (committed) \$1,0000

- 2006-7 "A Publication Comparing Environmental Forest Practice Policies in Twenty Countries Worldwide", total **\$73,900**

Details

- 2006 American Forest and Paper Association, PI Cashore, 5,000 (Gift)
- 2006 Forest Products Association of Canada, PI Cashore, \$12,600 (Reimbursement of expenses)
- 2006 Weyerhaeuser Company Foundation, PI Cashore, \$15,000 (Gift)
- 2006 Australian National University, PI Cashore, \$7,300 (Reimbursement of expenses)
- 2006 The Tasmania Forests & Forest Industry, PI Cashore, (Reimbursement of expenses)
- 2006 University of New Brunswick, PI Cashore, \$9,000 (Reimbursement of expenses)

2007	Canadian Forest Service, PI Cashore, \$15,000
2006	Kendall Foundation, Pi Conroy and Co-PI Cashore “Research Examining FSC Certification in Northern Appalachians Region”, \$15,000
2006	Association of Association of British Columbia Forestry Professional, PI Cashore, “Analyzing British Columbia forest policy in comparative context”, \$8,000 (Reimbursement of expenses)
2006	The Rainforest Alliance, PI Cashore, “Distribution of Confronting Sustainability: Forest Certification in Developing and Transitioning Countries” \$3,000.
2005	University of New Brunswick , PI Cashore, “Royal Society of Canada Lecture research preparation”, \$4,500 (Reimbursement of Expenses)
2004	Trust for Mutual Understanding, Conroy PI, Cashore co-PI, “Russian Exchange Project: Fostering Forest Certification Processes in Russia and North America”, 50,000.
2004	Rockefeller Brothers Fund, Cashore PI, For Phase II of Program on Forest Certification, \$50,000
2004	Australian National University, Cashore PI, Visiting Faculty Stipend Support for Sabbatical Research in Australia, \$7,500
2004	Canadian Embassy Faculty Research Grant, Cashore PI, “comparing Canadian and American environmental and forestry consumer preferences”, \$10,000.
2003-4	Research “Symposium on the Effects of Forest Certification in Developing Countries and Countries in Transition”, total \$178,600

Details

2003-4	Rainforest Alliance Cashore PI, \$38,000 (Gift and reimbursement of expenses)
2003-4	International Tropical Timber Organization, Cashore PI, \$27,000
2003-4	World Wide Fund for Nature, Cashore PI, \$11,500
2003-4	German Government’s GTZ Foundation, Cashore PI, \$40,000
2003-4	Yale University Council on Southeast Asia Studies , Cashore PI, \$4, 500 (Reimbursement of expenses)
2003-4	Yale Center for the Study of Globalization, Cashore PI, \$10,000 (Reimbursement of expenses)
2003-4	World Bank, Cashore PI, \$31,600
2003-4	South Cone, Cashore PI, \$5,000 (Gift)

- 2003-4 United States Forest Service International Programs, Cashore PI, \$20,000
- 2003-4 Yale Center for International and Area Studies, Cashore PI, "Research on Forest Certification in Australia", \$4,500 (Reimbursement of expenses)
- 2001-3 Doris Duke Charitable Foundation, Ashton, Cashore (co-PI), Oliver, Washburn, "An Evaluation of the impacts of the FSC on biodiversity conservation in the US", \$300,000
- 2001-4 Ford Foundation, Cashore PI, "Professor of Sustainable Forestry", \$150,000 [NOTE: These funds were raised by Dean Speth and the development office]
- 2001-4 Rockefeller Brothers Foundation, Cashore PI, "Professor of Sustainable Forestry", \$150,000 [NOTE: These funds were raised by Dean Speth and the development office]
- 2001-4 Kohlberg Trust, Cashore PI, "Professor of Sustainable Forestry", \$150,000 [NOTE: These funds were raised by Dean Speth and the development office]
- 2001-4 Merck Foundation, Cashore PI, "Professor of Sustainable Forestry", \$150,000 [NOTE: These funds were raised by Dean Speth and the development office] Gift

Grants Before 2001

- 1999-01 United States Department of Agriculture, US Forest Service Southern Research Station No. SRS 33-CA-99-677, Cashore, PI, "Funds to provide for a Masters research stipend", \$14,102
- 2000-03 United States Department of Agriculture Competitive Grants: National Research Initiative (NRI), Cashore, PI, "An Analysis of Forest Certification for Enhancing Competitiveness and Sustainability", \$115,000
- 2000-03 Center for Forest Sustainability, Auburn University, Cashore, PI, "Five Years of Funding for Graduate Student Research, \$76,500 (\$15,300 a year for five years – final three years forfeited when I left Auburn)
- 2001 German Government DAAD fellowship, Cashore PI, "faculty research study visit" for three months of research on German sustainable forest management/certification issues, DM 10200 (~\$5,000 US)
- 2000 Bradley/Murphy Trust, Cashore PI, "research trip to Oaxaca Mexico to study FSC international deliberations", \$2,000.
- 2000-1 Canadian Embassy Research Grant Program, Cashore PI and Zhang (co-PI), Markets and forest certification, \$12,000
- 2000-1 Peaks of Excellence/Center for Forest Sustainability Matching Grant, Auburn University, Cashore PI, \$2,000

1999-2000 Auburn University Grant-in-Aid Competitive Research Grant, Cashore PI, \$7,937

Grants before 1998

1997 University of Toronto Open Doctoral Fellowship

1993-1996 Tri-Council of Canada Eco-Research Doctoral Fellowship

1993-1996 Social Sciences and Humanities Research Council of Canada Doctoral Fellowship (1993-1995). (Declined for Eco-Research Fellowship)

1995 Association for Canadian Studies in the United States Travel Grant (1995)

1995 Social Science Federation of Canada Travel Grant

1994-5 Associates of the University of Toronto Travel Grant

1989-9 University of Toronto Open Fellowship (1989-1990)

1986-7 MA Scholarship (Carleton University)

1985-6 In-Course Scholarship (Carleton University)

1982-3 Entrance Scholarship (Carleton University)

TEACHING

2017-present MEM specialization coordinator, with Amity Doolittle, "Nature and Society", School of Forestry & Environmental Studies

Current Classes (some are renamed from below)

New/in development

- **Basic knowledge course for non-social scientists.** Half term (preparation with Doolittle): Fall, 2018
- **Solving super wicked problems: solutions for climate and environmental governance** (this could potentially replace by foundations of natural resources policy analysis class): Fall 2018 or Spring 2019:
- **Revision of Global Environmental Governance class to serve as core social science class:** Fall 2018 or Spring 2019

Ongoing

- 1) **Institutions and the Environment** (High level graduate seminar for doctoral and MES students studying public policy and governance) FES 80079
- 2) **Global Environmental Governance** (Also known as International Environmental Policy and Politics) **Undergraduate: EVST 245b/PLSC 146. Graduate: F&ES 829**

- 3) **Governing Through Markets: The Potential and Pitfalls of Private Governance and CSR in the Global Era** 815b
- 4) **Foundations of Natural Resources Policy Analysis and Administration** FES 525

Previous at F&ES

- 5) **Corporate Environmental Management and Strategy** FES 807a/MGT 688 [F16] (with Chertow)
- 6) **The New Corporate Social Responsibility: Public Problems, Private Solutions, and Strategic Responses** FES 85023a [F06, F09, S13; F15]
- 7) **Foundations of Natural Resources Policy Analysis and Administration** FES F10, F12, F13, F15, F16
- 8) **Global Forest Policy and Governance** FES 833a (F13) with Jan McAlpine
- 9) **Markets, Social and Environmental Certification, and Corporate Accountability** FES 796a, with Michael Conroy [F05]
- 10) **Global Environmental Governance (Before 2015 known as International Environmental Policy and Governance)** FES 245b [S02, 04, 06,07, 08, F09]: (02 with Speth, 04 with TF Ivanova) Usually coffered as **F&ES 829 and PLSC 146 01 (S15)**
- 11) **Forest Policy** S00 (Auburn University)
- 12) **Seminar on Forest Certification** FES 521b, [S02, 04, 06, 07] (02 with Washburn)
- 13) **Natural Resources Policy Analysis and Administration** (Simon Fraser University and Auburn University) S98, 99, 01:
- 14) **The Evolution of Forest Policies in North America: U.S. and Canadian Perspectives on the Past, Present, and Future of our Forests** FES 910b, [S04 with Jim Lyons]
- 15) **Institutions and the Environment** FES 80079b [S09, S10, S12, S14, S16]
- 16) **Theoretical Lenses on Domestic and Global Environmental Governance: Analyzing Emerging Innovations in Sustainable Forest Management and Forest Certification** F01:
- 17) **Social Science Research Methods** FES 513a [F03]:
- 18) **Comparing Environmental Governance Across Countries: Theory and Evidence** FES 594 [F03]

Doctoral Dissertations

Supervised/Chair (3 graduated)

Completed

Graeme Auld (PhD defended December 2008, conferred May 2009);
Cristina Balboa (PhD defended February 2009 conferred December 2009);
Kelly Levin (PhD defended June 2009, conferred December 2009), Laura Bozzi (PhD 2014), Stefan Renckens (PhD 2014), Sebastien Jodoin (PhD 2015), Matto Mildenberger (PhD 2015);

Current

Michael Stone (PhD expected 2016); Chelsea Judy (PhD expected 2019); Michaela Foster (PhD expected 2020)

Committee Member

Yitian Huang (2013) Jasmine Hyman (expected 2016); Maria Ivanova (2006); Monica Araya (2006)

Outside Yale: Jaems Erbaugh (Michigan); Sophia Carduso (Freiburg), Marisa Camargo (Helsinki), Daniela Gohler (Potsdam)

Master's research projects/theses:

Completed or current

Audrey Denvir, Celine Lim, Carolina Gueiros, Dahvi Wilson, Jeni Krecjnici, Susan Matambo, Kathleen Campbell, Margaret Francis, Beth Egan, Emily Noah, Ann Grodnik, Ahmad Maryudi (whom I advised while on sabbatical at Australian National University) Heidi Binko, Natalyia Plesha, Dima Rida, Andrew Kroon, Graeme Auld (Auburn), Deanna Newsom (Auburn), Marisa Camargo, Vinicio Linares, John Nixon, Gabriela Alonso, Peter Christensen, Seth Atkinson Bella Gordon, Kasey Jacobs, Ben Blom; Mariana Sarmiento

Senior essays completed or current

Katherine Ling and Giovanni Casanova, Ben Bokser; Irene Scher, Arvind Nagarajan, Wiles Kase; Steven Blumenfeld ; Matt Ramlow, Nora Moraga-Lewy

Postdoctoral/research associate interactions

Jamie Lawson (at Auburn), Constance McDermott, Graeme Auld and Emily Noah, Luc Fransen (Visiting Assistant in Research, 2006-7), Stefan Renckens (VAR 2007-8); Metodi Sotirov, Freiberg University, VAR, Johanna Johansen (VAR, 2012), Pierre Marques VAR (Science Po, summer 2015), Tobias Nielsen, Svet, Lund, Sweden, VAR fall 2015; Sophia Carduso, Freiberg, VAR Fall 2015; Carole-Anne Sénit, VAR, PhD candidate Governance, Earth System Governance Research Fellow – Utrecht University Spring 2016

SERVICE/COMMITTEES

F&ES COMMITTEES

20016-17	Member, doctoral admissions committee
20017	Member, inclusion committee
20017-18	Member, ad hoc review committee for Tucker and Grim re-appointment
20014	Member, doctoral admissions committee
2009-2011	Chair, F&ES Environmental Stewardship Committee
2009-2012	Member Faculty Development and Appointments Committee (FDAC)
2011-2012	Chair, Social Science Search Committee
2009-2012	Yale College Environmental Studies Faculty Advisory member
2001-2011	Faculty Member, Yale Center for Environmental Law and Policy
2008-2009	Member, F&ES Dean Search Committee
2007-2009	F&ES MEM Curriculum Committee
2007-2009	F&ES Diversity Committee
2008-2009	Chair, joint appointments committee
2009	Masters admissions committee
2007-2008	F&ES/Anthropology joint Search Committee
2008	Ad hoc review committee for diversity search
2005-2008	F&ES Communications and Strategy committee
2006-2007	Faculty Diversity Search Committee
2003, 2004 and 2006:	Graduate Ph.D. Admissions Committee
2003	Non-ladder appointments committee
2003	Curriculum committee

SERVICE - YALE

2014 – present	Joseph C. Fox Direct, Yale International Fox Fellows Program, MacMillan Center for International and Area Studies, Yale University
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- 2011 – present Director, Governance, Environment and Markets Initiative, School of Forestry and Environmental Studies, Yale University
- 2001 – present Director, Program on Forest Policy and Governance³¹, Global Institute of Sustainable Forestry, School of Forestry and Environmental Studies, Yale University
- 2018 Host and supporter, VAR application: Janina Grabs, PhD candidate in Political Science, Graduate School of Politics of the Westfälische Wilhelms-Universität Münster
- 2018 Host, Prof Iben Nathan, University of Copenhagen late Spring/summer
- 2018 Host, Prof Sina Leipold, University of Freiberg, late April to May
- 2018 Host (potential), Prof. Katharine Rietig, School of Geography, Politics and Sociology (GPS), Newcastle University
- 2018 Host (potential), Yixian Sun, supported post-doctoral application to be held at Yale, “Private Sustainability Governance and Emerging Economies: The case of tea certification in China, India and Kenya”
- 2015-17 Fox Fellowship hosted numerous conference on human rights, environment, leadership, CSR, at Yale and abroad, including New Delhi, India
- 2016 Hosted for talks, March and April, David Vogel (Berkeley), Rajat Panwar (UBC) and Hamish Van der Ven (Toronto) on the interaction of public and private governance, New Haven
- 2014 Hosted GEM lecture by Hans Bruyninckx, head of the European Environmental Agency, “Towards Environmental Transformations: Lessons from the European Union for Building Effective Global Sustainability Governance” May 1st, New Haven
- 2014 Hosted Prof. Oren Perez, Bar Ilan University, Law Faculty, Ramat-Gan, Israel, Founding Director: SSRN-Hebrew Research Network (HRN), The Hybrid Dynamic of (Transnational) Regulatory Scientific Institutions: Authority, Legitimacy and Organizational Resilience, New Haven
- 2014 Hosted GEM lecture by, Cristina Balboa, “The Paradox of Global Capacity: Barriers and Bridges to TNGO Accountability April 28, New Haven
- 2014 Hosted GEM lecture by Johannes Ureplanien, Columbia University, It's All About Political Incentives: Democracy, Distributive Politics, and the Renewable Feed-In Tariff
- 2014 Faculty host to Prof. Christine Overdevest, University of Florida, Gainesville, fall.
- 2014 Faculty host to Prof. Iben Nathan, University of Copenhagen, April to September

- 2014 Cohosting “The 2014 Conference on Human Rights, Environmental Sustainability, Post-2015 Development, and the Future Climate Regime” with the United Nations Institute for Training and Research (UNITAR) and other partners, September. The conference will bring together more than 150 scholars and policy experts to discuss state-of-the-art knowledge at the nexus of human rights and the environment.
- 2013 Cohosting “The 2014 Conference on Human Rights, Environmental Sustainability, Post-2015 Development, and the Future Climate Regime” with the United Nations Institute for Training and Research (UNITAR) and other partners, September. The conference will bring together more than 150 scholars and policy experts to discuss state-of-the-art knowledge at the nexus of human rights and the environment.
- 2013 Faculty host to Prof. Ingrid Visseren-Hamakers, Wageningen University, Netherlands, September to December
- 2013 Faculty host to VAR scholar Freiburg University, Germany, Fall.
- 2013 Cohost with the Natural Resources Defence Council, “Rio to 2015: A New Architecture for a Sustainable New World.” The conference focused on design of governance and institutional architectures to build public and partnerships (see www.rioto2015.org)
- 2012 Cohosted North American conference on the Rio+20 Earth Summit, which included a presentation from David Balsillie of the results of the high level panel, “The Future we Want”.
- 2011 Host, lunchtime seminar, The European Union as international environmental negotiator: EU decision-making and representation in climate change negotiations presented by Tom Delreux Associate Professor, Institut de sciences politiques Louvain-Europe & Institut d’études européennes at the UCLouvain March 1st
- 2010 Faculty host to Prof. Philippe Zittoun, Science Po Lyon and Grenoble, February to March
- 2009 Moderator and organizer of Yale side event at the World Forest Congress: “*The Role of Technology in Understanding Global Forest Problems, Governance, and Policy*”, Buenos Aires, Argentina, Oct. 21. The panel included Martin de la Serna of Helveta Limited who discussed his firm’s Timber Supply Chain technology, Gustavo Raposo Vieira, Arvus Tecnologia, who discussed his Engineering firm’s focus on timber tracking and reflections by Constance McDermott James Martin Fellow, Tropical Forest Governance, Oxford University
- 2009 Faculty host to Laura German, Center for International Forestry Research, Bogor, Indonesia, January to March
- 2009 Faculty host to Jackie Schirmer, Australia National University, January to March

- 2009 Faculty host to Feja Lesniewska Law, Environment and Development Centre School of Law School of Oriental and African Studies University of London 10 Thornhaugh St London, WC1H 0XG United Kingdom April to May
- 2009 Faculty host to Luca Tacconi, Australian National University, Nov. 2-5th
- 2009 Panelist, "Institutions and Governance" for Climate Change Adaptation Panel, Spring Forum Socio-ecological Resilience and Disaster Risk Reduction: Prioritizing the Gaps in a Changing World 23rd - 24th April, 2009 Burke Auditorium, Kroon Hall and Marsh Hall Rotunda Yale School of Forestry and Environmental Studies Sponsored by Yale School of Forestry and Environmental Studies (F&ES) Risk Reduction, Adaptation and Disaster Student Interest Group (RRAD SIG)
- 2008 Moderator for panel, "Will Reduced Emissions from Deforestation Incentivize Forest Conservation", International Society of Tropical Foresters Conference, "Drivers of Land Use Change in the Tropics Bioenergy and Avoided Deforestation Friday" New Haven, CT March 28
- 2008 Academic Review Committee and concluding speaker, Conference on Environmental Governance and Democracy Institutions, public participation and environmental sustainability: Bridging research and capacity development, May 10-11, Yale University, New Haven
- 2008 Moderator for panel, "Public participation in corporate environmental governance" UNITAR-Yale Conference on Environmental Governance and Democracy Reveals Deficits in the Understanding of the Democracy - Environment Interface, New Haven, CT Saturday, May 10
- 2008 Faculty host to Prof. Karsten Ronit, University of Denmark
- 2008 Faculty host to Stefan Renckens, Visiting Assistant in Research, University of Leuven, Belgium
- 2007 Faculty mentor to Yale World Fellow
- 2006-7 Lead faculty organizer (with Jonathan Koppell), **Yale workshop on Corporate Social Responsibility**, held Jan. 12-14, 2007. This was a collaborative joint venture between Yale F&ES and Yale SOM and the Yale Center for Business and the Environment and the Millstein Center for Corporate Governance. The workshop brought together leading scholars from political science, sociology, management, economics and law to assess the state of scholarship on CSR.
- 2006 Faculty mentor to Yale World Fellow
- 2004-2011 Faculty Member, Yale Center for Environmental Law and Policy
- 2005-present Faculty Member, Center for Business and Environment at Yale
- 2003 Faculty mentor to Yale World Fellow

2002-2013 Faculty Participant, Environmental Studies Major, Yale College

SERVICE - OUTSIDE SCHOLARLY CONTRIBUTIONS

Editorial Boards

- Editor-in-Chief, Journal of Forest Policy and Economics (20011 to June 2015)
- Associate editor, Journal of Natural Resource Policy Research (2008- 2011)
- Editorial Board, Journal of Forest Policy and Economics (2007-2011)
- Editorial Board, Journal of Sustainable Forestry
- Editorial Board, Business and Politics (2009-2012) (I need to verify exact years)
- Editorial Board, BC Studies (2015 to present)
- International advisory board China--Climate Policy Review (2015 to present)

Journal Reviews

- Peer Reviewer for American Journal of Political Science, Governance, International Organization, Global Environmental Governance, Global Governance, Canadian Journal of Political Science, Canadian-American Public Policy, Forest Science, Journal of Forest Policy and Economics, International Environmental Agreements: Politics, Law and Economics, Law and Society, Politique et Sociétés, American Review of Canadian Studies, Forest Ecology and Management, Journal of Forestry, Journal of Sustainable Forestry, Cambridge University Press, Georgetown University Press, CAB International Press, Earthscan, McGill-Queen's University Press, University of British Columbia Press, SUNY Press
- Reviewer for tenure and promotions/job hires: University of California, Berkeley (twice); Oregon State University; American University; University of Tasmania; Texas A & M, University of Regina; Indiana University; University of Colorado, Boulder (twice); York University; Schulich School of Business; Freiburg University Faculty of Environment and Natural Resources, Carleton University, USSC, open university
- Adjudicator, Canada-US Fulbright Program Adjudication Committee (1999, 2000 and 2001).

Other

2018 Letter of recommendation, application for Canadian faculty member, Royal Society of Canada

2018 2017: Member, expert panel on the future of global forest governance. "The panel consists of 30-40 leading experts from around the globe, covering public policy-makers, the private sector and research." It is part of the project "Future of

- Forest Governance (FuGo)", under the auspices of the European Forest Institute (EFI), Bonn Office
- 2017 Tenure evaluation, University of Toronto Department of Political Science and Environmental Studies. February
- 2016 Letter of recommendation, application for Canadian faculty member, early career membership, Royal Society of Canada
- 2016 Member, external review team, Fenner School of the Environment, Australian National University, Canberra, Australia August 22nd to 25th
- 2015 Letter of recommendation, (successful) application for Canadian faculty member, Royal Society of Canada
- 2015 External Examiner, PhD Thesis, Fenner School of the Environment, Australian National University
- 2013-15 Organising Committee, International Conference on Public Policy, 2013 (Grenoble) and 2015 (Milan) <http://www.icpublicpolicy.org/Committees>
- 2015 Member, inaugural jury for the BC Studies Prize
- 2015 External Examiner, Galway PhD Thesis, Herath Mudiyanseelage Vidyaratne Three Essays on Renewable Natural Resources Management Problems (Forestry and Fisheries) in the Republic of Ireland April 2015
- 2011-14 Director, International Union of Forest Research Organization's (IUFRO) task force on International Forest Governance
- 2014- GEM sponsored, with CISDL, Earth Justice, and University of Lapland, "Engaging the public in climate decision-making: learning from local & national experiences," COP 20 Side Event, Monday, 1 December, 15:00-16:30, Room Sipan "Public participation in decision-making is key to effective and just climate policies. "Article 6 of the UNFCCC requires Parties to promote and facilitate public participation in decision-making on climate change and its effects. During this interactive side event, panelists will highlight the link between public participation in climate decision-making and the respect and promotion of human rights. Representatives from civil society and researchers will present good practices at the local and national level. The panel will emphasize the opportunity to leverage the implementation of the UNFCCC to promote and strengthen public participation in climate decision-making at the domestic level. The second part of this interactive event will engage the audience in discussing good practices and principles for the effective participation of the public in decision-making. The outcome of this dialogue will be reflected in the report of the event. Taking place at the beginning of the conference, this event will seek to inform other related events organized at the COP20 and parallel to the conference."
- 2014- Advisory Group Member, European Forest Institute (EFI) and EU ERANET SUMFOREST-project foresight panel, "Tackling the challenges in sustainable and multifunctional forestry through enhanced research coordination for policy

decisions” -

(http://www.efi.int/portal/news_events/top_story_archive/?bid=1480) “

- 2014 Coordinated “Issues and Options Briefs” produced by the International Union of Forest Research Organization’s Task Force on International Forest Governance, <http://www.iufro.org/publications/series/occasional-papers/#c20966>
- 2013 Moderator/coordinator/co-organizer, Forest Governance Research & Practice: Third Meeting of the IUFRO Task Force on International Forest Governance June Costa Rica
- 2012 Moderator/coordinator/co-organizer, Forest Governance Research & Practice: Building Bridges through Capacity Building & Training First Meeting of the IUFRO Task Force on International Forest Governance in partnership with The Environmental Leadership and Training Initiative & The Yale Program on Forest Policy and Governance, Lee Kuan Yew School of Public Policy, National University of Singapore February 16-18, 2012
- 2012 Moderator/coordinator/co-organizer, Forest Governance Research & Practice: Second Meeting of the IUFRO Task Force on International Forest Governance, Copenhagen, Denmark, December 2012
- 2011 Discussant for panel, Blurred Authority and Contested Legitimacy in Global Seafood, International Studies Association, Montreal, PQ, Wednesday March 16th. Papers presented by Derek A. Hall, National Responses to Global Ecolabels: The Marine Stewardship Council and Marine Eco-Label Japan”, Elizabeth Havice “Expanded Authority: Tuna Processing Firms, Tuna Management and Supply Chain Control Peter Vandergeest,” A New Extra-Territoriality? Aquaculture Certification, Sovereignty, and Imperialism and Paul Foley State Responses to Marine Stewardship Council Certification in Canada
- 2011 Host, lunchtime seminar, The European Union as international environmental negotiator: EU decision-making and representation in climate change negotiations presented by Tom Delreux Associate Professor, Institut de sciences politiques Louvain-Europe & Institut d’études européennes at the UCLouvain March 1st.
- 2010 Discussant for panel, Producing Policy-Relevant Research I: Theory and Methods (WC46), Environmental Studies Section, International Studies Association, February, New Orleans, LA
- 2010 Lead Author and participant, Global Forest Expert Panel’s Global Scientific Assessment on the ‘International Forest Regime’. This is an initiative of the Collaborative Partnership on Forests (CPF), whose coordinating role has been assigned to its member organization, the International Union of Forest Research Organizations (IUFRO). To be concluded by September.
- 2009 Respondent, “contributions of policy-science transfer processes to performance management across sectoral policy fields“ (with a focus on forest governance & climate change), ASEAN Secretariat Executive Mirror Series (EMS), hosted by Asia-Europe Institute (AEI), University of Malaya, and led by the Deputy

Secretary General for the ASEAN Economic Community. Panelists included Prof. Werner Jann (public management) of Potsdam University/Hertie School of Governance and Dr. Azmi Mat Akhir, AEI. Held at the Dharmawangsa Hotel, Jakarta, Indonesia, December 4 Dec, 2009.

- 2009 Adjudicator, for The Swedish Research Council for Environment, Agricultural Sciences and Spatial Planning (Formas), 'Strategic Research Areas' grant process, May.
- 2009 Letter of recommendation for, Distinguished UBC Scholar in Residence, Peter Wall Institute for Advanced Studies, University of British Columbia.
- 2009 Chair and Discussant, Panel on Environmental Treaty Fatigue? A Discussion of Implementation Challenges, Environmental Studies Section, International Studies Association, February 17, New York, New York
- 2009 Letter of recommendation for, Irwin Outstanding Educator Award, Academy of Management, January
- 2009 Introduction and chair for Thematic Session 3: Legality standards and their definitions (Iran Room), Food and Agricultural Organization Workshop on 'Legality of Traded Timber: the Development Challenges', Rome, November 24-26
- 2010 Reviewer, PhD dissertation committee: Science Po, Paris, University of Tasmania, Department of Political Science, Universiteit van Amsterdam, Afdeling Politicologie Spring.
- 2008 Member, Executive Committee, Environmental Studies Section, International Studies Association
- 2008 Letter of recommendation for Canadian Council Killam Prize, June.
- 2001 Section Chair, Environment and Natural Resources, Association for Canadian Studies in the United States 2001 Biennial Meeting, San Antonio, Texas, November 14-18
- 2003 Moderator for Panel, "BMPs and Sustainable Forestry" Society of American Forester's Annual meeting, Buffalo, New York, NY, October 28th, 2003.
- 2005 Reviewer for Panel on Private Authority and Environmental Governance, International Studies Association Convention, Honolulu, Hawaii, March 1-5, 2005.
- 2005 Reviewer for Panel on Private Authority and Environmental Governance, International Studies Association Convention, Honolulu, Hawaii, March 1-5.

SELECT SERVICE/CONSULTANCES/ADVICE (CURRENT OR RECENT)

- Technical expert, FSC Expert Governance review 2016-2017

- Technical working group for Transformational Change, the Initiative for Climate Action Transparency (ICAT)³²
- Consultant, United Nations Forum on Forests. Contribution to policy reviews on economic and social contributions of forests and forest finance
- Rio+20 facilitator, forests dialogue (with CIFOR and University of Sao Paolo) <http://www.uncsd2012.org/index.php?page=view&type=13&nr=596&menu=23>
- Climate and Land Use Alliance Strategy Review: Expert Consultation November 14-15, 2012, reception November 13 Washington, DC
- “Collaborative Assessment Surrounding Impact and Performance of Sustainability Standards and Certification Systems”,
 - Sponsored by the Walton Family Foundation, MARS, Inc., the David and Lucile Packard Foundation, and RESOLVE
- ASEAN-German Regional Forest Programme (ReFOP)’s ASEAN Regional Knowledge Network on Forest Law Enforcement and Governance (FLEG),
 - sponsored by GTZ

Ph.D DISSERTATION

Governing Forestry: Environmental Group Influence in British Columbia and the US Pacific Northwest (Advisor: Professor Grace Skogstad; Committee members: Professors Richard Simeon and Jeremy Wilson)

OTHER ACADEMIC POSITIONS

- Visiting Fellow, Velux Endowed Chair in Corporate Sustainability (held by Prof. Jeremy Moon), Centre for Corporate Social Responsibility, Copenhagen Business School, Copenhagen, Denmark (Summer 2016)
- Summer school lecturer, Remnin University, “Global Forest Policy” (July 2015)
- Visiting Professor, Department of Food and Resource Economics Faculty of Science, University of Copenhagen (Summer 2013)
- Lecturer, International Environmental Policy, International Alliance of Research Universities (IARU) summer course, Australian National University, Canberra, Australia, July 1-15th, 2009
- Visiting fellow, Oxford Environmental Change Institute, School of Geography and the Environment, Oxford, UK, March 5-20, 2009
- Associate Professor, School of Forestry and Environmental Studies, Yale University, July 1, 2004 – June 30, 2007
- Visiting Fellow, School of Resources, Environment & Society, Australian National University, Canberra, Australia (August 2004 through June 2005).
- Assistant Professor, Sustainable Forest Policy, School of Forestry and Environmental Studies, Yale University, August 2001- June 30, 2004
- Chair (Director added to Chair position in 2003), Program on Forest Certification, Global Institute of Sustainable Forestry, School of Forestry and Environmental Studies, Yale

University, July 1, 2001 to September 2005

- Assistant Professor, Forest Policy and Economics, School of Forestry and Wildlife Sciences, Auburn University, August 1998-2001
- Sessional lecturer, Public Policy Analysis and Administration. School of Resource and Environmental Management, Simon Fraser University. Jan.-April 1998 Course: 644-5
- Postdoctoral Fellow, Forest Economics and Policy Analysis (FEPA) Research Unit, University of British Columbia. August 1997-July 1998
- Harvard University. Postdoctoral research³³. (Canada-US Softwood Lumber Trade Dispute) Sept. 1996-May 1997.
- Research Assistant for Professor Carolyn Touhy, Vice-Provost, University of Toronto. Fall, 1993
- Teaching Assistant for Professors Nelson Wiseman and Joy Esbrey, University of Toronto, 1989-1990. Course: Canadian Politics
- Teaching Assistant for Professor Khayam Paltiel, Carleton University, 1987-1988. Course: Introduction to Political Science and to Professor Jon Pammett, Carleton University, Fall, 1988. Course: Canadian Politics

RESEARCH AND RELATED POSITIONS

- Legislation/policy advisor to Audrey McLaughlin, Leader of the New Democratic Party. 1990-1993.
- Special Assistant to Audrey McLaughlin, Member of Parliament for Yukon. 1987-1988 and researcher to Ian Waddell, Member of Parliament for Vancouver-Kingsway 1987.

OTHER DISTINCTIONS

- **Nominated** for TED-style talk at [Inspiring Yale](#), 2015, by Yale School of Forestry & Environmental Studies students for being “**an amazing teacher and thought leader** doing some of the most interesting and exciting work in your school” who “identifies applied, relevant, and creative solutions to pressing environmental issues.” Hosted and administered by the Yale Graduate & Professional Student Senate.
- Senior honors thesis advisor to Steven Blumenfeld, whose senior essay, “Can creative destruction unleash low-carbon pathways? Towards an Analytical Framework” was awarded the 2011 “ Henry Edwards Ellsworth Memorial Prize for the Social Sciences.” This prize is awarded annually to a senior in Jonathan Edwards College who, in the opinion of the Master and Fellows, has written, as part of his/her program of studies, the most distinguished essay or carried out the most significant piece of research during the year.
- **Described**, 2009 for my research on non-state market driven governance as a scholar who is part of a “**remarkably vibrant intellectual setting**” by Oran Young, *introduction to*

Institutions and Environmental Change: Principal Findings, Applications and Research Frontiers (MIT Press 2009).

2008	Honorary Master of Arts Privatim, Yale University
2001	Faculty advisor to “top ten” MS student (Deanna Newsom) at Auburn University, 1999-2001.
1999-present	Listed, <u>Canada’s Who’s Who?</u> (Toronto: University of Toronto Press, 1999-present).
1996-1997	Fulbright Fellowship (Canada-US) held at Harvard University
1984-1986	Deans' Honour List (Carleton University)
1982-1983	Faculty Award for Excellence (Carleton University)
1982-1983	Selected for Parliamentary Page Program, Canadian House of Commons (Chosen for one of three spots from British Columbia).

LANGUAGE ABILITIES

- French - working ability (speaking, reading, writing).

Notes

¹ Other relevant positions not bulleted below:

- Editor-in Chief (one of four), Journal of Forest Policy & Economics (My term is ending soon)
- Steering Committee, Environment, Leadership and Training Initiative (ELTI), Yale School of Forestry & Environmental Studies
- Advisory Board, Tropical Resources Institute, Yale School of Forestry and Environmental Studies
- Director, Program on Forest Policy and Governance, Global Institute of Sustainable Forestry, Yale School of Forestry and Environmental Studies

² Also known as “fantastic lecturer”.

³ The definition of the “h-index” is “that a scholar with an index of h has published h papers each of which has been cited in other papers at least h times. The “i-10 index” is the number of publications with at least 10 citations.

⁴ *NOTE:* I do not maintain formal membership in all of these organizations all of the time. Other organizations I have belonged to in the past, but do not currently participate actively, include Canadian Institute of Forestry (CIF) the Society of American Foresters (SAF), the Association for Canadian Studies in the United States (ACSUS) and the Environmental Studies Association of Canada (ESAC)

⁵ Formal membership is only held by universities and research institutes. I participate through participation in Division 9, Forest Policy and Economics

⁶ I joined AOM and subsections in July, 2015

⁷ Nathan, Hansen and myself oversaw the referee process and editorial duties of this special issue of Forest Policy and Economics. This preface itself was not formally peer reviewed.

⁸ *NOTE:* Law review articles depart from traditional blind peer review processes followed by most social science journals. In this case the article was reviewed by the editor of the special symposium as well as journal editors.

⁹ NOTE: I was part of the three member editorial team that established and administered the peer review process.

¹⁰ *ibid*

¹¹ *ibid*

¹² NOTE: This was produced as a collaborative, rather than an edited, volume. Leadership/authorship for each of the chapters was identified in the table of contents. I was the lead author for this chapter

¹³ The steering committee consisted of Mike Barry, Head of Sustainable Business, Marks & Spencer;

Ben Cashore, Professor, Environmental Governance and Political Science, Director, Governance, Environment and Markets (GEM) Initiative, Yale University; Jason Clay, Senior Vice President, Market Transformation, World Wildlife Fund; Michael Fernandez, Director of Public Policy and Global Partnerships, Mars, Incorporated; Louis Lebel, Director, Unit for Social and Environmental Research, Chiang Mai University; Tom Lyon; Director, Erb Institute for Global Sustainable Enterprise, University of Michigan; Patrick Mallet (Steering Committee chair), Director of Credibility, ISEAL Alliance; Kira Matus, Lecturer in Public Policy and Management, London School of Economics and Political Science; Peter Melchett, Policy Director, Soil Association; Michael Vandenbergh; Professor of Law, Tarkington Chair in Teaching Excellence, Director, Climate Change Research Network, Vanderbilt University; Jan Kees Vis, Global Director, Sustainable Sourcing Development, Unilever; Tensie Whelan, President, Rainforest Alliance <http://www.resolv.org/site-assessment/steering-committee/>

¹⁴ The entire report was published under the auspices of the full steering committee, which meant that Cashore and Matas' lead contribution to this chapter, along with Ruth Norris' contribution, was not formally recognized.

¹⁵ See: <http://dotearth.blogs.nytimes.com/2014/06/02/a-look-at-rhetoric-and-realities-around-obamas-carbon-pollution-power-plant-rules/?module=BlogPost-ReadMore&version=Blog%20Main&action=Click&contentCollection=Climate%20Change&pgtype=Blogs®ion=Body#more-52396> (also cited in 2012 and 2013)

¹⁶ <http://www.bbc.com/news/science-environment-33782943>

¹⁷ <http://www.theguardian.com/environment/2015/nov/14/un-climate-change-summit-paris-planet-future-balance-science>

<http://www.theguardian.com/news/2015/nov/22/for-the-record>

¹⁸ Participants included Karin Buhmann (IKL), Benjamin Cashore (Yale University), Lars Thøger Christensen (IKL), Mikkel Flyverbom (IKL), Lasse Folke Henriksen (DBP), Hans Krause Hansen (IKL), Dan Kärreman (IKL), Erin Leitheiser (IKL), Lauren McCarthy (IKL), Jeremy Moon (IKL), Esben Rahbek Gjerdrum Pedersen (IKL), Stefano Ponte (DBP), Rene Taudal Poulsen (INO), Glen Whelan (IKL), Verena Girschik (DBP).

¹⁹ Four diagnostic questions frame these panels:

<p>RQ1: <i>How can we rethink the concept of policy feedback to study both stability and change?</i></p> <p>Key point here is to think about policy feedback in the form of long-term loops rather than short-term feedback cycles, i.e., researchers are invited to investigate how policy changes at t_1, t_2, t_3 etc. Both theoretical/conceptual and empirical work that engages with long-term temporal policy dynamics is invited.</p>	<p>RQ2: <i>What are the mechanisms behind feedback processes? Does policy feedback expand or narrow policy options and why?</i></p> <p>Our conceptual understanding of feedback mechanisms remains limited, especially in relation to policy design. We encourage both theoretical/conceptual contributions that engage with feedback and policy design and empirical investigations of pre- and/or post-enactment dynamics in any specific policy field.</p>
<p>RQ3: <i>What is the impact of policy design and instrument types on policy feedback?</i></p> <p>To bring together the literatures on policy design and policy feedback, we invite contributions that discuss potential effects of policy design characteristics on long-term policy dynamics. This could be done either in a conceptual way, building on the extant body of policy design literature, or empirically, e.g. in the form of an inductive research design.</p>	<p>RQ4: <i>How do we address key methodological issues such as how to measure policy output and/or change to move the policy feedback agenda forward?</i></p> <p>This question is intimately linked to the dependent variable problem in the study of policy change. We invite contributions that develop conceptual and measurement approaches to assess policy change in a systematic manner across policy fields or that critically apply existing measurement approaches to relevant case studies.</p>

Call for Papers:

The recent shift in the feedback literature towards explaining long-term policy dynamics offers opportunities for scholars from various disciplinary backgrounds and with expertise in any policy area to contribute to the revitalization of policy feedback research. As part of this workshop, scholars are invited to submit paper proposals dealing with key empirical, methodological, and theoretical issues (as described in the workshop outline) that may advance policy feedback research especially in relation to policy design. We encourage conceptual/theoretical contributions that rethink policy feedback to study policy dynamics or that engage with the mechanisms behind policy feedback processes. Regarding policy design, we invite paper proposals that aim to bring together the literatures on policy feedback and policy design and/or study the effects of policy design characteristics on long-term policy dynamics. We particularly encourage empirical and comparative contributions that tackle the question of how to study feedback effects on policy dynamics in a systematic and encompassing way, either using established approaches of assessing policy change or presenting new measurement approaches.

Each paper proposal should feature a title, a 400-word abstract, a short 100 word biographical sketch for each contributor and contact information. Please contact the co-organizers directly if you have any questions about this call for proposals.

²⁰ Abstract: The past thirty years have witnessed a remarkable transformation in global governance. Whereas states were once the primary rule makers in the international system, presently, many of the norms and rules through which humans manage complex global environmental, social, and economic problems are created both *by* and *for* non-state actors. After a generation of research, scholars increasingly recognize that to better understand the effects of private governance, attention must

be turned from assessing its legitimacy and authority to examining its interactions with traditional state-led public policy. There is now a burgeoning interest in theorizing, and empirically assessing, how the expanded political authority of businesses and civil society organizations will impact the traditional authority of states. This workshop asks: how are private governance initiatives interacting with conventional state-led attempts to solve transboundary problems through domestic public policy and international law? On one hand, scholars recognize the functional potential of private governance in filling gaps in public policy efforts around critical governance challenges. On the other hand, there is a risk of countervailing impacts wherein private governance could limit or supplant more impactful public policies. Uncovering the true nature of the interactions between private governance and public policy is vital for understanding how much political emphasis should be placed on private solutions to global challenges and how central the nation-state remains to global governance.

²¹ Abstract: The past thirty years have witnessed a remarkable transformation in global governance. Whereas states were once the primary rule makers in the international system, presently, many of the norms and rules through which humans manage complex global environmental, social, and economic problems are created both *by* and *for* non-state actors. After a generation of research, scholars increasingly recognize that to better understand the effects of private governance, attention must be turned from assessing its legitimacy and authority to examining its interactions with traditional state-led public policy. There is now a burgeoning interest in theorizing, and empirically assessing, how the expanded political authority of businesses and civil society organizations will impact the traditional authority of states. This workshop asks: how are private governance initiatives interacting with conventional state-led attempts to solve transboundary problems through domestic public policy and international law? On one hand, scholars recognize the functional potential of private governance in filling gaps in public policy efforts around critical governance challenges. On the other hand, there is a risk of countervailing impacts wherein private governance could limit or supplant more impactful public policies. Uncovering the true nature of the interactions between private governance and public policy is vital for understanding how much political emphasis should be placed on private solutions to global challenges and how central the nation-state remains to global governance.

²² Accepted Papers: “Moving beyond forest governance in silos in the Democratic Republic of Congo, David Andrew Wardell”; “Policy learning through access to information - a case study of non-state regulatory authority and transparency through REDD+ and FLEGT/VPA implementation in Cameroon” Sophia Carodenuto; “Do policy actors learn through forwardthinking? Conflict and cooperation relating to sustainable forest management in Germany” Metodi Sotirov; “Towards durable solutions in sustainable forest management: A reflexive overview on the pilot application of a policy learning protocol to legality verification and community rights in Peru”, David Humphreys; “Policy learning for what and for whom? Lessons from FLEGT for pro-poor governance reform” Constanse McDermott; “Venue shopping or policy learning? Chinese enterprises' responses to international legality requirements”, Iben Nathan; “Mechanisms of Climate Change Governance: A Second Generation Approach”, Jeremy Rayner; “Setting up a Durable Policy: Challenges and Opportunities for China's Forestry Trade Policy”, XiaoqianChen; “Seducing the already seduced? The potential of soft-governance instruments and processes for making the forestry sector more competitive through gender equality” Marie Appelstrand,

²³ Overview: “Over the past decades, new approaches to addressing conservation challenges have emerged that leverage private and finance sector interventions to achieve positive conservation outcomes. These market-based approaches to conservation seek to transform agriculture, forest, and seafood supply chains through corporate sustainability commitments, voluntary standards, certification systems, and financial market incentives. Traditional monitoring and evaluation associated with market-based approaches have focused primarily on metrics associated with markets and management practices, which are important indicators of progress and implementation reach. There is an underlying assumption that these indicators correlate with longer-term impacts on large-scale conservation objectives such as reduced deforestation, increased biodiversity, and reduced carbon emissions, among others; however, data and real understanding about the extent and nature of these connections is not yet robust. Improving the collective understanding of these interrelationships is needed to test the theory of change that underpins many of the current market-based approaches to conservation. The Gordon and Betty Moore Foundation (GBMF) is hosting this workshop to strengthen current efforts to measure the impacts of market-based approaches on conservation outcomes.”

“ The specific objectives of the workshop are to:

- Share knowledge, exchange ideas, and expand the understanding of market-based approaches on conservation outcomes;
- Define and prioritize research needs and an approach to future research;
- Explore opportunities for ongoing knowledge sharing and coordination; and
- Improve the collective capacity to measure impacts.

The primary outcome from the meeting will be a collective research agenda, which will serve as a starting point for initiating, coordinating, and aligning resources to support future priority research and activities.”

²⁴ Broad Overview: “Despite more than two decades of widespread consensus amongst climate scientists that the consequences of global warming will be dire, neither the United Nations treaty-making process nor national governments have been able to put in place mechanisms and measures capable of overcoming the stubbornly locked-in carbon economy and energy systems. Technology is not the problem and policy and governance innovations with the potential to generate pathways to decarbonization abound, but what remains unclear is how those pathways are and can be constructed. This panel will discuss key elements in constructing decarbonization pathways including: Forward-looking theorizing—because there are no examples of decarbonization we need to conceptualize future pathways rather than solely study past dynamic

- Entrenchment—how innovations that could potentially spur decarbonization are embedded in policies and society.
- Scaling—how innovations grow and catalyze non-linear impact.

Transformation mechanisms—the dynamics through which system transformation can be achieved, including normalization, coalition building, and capacity building.

²⁵ Broad Overview: “Despite more than two decades of widespread consensus amongst climate scientists that the consequences of global warming will be dire, neither the United Nations treaty-making process nor national governments have been able to put in place mechanisms and measures capable of overcoming the stubbornly locked-in carbon economy and energy systems. Technology is not the problem and policy and governance innovations with the potential to generate pathways to decarbonization abound, but what remains unclear is how those pathways are and can be constructed. This panel will discuss key elements in constructing decarbonization pathways including: Forward-looking theorizing—because there are no examples of decarbonization we need to conceptualize future pathways rather than solely study past dynamic

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Transformation mechanisms—the dynamics through which system transformation can be achieved, including normalization, coalition building, and capacity building.

²⁶ **Background**

A key debate framing the contemporary climate crisis has emerged amongst a wide range of scholars that asks how the role of economic globalization is shaping social and environmental policies. This panel will present findings from two related projects, both of which apply Bernstein and Cashore (2012) “Pathways of Influence” framework to assess how global policy interventions to curb deforestation in country level contexts. The first project is a collaboration with the International Union of Forest Research Organizations through its working group on a Forest Learning and Governance Architecture designed to assess how learning about pathways among stakeholders and scholars might generate strategic insights for durable policy reforms. A group of political scientists have been working on this projects with a team of students. We will be reporting on our application of this project, which is focused on assessing how FLEG-T and legality verification efforts might be drawn on to improve indigenous land rights and associated climate friendly biodiversity conservation results.

The second related project is focused more broadly on applying multigoal policy analysis techniques to undertake a “rapid assessment” as to how three global policy interventions - REDD+, FLEG-T, and no deforestation commitment along global supply chains – might help curb deforestation in four case study countries including Brazil, Peru, Indonesia, and Ghana. In order to project outcomes, these papers apply the Bernstein and Cashore (2012) “Pathways of Influence” framework, and then project influences on three dependent variables (deforestation, forest regulations, and protected areas). This project is designed to be both backward looking in terms of defining path-dependent forest uses and how entrenched policy decisions have led to current deforestation and land use paradigms, and forward-looking to assess future pathways that can harness global policy interventions and have real-world, positive effects on the ground to foster sustainable land use practices and forest practices.

²⁷ Contributing authors and/or reviewers (committed and/or potential) Graeme Auld, Michael Howlett, Alexander Buck, Gabriela Bueno, Chris Elliot, Daniela Goehler, Sarah Lupberger, Sebastien Jodoin, Iben Nathan, Erica Pohnan, Michael Stone, Ingrid Visseren-Hamakers, Daniela Kleinschmidt, Robert Kozak, Reem Hajar, Connie McDermott, Chelsea Judy, Metodij Sotirov, Georg Winkel, Kathleen McGuinley, Helga Pulzl, Lukas Giessen, Achim Halpaap, Wil de Jong, Pablo Pacheco, Erika Weinthal, Kate O’Neil

²⁸ Listed in the program under the title, “Can Learning Influence Policy Pathways? Integrating Theories of Learning With The Pathways of Influence Framework”

²⁹ Co-Applicants and Collaborators are listed as:

Canadian Academic Co-Applicants:

1. Stewart Elgie, University of Ottawa & Sustainable Prosperity (Principal Investigator) *
2. André Plourde, Carleton University
3. James Meadowcroft, Carleton University
4. Nicholas Rivers, University of Ottawa
5. Kathryn Harrison, University of British Columbia

-
6. Nathalie Chalifour, University of Ottawa
 7. Vic Adamowicz, University of Alberta
 8. Catherine Beaudry, Polytechnique Montreal
 9. Peter Phillips, University of Saskatchewan
 10. Margaret Dalziel, University of Waterloo
 11. Andrew Leach, University of Alberta
 12. David Wolfe, University of Toronto
 13. Richard Hawkins, University of Calgary
 14. Paul Lanoie, HEC Montreal
 15. Laurel Besco, University of Toronto Mississauga
 16. Jean-Thomas Bernard, University of Ottawa
 17. Jeremy DeBeer, University of Ottawa
 18. Nancy Olewiler, Simon Fraser University
 19. Thomas Pedersen, University of Victoria
 20. Peter Loewen, University of Toronto
 21. Lucija Muehlenbachs, University of Calgary
 22. Graeme Auld, Carleton University
 23. Matt Hoffman, University of Toronto
- Other Canadian Co-Applicants:
24. Marian Weber, Alberta Innovates- Technology Futures
 25. Céline Bak, Centre for International Governance Innovation (CIGI)
 26. Andrew Sharpe, Centre for the Study of Living Standards (CSLS)
 27. Rob Smith, International Institute for Sustainable Development (IISD)
 28. Tijs Creutzberg, Council of Canadian Academies
- International Academics:
29. Brian Murray, Nicholas Institute for Environmental Policy Solutions & Duke Energy Initiative, Duke University
 30. Carolyn Fisher, Resources for the Future
 31. Edward Barbier, University of Wyoming
 32. Nick Johnstone, Organization for Economic Cooperation and Development (OECD)
 33. David Popp, Syracuse University
 34. Antoine Dechezlepretre, Grantham Research Institute - Climate Change and Environment, London School of Economics
 35. Geoffrey Heal, Columbia University
 36. Paul Ekins, University College London
 37. Raimund Bleischwitz, University College London
 38. Ben Cashore, Yale University
 39. Barry Rabe, University of Michigan
 40. Leigh Raymond, Perdue University
 41. Leah Stokes, University of California, Santa Barbara
 42. Matto Mildenberger, University of California, Santa Barbara
 43. Kerry Smith, Arizona State University
- Collaborators:
44. Gord Lambert, Western University
 45. David Runnalls, University of Ottawa
 46. Vicky Sharpe, The Capital Markets Regulatory Authority & Quality Urban Energy Systems for Tomorrow (QUEST), formerly SDTC
 47. Paul Boothe, Western University
 48. Richard Lipsey, Simon Fraser University
 49. Peter Nicholson, formerly Council of Canadian Academies

³⁰ My part of the grant, was to co-lead work package 4, which focuses on learning for transformation. “The potential for transformative change impact to be nurtured requires the co-generation of knowledge that links scholarly research on past effects with stakeholder expectations about the potential and future impacts. In order for these insights to be nurtured, the work package co-led by Yale draws on ‘policy learning’ protocols, including Cashore et al 2016, that provide a way to organize, and focus, cogeneration of knowledge capable of product strategic ‘action plans’ that, if followed, appear to hold great promise in achieving durable results ‘on the ground’. The work package will move away from asking static questions about impacts, to assessing the most promising cases of non-state action that, if nurtured well, are more likely to influence, either directly or indirectly, transformative change. In addition, as country level contexts will vary, this approach requires initiating country level learning processes with which to assess the potential of a range of non-state efforts to help foster a sustainable and low carbon future.”

³¹ NOTE: From 2001-2005 this program was known as the Program on Forest Certification

³² The initiated was created the UNEP DTU Partnership (UDP), Verified Carbon Standard (VCS) and World Resources Institute (WRI) to undertake two tasks: 1) “[develop] a methodological framework for countries to use to transparently measure and assess the impacts of climate policies and actions” and 2) “strengthen the capacity of developing countries to assess their climate actions (in the context of their Nationally Determined Contributions) and report their progress in line with the Paris Agreement.” To do so they work “... closely with governments, along with public agencies, higher education institutions and civil society bodies, to strengthen institutional arrangements, processes and procedures.” Additional partners for task 1 the Climate, Community & Biodiversity Alliance (CCBA) and Rainforest Alliance (RA). See www.climateactiontransparency.org.” Each working compromises “ 10-20 experts from government, civil society, academia and business with technical backgrounds relevant to the component.”

³³ Note: The Fulbright fellowship was awarded for postdoctoral research. However, the PhD dissertation was also completed during this time.